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**Corresponding Author:** Yohan Oh

**Authors:** Hajung Yoo<sup>1</sup>, Jeongmin Lee<sup>1</sup>, Bokwang Kim<sup>1</sup>, Heechang Moon<sup>1</sup>, Huisu Jeong<sup>1</sup>, Kyungmi Lee<sup>1</sup>, Woo Jeung Song<sup>1</sup>, Junho K. Hur<sup>1</sup>, Yohan Oh<sup>1,\*</sup>

**Institution:** <sup>1</sup>Department of Biomedical Science, Graduate School of Biomedical Science and Engineering, Hanyang University, Seoul 04763, Republic of Korea, <sup>2</sup>Department of Medicine and <sup>3</sup>Department of Medical Genetics, College of Medicine, Hanyang University, Seoul 04763, Republic of Korea, <sup>4</sup>Hanyang Institute of Bioscience and Biotechnology, Hanyang University, Seoul 04763, Republic of Korea, <sup>5</sup>Department of Biochemistry and Molecular Biology, College of Medicine, Hanyang University, Seoul 04763, Republic of Korea,

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6 **Author's name:** Hajung Yoo<sup>1,6</sup>, Jeongmin Lee<sup>1,6</sup>, Bokwang Kim<sup>1,6</sup>, Heechang Moon<sup>1,6</sup>, Huisu  
7 Jeong<sup>1</sup>, Kyungmi Lee<sup>2</sup>, Woo Jeung Song<sup>3</sup>, Junho K. Hur<sup>1,3,4</sup> and Yohan Oh<sup>1,4,5,\*</sup>

8

9 **Affiliation:**

10 <sup>1</sup>Department of Biomedical Science, Graduate School of Biomedical Science and Engineering,  
11 Hanyang University, Seoul 04763, Republic of Korea

12 <sup>2</sup>Department of Medicine, College of Medicine, Hanyang University, Seoul 04763, Republic  
13 of Korea

14 <sup>3</sup>Department of Medical Genetics, College of Medicine, Hanyang University, Seoul 04763,  
15 Republic of Korea

16 <sup>4</sup> Hanyang Institute of Bioscience and Biotechnology, Hanyang University, Seoul 04763,  
17 Republic of Korea

18 <sup>5</sup> Department of Biochemistry and Molecular Biology, College of Medicine, Hanyang  
19 University, Seoul 04763, Republic of Korea

20 <sup>6</sup> These authors contributed equally to this work.

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22 **Running Title:** Role of PTMs of  $\alpha$ -syn in the pathogenesis of PD

23

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25 aggregation

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27 **Corresponding Author's Information:** Tel.: +82-2-2220-2423; E-mail: [yoh@hanyang.ac.kr](mailto:yoh@hanyang.ac.kr)

28 **ABSTRACT**

29 Together with neuronal loss, the existence of insoluble inclusions of alpha-synuclein ( $\alpha$ -syn)  
30 in the brain is widely accepted as a hallmark of synucleinopathies including Parkinson's disease  
31 (PD), multiple system atrophy, and dementia with Lewy body. Because the  $\alpha$ -syn aggregates  
32 are deeply involved in the pathogenesis, there have been many attempts to demonstrate the  
33 mechanism of the aggregation and its potential causative factors including post-translational  
34 modifications (PTMs). Although no concrete conclusions have been made based on the  
35 previous study results, growing evidence suggests that modifications such as phosphorylation  
36 and ubiquitination can alter  $\alpha$ -syn characteristics to have certain effects on the aggregation  
37 process in PD; either facilitating or inhibiting fibrillization. In the present work, we reviewed  
38 studies showing the significant impacts of PTMs on  $\alpha$ -syn aggregation. Furthermore, the PTMs  
39 modulating  $\alpha$ -syn aggregation-induced cell death have been discussed.

## 40 INTRODUCTION

41 Alpha-synuclein ( $\alpha$ -syn) encoded by *SNCA* gene is very well known as a potential key protein  
42 for the onset and the progression of Parkinson's disease (PD), which is one of the most common  
43 neurodegenerative diseases (1, 2). Although it has been suggested that  $\alpha$ -syn in neurons would  
44 have certain roles in synaptic trafficking (3), it is mostly studied in relation to multiple  
45 neurodegenerative diseases, in particular, synucleinopathies because it is a highly  
46 amyloidogenic protein, and consequently prone to form aggregation. Synucleinopathies  
47 including PD, multiple system atrophy (MSA), and dementia with Lewy body (DLB) are  
48 characterized by accumulated  $\alpha$ -syn insoluble inclusions observed in the patient's brain (4, 5).  
49 Therefore, the formation of the abnormal  $\alpha$ -syn aggregates and their physiological features'  
50 effects on the brain function are mainly studied for understanding the synucleinopathies  
51 pathogenesis.

52  $\alpha$ -Syn aggregates are detected in different cell types and intracellular locations,  
53 depending on the associated disease. For example, in PD and DLB, aggregates called Lewy  
54 body (LB) or Lewy neurite (LN) are mainly observed in the cytoplasm of neurons (6); in MSA,  
55 glial cytoplasmic inclusions in the oligodendrocyte are predominant, although cytoplasmic  
56 inclusions and nuclear inclusions are found in the neurons as well (7). Consequently,  $\alpha$ -syn can  
57 develop into inclusions in various locations under specific pathological circumstances. In  
58 normal physiology, however,  $\alpha$ -syn exists in a state of equilibrium between soluble tetramers,  
59 unstructured monomers, and membrane-bound multimers (8, 9). Pathological conformations  
60 arise from the arrangement of  $\beta$ -sheet structure recruited in the process of forming insoluble  $\alpha$ -  
61 syn oligomers, also known as protofibrils, which may eventually develop into LB (10-12).  
62 Organelles such as mitochondria and endosomes are known to be deeply engaged in the LB  
63 formation as the LB compartments thereby failing the original functional role inside the cell

64 (13). In addition, oligomers and fibrils are known to have toxic effects on cells and therefore  
65 the whole aggregation process might result in dysfunction and degeneration of the neural cells  
66 (14-16). Furthermore, pathological propagation of  $\alpha$ -syn aggregates to other brain regions  
67 occurs through cell-to-cell transmission in a prion-like manner (17, 18), which has been  
68 elucidated in the previous research using preformed fibrils (PFFs) generated from recombinant  
69  $\alpha$ -syn (19). Together, evidence from various studies suggests that  $\alpha$ -syn is responsible for  
70 neuronal cell death; in consequent, its accumulation might be the leading force of the  
71 synucleinopathy progression (20).

72 Due to its pathological significance, the risk factors for  $\alpha$ -syn aggregation have been  
73 a subject of great research interest. One of possible risk factors for the aggregation is the *SNCA*-  
74 related genetic risk factor, i.e., the familial PD-linked missense mutations in *SNCA* gene and  
75 copy number variations in *SNCA* locus (21). Several PD associated physiological environments,  
76 such as mitochondrial dysfunction, oxidative stress, and impaired protein degradation systems,  
77 known to be toxic to the cells and therefore causes of neuronal cell death have been studied in  
78 relation to their effect on the protein aggregation; however, the exact mechanisms of the  $\alpha$ -syn  
79 aggregation process to form insoluble inclusion like LB remains to be further explored (22-25).  
80 In this review, the role of various post-translational modifications (PTMs), which alter  
81 conformations and functions of their target proteins, on the  $\alpha$ -syn aggregation-related PD  
82 pathogenesis was investigated.

83 PATHOLOGICAL IMPLICATIONS OF ALPHA-SYNUCLEIN POST-TRANSLATIONAL  
84 MODIFICATIONS ON FIBRIL FORMATION AND TOXICITY

85 It has been reported that  $\alpha$ -syn is a viable target for a variety of PTMs in various sites; for  
86 example, acetylation, glycosylation, glycation, nitration, phosphorylation, ubiquitination,  
87 SUMOylation, and truncation. In this review, the possible role of PTMs in the  $\alpha$ -syn  
88 aggregation process, either in developing or restraining the LB formation is investigated (Table  
89 1). Since PTMs modify the translated proteins chemically resulting in alteration of physical or  
90 chemical properties of their targets, it is likely that PTMs in  $\alpha$ -syn would also change their  
91 characteristics, such as structure and the propensity to interact with other organic or inorganic  
92 substances (26). The changes in the physiological properties of  $\alpha$ -syn might either cause or  
93 prevent the accumulation of insoluble  $\alpha$ -syn aggregates; therefore, it is expected that  
94 examining the PTMs on  $\alpha$ -syn will give a revealing insight into the mechanism of the protein  
95 aggregation process and better understanding on the pathophysiological features relevant to the  
96 synucleinopathies including the LB formation in PD.

97

98 POST-TRANSLATIONAL MODIFICATIONS REDUCING THE AGGREGATION-  
99 RELATED PATHOGENESIS OF ALPHA-SYNUCLEIN

100 Some of the PTMs of  $\alpha$ -syn have been reported to show protective effects by interrupting the  
101 aggregation of  $\alpha$ -syn or decreasing cellular toxicity (Table 1). A comparative analysis with  
102 wild-type  $\alpha$ -syn and modified  $\alpha$ -syn is a popular method for determining the effect of PTMs  
103 on  $\alpha$ -syn. Acetylation, one of the major PTMs that happens inside the cell, occurs at the very  
104 end of the amino-terminal region of  $\alpha$ -syn, being a common event that is not limited to  
105 pathological conditions (9, 27, 28). *In vitro* studies using purified  $\alpha$ -syn, however, revealed  
106 that acetylation can alter  $\alpha$ -syn protein to aggregation resistant type by augmenting its  $\alpha$ -helical

107 structure (9, 28-31). Recent research showed that metal ions and 3,4-  
108 dihydroxyphenylacetaldehyde are involved in the reduction of the aggregation propensity of  
109 acetylated  $\alpha$ -syn (32, 33). *O*-linked  $\beta$ -*N*-acetylglucosaminylation (*O*-GlcNAcylation) is a type  
110 of glycosylation that transfers *N*-acetylglucosamine (GlcNAc) to threonine or serine residues  
111 of target proteins by *O*-GlcNAc transferase, and *O*-GlcNAcylated  $\alpha$ -syn proteins were detected  
112 in the human brain tissues (34). Multiple sites in  $\alpha$ -syn, including threonine 72 (T72), T75,  
113 T81, and serine 87 (S87) residues located in the critical region for the aggregation (NAC, non-  
114 amyloid component, amino acid residues 65-90) (35, 36), are redundantly reported to be  
115 targeted by *O*-GlcNAcylation (34, 37-44). In studies using synthetic  $\alpha$ -syn peptides, each of  
116 the four *O*-GlcNAcylation sites exhibited inhibitory effects on the  $\alpha$ -syn fibril formation,  
117 which are synergistically amplified by the triple *O*-GlcNAcylation at T72, T75, and T81  
118 residues (40-44). Interestingly, a cell-based study reported that  $\alpha$ -syn PFF-treated mouse  
119 hippocampal primary neurons showed diminished seeding efficiency in  $\alpha$ -syn aggregation in  
120 the presence of *O*-GlcNAcylated  $\alpha$ -syn (40). A number of reports have suggested that glycation,  
121 the covalent binding of sugar to a protein without enzymatic regulation, may induce  
122 aggregation and cytotoxicity (45-47). However, other studies claimed that glycation of  $\alpha$ -syn  
123 can suppress the formation of aggregates by reducing the conformational flexibility of  $\alpha$ -syn  
124 (48, 49). All four tyrosine residues, tyrosine 39 (Y39), Y125, Y133, and Y136, in  $\alpha$ -syn are  
125 known to be capable of being nitrated (50-55). It is not clearly revealed whether the nitration  
126 of  $\alpha$ -syn enhances or inhibits the progression of  $\alpha$ -syn aggregation-related PD pathogenesis.  
127 While the nitration of  $\alpha$ -syn tends to strengthen oligomer formation (53, 55), some studies  
128 suggested that the nitration down-regulates the  $\alpha$ -syn aggregation (51, 54, 56). The results  
129 imply that the nitration-induced soluble oligomers might inhibit the pathological  $\alpha$ -syn  
130 inclusion formation by blocking fibril formation (56); suggesting a neuroprotective function in

131  $\alpha$ -syn nitration. In both healthy and pathological physiology, a portion of  $\alpha$ -syn is  
132 phosphorylated (57, 58). Numerous kinases are able to phosphorylate  $\alpha$ -syn at various sites;  
133 for example,  $\alpha$ -syn is phosphorylated by G protein-coupled receptor kinases (GRKs) at S129  
134 (59-62), by casein kinases (CKs) at S87 and S129 (57, 63-67), by polo-like kinases (PLKs) at  
135 S129 (68-70), by death-associated protein kinase 1 (DAPK1) at S129 (71), and by tyrosine  
136 kinases c-Fgr, Syk, Lyn, Fyn, and Src at Y125 (72-74). The evidence supporting the  
137 neuroprotective feature of phosphorylation-induced modification of  $\alpha$ -syn is presented by the  
138 works demonstrating the implication of kinase treated  $\alpha$ -syn and the studies using mutant  $\alpha$ -  
139 syn in which the phosphorylation site is replaced with another amino acid to mimic or block  
140 the phosphorylation (61, 64, 67, 70, 74-76).

141 The ubiquitin-proteasomal system (UPS) is one of the main mechanisms mediating the  
142 clearance of impaired  $\alpha$ -syn (77). As might be expected, ubiquitination of  $\alpha$ -syn is prevalently  
143 linked to the  $\alpha$ -syn aggregation process, therefore, inhibiting aggregates formation. The  
144 ubiquitination of  $\alpha$ -syn and its regulatory effects on the aggregation process have been studied  
145 with various ubiquitin E3 ligases. Co-chaperone carboxyl-terminus of Hsp70-interacting  
146 protein (CHIP) not only encourages degradation of  $\alpha$ -syn by UPS and autophagy-lysosomal  
147 pathways (78), but cell-based research showed that ubiquitination mediated by CHIP  
148 eliminates oligomers (79). Similarly, neural precursor cells expressed developmentally down-  
149 regulated protein 4 (NEDD4)-mediated ubiquitination of  $\alpha$ -syn exerted neuroprotective roles  
150 both *in vitro* and *in vivo* studies (80, 81). Besides the type of ubiquitin E3 ligases, the number  
151 and location of the ubiquitinated sites on  $\alpha$ -syn are relevant factors for the anti-aggregation  
152 effect (82, 83). Interestingly, recent studies on the relationship between the ubiquitinated  $\alpha$ -  
153 syn and its aggregation propensity supported the protective role of  $\alpha$ -syn ubiquitination against  
154 synucleinopathies (78-87). SUMOylation is a process in which a small ubiquitin-like modifier

155 (SUMO) is attached to the target proteins, and it has been revealed that the SUMO protein co-  
156 localizes with pathological  $\alpha$ -syn inclusion (88-90). The physiological roles of  $\alpha$ -syn  
157 SUMOylation have been investigated in various model systems; converging data from *in vitro*  
158 protein fibrillization assay, cell-based model, animal model, and yeast model imply that  
159 SUMOylation enhances  $\alpha$ -syn degradation and prevents fibrillization (91-93). Although 15%  
160 of the  $\alpha$ -syn found in LB is truncated, the truncation of  $\alpha$ -syn is a common modification that  
161 exists under healthy physiological conditions (94-96). Among the studies that have investigated  
162 the effect of truncation on  $\alpha$ -syn, some data support the idea that truncation of  $\alpha$ -syn plays a  
163 protective role in neurodegenerative diseases (75, 97-102). Interestingly, a previous study  
164 showed that soluble  $\alpha$ -syn protein fibril formation is inhibited by calpain 1 (CAPN1)-mediated  
165 truncation while further fibrillization of already formed  $\alpha$ -syn fibrils is enhanced by truncation  
166 (99, 100). Also, neurosin (KLK6, kallikrein-related peptidase 6)-mediated truncation can  
167 impede aggregation of  $\alpha$ -syn site-specifically;  $\alpha$ -syn truncation between K80-T81 may show  
168 an anti-aggregation effect, whereas the truncation between K97-D98 may enhance the  
169 aggregation (101).

170 Taken together, the above findings suggest that  $\alpha$ -syn can be post-translationally  
171 modified by various enzymes or stimuli to reduce its propensity to form pathological  
172 aggregates. This implies that a lack of these anti-aggregation related PTMs might enhance the  
173 progression of synucleinopathy; this provides a new therapeutic target.

174

## 175 POST-TRANSLATIONAL MODIFICATIONS INCREASING THE AGGREGATION- 176 RELATED PATHOGENESIS OF ALPHA-SYNUCLEIN

177 Various studies have shown that  $\alpha$ -syn PTMs can enhance the aggregation-related PD  
178 pathogenesis; PTMs such as glycation, nitration, phosphorylation, ubiquitination,

179 SUMOylation, and truncation, have been reported to have both enhancing and suppressing  
180 aspects on the pathological  $\alpha$ -syn aggregation (Table1). Glycation of  $\alpha$ -syn has an inhibitory  
181 effect against  $\alpha$ -syn aggregation (48, 49), but the general consensus suggested by numerous  
182 reports is that glycation fosters  $\alpha$ -syn aggregation and induces toxicity in the brain; these  
183 findings are further supported by the existence of advanced glycation end products (AGEs)  
184 identified in PD patients' brains (45-47, 103). There are a number of studies indicating that the  
185 aggregation of  $\alpha$ -syn is promoted by nitration in a site-specific manner (53, 55). Nitrated  
186 monomeric or dimeric  $\alpha$ -syn induces fibrillization by recruiting unmodified  $\alpha$ -syn, while  
187 nitration-induced oligomeric  $\alpha$ -syn blocks the development of pathological inclusion; this  
188 suggests that the oligomeric status of nitrated  $\alpha$ -syn might be an important feature determining  
189 the propensity for accelerating the aggregate-related PD pathogenesis (53). Through  
190 comparative studies either expressing or inhibiting the relative kinases or using mutated  $\alpha$ -syn  
191 in which S129 is replaced with alanine (S129A) to impede phosphorylation, it has been  
192 speculated that the phosphorylation of  $\alpha$ -syn is a factor that possibly induces or enhances the  
193 aggregation of  $\alpha$ -syn and neurotoxicity (57, 61, 62, 65, 66, 69, 71). *In vitro* study with  
194 recombinant human  $\alpha$ -syn also showed that  $\alpha$ -syn in the presence of its kinase, CK2, is prone  
195 to aggregation (57). Converging results from recent works demonstrate that kinases increase  
196 the level of phosphorylation at S129 of  $\alpha$ -syn, and the phosphorylation exacerbates the  
197 aggregation and toxicity of  $\alpha$ -syn (61, 65, 69, 71). Another known kinase of  $\alpha$ -syn, c-Abl, can  
198 phosphorylate  $\alpha$ -syn at Y39, and this Y39-phosphorylation increases the  $\alpha$ -syn aggregation  
199 and induces neurodegeneration (104).

200 While numerous researchers have reported that ubiquitination inhibits  $\alpha$ -syn  
201 aggregation and reduces its toxicity by promoting its clearance (78-87), a couple of cell-based  
202 studies suggest that  $\alpha$ -syn mono- or di-ubiquitinated by seven in absentia homolog (SIAH)

203 ubiquitin E3 ligase is more likely to form inclusion and induce cell death in SH-SY5Y and  
204 PC12 cells (105, 106). This inconsistency may result from differences in enzymes that mediate  
205  $\alpha$ -syn ubiquitination. It has been demonstrated that SUMOylation can enhance  $\alpha$ -syn  
206 aggregation-related PD pathogenesis; cell-based studies proposed a strong correlation between  
207 SUMOylation and aggregation of  $\alpha$ -syn (89, 90, 107, 108). Recent research has reported that  
208 in the presence of ginkgolic acid which inhibits SUMOylation,  $\alpha$ -syn aggregation is inhibited  
209 and pre-formed aggregates are eliminated; this implies that SUMOylation might play an  
210 important role in the formation of  $\alpha$ -syn inclusion (108). The impact of  $\alpha$ -syn truncation is still  
211 controversial. Although some studies have demonstrated the inhibitory effect of truncation on  
212  $\alpha$ -syn aggregation (75, 97-102), there is evidence from *in vivo* and *in vitro* studies indicating  
213 that  $\alpha$ -syn truncation tends to enhance  $\alpha$ -syn aggregation (99-102, 109-118). *In vitro* studies  
214 using recombinant short  $\alpha$ -syn variants and full-length  $\alpha$ -syn to examine the aggregation  
215 propensity of truncated  $\alpha$ -syn demonstrated that truncation can effectively promote the  
216 fibrillization of  $\alpha$ -syn, with comparative analysis (99-102, 114, 116-118).

217         Taken together, these results suggest that  $\alpha$ -syn can be post-translationally modified  
218 by various enzymes or stimuli to increase its propensity to form pathological aggregates. This  
219 suggests that an excess of the aggregation-related PTMs might contribute to the progression of  
220 synucleinopathy, thus providing another therapeutic target.

221

## 222 PROPERTY OF THE PHOSPHORYLATION AT SERINE 129 OF ALPHA-SYNUCLEIN

223 Among the PTMs associated with the formation of pathological  $\alpha$ -syn inclusions, the  
224 phosphorylation at S129 is widely used as a biomarker for PD because 90% of  $\alpha$ -syn  
225 incorporated in PD patients' LBs is S129-phosphorylated- $\alpha$ -syn (pS129- $\alpha$ -syn)-positive, while  
226 only 4% of  $\alpha$ -syn is phosphorylated at S129 under physiological condition (57, 119). It is,

227 however, premature to conclude that S129-phosphorylation triggers initiation or elongation of  
228 the  $\alpha$ -syn aggregation. According to a study using PFFs, treating cells with the PFFs of C-  
229 terminally truncated  $\alpha$ -syn without S129 residue induced the development of pS129 with newly  
230 recruited endogenous full-length  $\alpha$ -syn; this implied that the S129-phosphorylation might not  
231 be the essential inducer to start the aggregation (110, 120). In addition, the PFFs of  
232 phosphorylation-incompetent  $\alpha$ -syn<sup>S129A</sup> could induce the aggregation even in cells stably  
233 expressing  $\alpha$ -syn<sup>S129A</sup>; indicating that  $\alpha$ -syn aggregate seeding and the subsequent recruitment  
234 of endogenous  $\alpha$ -syn occur even in the S129-phosphorylation-incapable environment (120).  
235 On the other hand, however, various *in vivo* and *in vitro* research models using specific kinase  
236 or mutant  $\alpha$ -syn showed that the S129-phosphorylation plays a role in the aggregation process  
237 (57, 60, 61, 65-67, 69-71, 75). One possible speculation is that the aggregation starts before the  
238  $\alpha$ -syn S129-phosphorylation occurs, which may be triggered by a certain factor(s) or just by  
239 chance. Thereafter, the S129-phosphorylation shows up and may foster the aggregation process  
240 by stabilizing its structure; it supports the evidence that not only monomeric  $\alpha$ -syn but also the  
241 aggregated forms of  $\alpha$ -syn are subjected to phosphorylation (68, 121). Since pS129 is not  
242 necessarily needed for the seed fibril elongation in  $\alpha$ -syn PFF seeded cells (120), the  
243 aggregation promoting effect of pS129 might be limited to further events after the formation  
244 of  $\alpha$ -syn fibrils in the pathophysiological condition. It is also worth noting that the S129-  
245 phosphorylation of  $\alpha$ -syn accelerates the clearance of abnormal  $\alpha$ -syn (70, 122). Its  
246 neuroprotective feature further suggests that the S129-phosphorylation of  $\alpha$ -syn happens after  
247 the initiation of aggregation to promote degradation of abnormal protein accumulation.  
248 According to this hypothesis, the S129-phosphorylation of  $\alpha$ -syn inhibits aggregation under  
249 healthy conditions but is a double-edged sword and promotes  $\alpha$ -syn aggregation under  
250 pathophysiological conditions. However, it should clearly be demonstrated: *i*) whether the

251 S129-phosphorylation of  $\alpha$ -syn occurs later after the initial aggregation step at the molecular  
252 level in pathophysiological conditions, and *ii*) whether the pS129-fibrillar  $\alpha$ -syn results in  
253 solidifying and enlarging the aggregates in pathophysiological conditions.

## 254 **DISCUSSION**

255 The findings of experimental research on the effects of some PTMs on  $\alpha$ -syn aggregation do  
256 not come to an accord as shown in Table 1 in which most of the PTMs are reported to act in  
257 both directions, either up-regulating or down-regulating  $\alpha$ -syn aggregation. The contradiction  
258 may arise from the different features between site-specifically modified  $\alpha$ -syn proteins. As it  
259 is well described in the glycosylation, glycation, nitration, phosphorylation, ubiquitination,  
260 SUMOylation, and truncation, the tendency of  $\alpha$ -syn toward aggregation and its extent differ  
261 depending on the modification sites in  $\alpha$ -syn (40, 44-46, 51, 54, 61, 67, 74, 75, 81, 82, 84, 86,  
262 91-93, 98-102, 105, 110, 112, 114, 116-118). While a majority of research on phosphorylation  
263 at S129 residue suggests that it encourages  $\alpha$ -syn aggregation (57, 61, 65, 66, 69, 71),  
264 phosphorylation at other sites such as S87 and Y125 have been reported might to inhibit  
265 aggregation (61, 64, 67, 74, 76). In the case of ubiquitination, the anti-aggregation effects may  
266 vary depending on the modification sites of  $\alpha$ -syn and the length of the ubiquitin chain (80-87,  
267 105). Truncation is generally linked to the acceleration of  $\alpha$ -syn fibrillization especially when  
268 it happens at the carboxy terminus of  $\alpha$ -syn (99-102, 109-118); however, a study showed that  
269 the  $\alpha$ -syn aggregation is inhibited when the NAC region is truncated (99, 101). Besides  
270 modification sites, the difference in  $\alpha$ -syn aggregation is also attributed to the enzymes related  
271 to PTMs. Generally, more than one enzyme is associated with a PTM, and the effect of PTM  
272 on  $\alpha$ -syn may vary depending on the enzymes involved. For instance, ubiquitination mediated  
273 by SIAH is reported to enhance the propensity of  $\alpha$ -syn fibrillization (105, 106), whereas other  
274 ubiquitin E3 ligases-mediated ubiquitination, such as CHIP and NEDD4, are linked to  
275 aggregation inhibitory effect (78-81). One interesting point is that not only  $\alpha$ -syn monomers  
276 but also fibrils of  $\alpha$ -syn are subject to modification. Notably, the consequences of a PTM on  
277 different forms of  $\alpha$ -syn may be inconsistent; a couple of studies suggested that truncated  $\alpha$ -

278 syn monomers are resistant to aggregation while the truncation of  $\alpha$ -syn fibril induces further  
279 fibrillization (99, 100). Some contradictory research results make it hard to determine the  
280 pathological impact of the PTMs on synucleinopathies; for example, one research suggested  
281 that the glycation reduces conformational flexibility of  $\alpha$ -syn and thereby inhibits further  
282 fibrillization (49), however, these findings were inconsistent with results of similar studies (45,  
283 47). In addition, a study showed that when the phosphorylation by GRK2 (Gprk2 in *Drosophila*  
284 *melanogaster*) is blocked, the aggregation of  $\alpha$ -syn is increased, whereas the cytotoxicity is  
285 alleviated (60); this implied that there might be a neuroprotective effect in  $\alpha$ -syn aggregation,  
286 contrary to the conventional belief (40, 41, 45-47, 55, 61, 65, 69-71, 75, 76, 79-81, 92, 93, 105,  
287 106, 109, 111, 115).

288 As described in the case of the phosphorylation at S129, it has been widely  
289 demonstrated that certain PTMs of  $\alpha$ -syn is involved in the aggregation process and subsequent  
290 formation of insoluble inclusions, LBs. However, it is still not clear: *i*) whether a certain PTM  
291 of  $\alpha$ -syn actually accelerates/inhibits the aggregation or occurs as an event accompanying the  
292 aggregation process, and *ii*) whether it is a leading factor inducing/reducing fibrillization.  
293 Although it is too hasty to conclude that PTMs repress or accelerate the pathogenesis of LB  
294 formation, according to a lot of evidence, PTMs can regulate  $\alpha$ -syn in different ways, and it  
295 might be critical for the progression of  $\alpha$ -syn aggregation-related pathogenesis including  
296 synucleinopathies. However, it is not negligible that  $\alpha$ -syn not only undergoes PTMs, but also  
297 interacts with proteins including chaperons and HDAC, and metal ions such as  $\text{Ca}^{2+}$ , which  
298 alter the properties of  $\alpha$ -syn and consequently possibly impact the progression of  
299 synucleinopathies (32, 123, 124). Therefore,  $\alpha$ -syn constantly interacts with the surroundings  
300 in the physiological condition, and its conversion to pathological inclusion might be the  
301 consequence of collaboration between PTMs, interacting proteins, and metal ions. Further

302 study to precisely reveal the  $\alpha$ -syn modifications and their associated roles in  
303 pathophysiological conditions to fully uncover the mechanism of aggregation, and taking this  
304 further, to develop a better understanding of the synucleinopathies is warranted.

305 **Table 1. Pathological implications of alpha-synuclein post-translational modifications for**  
 306 **the aggregation and toxicity.**

PTM (site/residue)	Enzyme	Experimental model	Aggregation	Cell death	Note	Ref.
<b>Acetylation</b>						
N-terminal	NatB	<i>in vitro</i>	Reduce	n.d.	Decreased aggregation rate of N-terminally acetylated $\alpha$ -syn than non-acetylated $\alpha$ -syn.	(28)
N-terminal	NatB	<i>in vitro</i>	Reduce	n.d.	Decreased aggregation of N-terminally acetylated $\alpha$ -syn due to the increased helical folding propensity.	(29)
N-terminal	NatB	<i>in vitro</i>	Reduce	n.d.	Decreased $\alpha$ -syn aggregation rate with N-terminal acetylation.	(30)
N-terminal	NatB	<i>in vitro</i>	Reduce	n.d.	Decreased N-terminally acetylated $\alpha$ -syn aggregation rate than non-acetylated $\alpha$ -syn; the aggregation rate more slows down by $Fe^{3+}$ , but no effect by $Cu^{2+}$ .	(32)
N-terminal	NatB	<i>in vitro</i>	Reduce	n.d.	N-terminally acetylated $\alpha$ -syn is less prone to oligomerize than the non-acetylated $\alpha$ -syn in the presence of DOPAL due to increased binding to vesicles.	(33)
N-terminal	NatB	<i>in vitro</i>	No effect	n.d.	No significant differences in the fibrillization kinetics between N-terminally acetylated $\alpha$ -syn and non-acetylated $\alpha$ -syn.	(27)
<b>O-GlcNAcylation</b>						
Thr72	n.d.	<i>in vitro</i>	Reduce	n.d.	O-GlcNAcylated synthetic $\alpha$ -syn peptide(68-77) reduces the aggregation.	(42)
Thr72	n.d.	Rat cortical neuron, SH-SY5Y, <i>in vitro</i>	Reduce	Decrease	Decreased $\alpha$ -syn aggregation and PFF-induced toxicity by O-GlcNAcylation at T72.	(41)
Thr72, 75, 81, Ser87	n.d.	Mouse hippocampal neuron, <i>in vitro</i>	Reduce	Decrease	Triply O-GlcNAcylated $\alpha$ -syn(gT72,75,81) inhibits the aggregation of unmodified $\alpha$ -syn.	(40)
Thr72, Ser87	n.d.	<i>in vitro</i>	Reduce	n.d.	O-GlcNAcylated $\alpha$ -syn at T87 also inhibits the aggregation, but to a lesser extent than at T72.	(44)
n.d.	OGT	<i>in vitro</i>	Reduce	n.d.	Enzymatic O-GlcNAcylation of $\alpha$ -syn inhibits aggregation	(39)
<b>Glycation</b>						
N-terminal, all Lys	n.d.	<i>in vitro</i>	Reduce	n.d.	Glycation inhibits $\alpha$ -syn fibril formation <i>in vitro</i> , but it cannot disassemble pre-existing fibrils.	(48)
n.d.	n.d.	SH-SY5Y, HeLa, <i>in vitro</i>	Reduce	No effect	Glycated $\alpha$ -syn inhibits fibrillation of itself or of unmodified $\alpha$ -syn <i>in vitro</i> .	(49)
Lys6, 10, 12, 21, 23, 32, 34, 43, 45	n.d.	hiPSC, mouse, fly, yeast, H4, LUHMES	Enhance	Increase	Glycation promotes the accumulation of toxic $\alpha$ -syn oligomers and enhances $\alpha$ -syn toxicity in cells and <i>in vivo</i> ; glycation inhibitors reduce $\alpha$ -syn aggregation and alleviate motor phenotypes in fly.	(45)
Lys58, 60, 80, 96, 97, 102	n.d.	SH-SY5Y, <i>in vitro</i>	Enhance	Increase	Ribosylation, glycation with <i>D</i> -ribose, induces $\alpha$ -syn aggregation and cell death.	(46)
n.d.	n.d.	Mouse, N2a, <i>in vitro</i>	Enhance	Increase	DJ-1 activity controls to the accumulation of glycated $\alpha$ -syn.	(47)
<b>Nitration</b>						
Tyr39, 125	n.d.	<i>in vitro</i>	Reduce	n.d.	Semi-synthetic nitrated $\alpha$ -syn(nY39 or nY125) has slower aggregation kinetics than wild-type <i>in vitro</i> .	(51)
Tyr39, 125, 133, 136	n.d.	<i>in vitro</i>	Reduce	n.d.	Tyrosine-nitration blocks $\alpha$ -syn fibril formation <i>in vitro</i> .	(54)
n.d.	n.d.	<i>in vitro</i>	Reduce	n.d.	Nitrated $\alpha$ -syn inhibits fibrillation of itself or of unmodified $\alpha$ -syn <i>in vitro</i> .	(56)
Tyr39	n.d.	<i>in vitro</i>	Enhance	n.d.	Nitrated $\alpha$ -syn monomer or dimer accelerates the rate of fibrillation of unmodified $\alpha$ -syn <i>in vitro</i> .	(53)
Tyr39	n.d.	Mouse, SH-SY5Y	Enhance	Increase	Y39-nitration of $\alpha$ -syn may increase neuronal $\alpha$ -syn aggregation and apoptosis induced by METH.	(55)
<b>Phosphorylation</b>						
Ser87	n.d.	Rat	Reduce	Decrease	Intranigral injection of rAAV2/6- $\alpha$ -syn(WT or S87A) induces $\alpha$ -syn aggregation and loss of DA neurons in rat, but S87E does not.	(76)
Ser87	CK1	Human brain, rat, mouse, <i>in vitro</i>	Reduce	n.d.	Phosphorylation at S87 increases conformational flexibility of $\alpha$ -syn.	(64)
Ser87, 129	CK1, CK2	Human brain, mouse, SH-SY5Y, <i>in vitro</i>	Reduce	n.d.	Phosphorylation at S87 inhibits $\alpha$ -syn fibril formation <i>in vitro</i> , but pS87- $\alpha$ -syn is not abundant in LB; proteasomal dysfunction increases CK2 activity, which results in elevated pS129- $\alpha$ -syn level.	(67)
Tyr125	Shark	Human brain, fly	Reduce	Decrease	Y125-phosphorylation of $\alpha$ -syn is reduced in aged human and fly brains.	(61)

Tyr125, 133, 136	SYK	Mouse, SH-NBE, CHO	Reduce	n.d.	Syk-mediated phosphorylation prevents $\alpha$ -syn multimerization; Y125- $\alpha$ -syn is the major phosphorylation site by Syk.	(74)
Ser129	n.d.	Mouse, HEK293T	Reduce	Decrease	Prion-like progression and time to disease onset in S129E- $\alpha$ -syn PFFs-injected mouse are elongated.	(75)
Ser129	PLK2	Rat, HEK293T	Reduce	Decrease	S129-phosphorylation of $\alpha$ -syn is mediated by PLK2, and it enhances $\alpha$ -syn autophagic degradation.	(70)
Ser129	GRK2	Fly	Reduce	Increase	S129A- $\alpha$ -syn suppresses DA neuronal cell death induced by $\alpha$ -syn completely and increases inclusion formation; S129D- $\alpha$ -syn or Gprk2-mediated pS129- $\alpha$ -syn enhances $\alpha$ -syn toxicity.	(60)
Ser129	GRK6	Rat	No effect	Increase	Increased levels of pS129- $\alpha$ -syn enhances A53T $\alpha$ -syn toxicity in the rAAV-based rat model.	(62)
Tyr39	c-Abl	Mouse, SH-SY5Y, HEK293T, <i>in vitro</i>	Enhance	Increase	Deletion of c-Abl reduces $\alpha$ -syn aggregation and neurodegeneration in the hA53T $\alpha$ -syn mice; overexpression of constitutively active c-Abl accelerates $\alpha$ -syn aggregation and neurodegeneration in the hA53T $\alpha$ -syn mice.	(104)
Ser129	CK2	Human brain, <i>in vitro</i>	Enhance	n.d.	Phosphorylation of $\alpha$ -syn at S129 promotes fibril formation <i>in vitro</i> .	(57)
Ser129	DAPK1	SH-SY5Y, MEF	Enhance	Increase	DAPK1 plays an important role in stimulating toxic $\alpha$ -syn aggregation and neuronal cell death.	(71)
Ser129	CK2	SH-SY5Y	Enhance	n.d.	H <sub>2</sub> O <sub>2</sub> induces S129-phosphorylation of $\alpha$ -syn and the inclusion formation.	(66)
Ser129	GRK2	Fly	Enhance	Increase	Co-expression of Gprk2 with $\alpha$ -syn increases $\alpha$ -syn aggregation; S129A- $\alpha$ -syn reduces $\alpha$ -syn toxicity; S129D- $\alpha$ -syn enhances $\alpha$ -syn toxicity.	(61)
Ser129	CK1	Fly	Enhance	Increase	CK1-mediated S129-phosphorylation of $\alpha$ -syn increases the aggregation.	(65)
Ser129	PLKs	Mouse, SH-SY5Y	Enhance	Increase	METH treatment increases PLK2 and pS129- $\alpha$ -syn levels, the aggregation, and apoptosis; BI2536, pan-PLK inhibitor, treatment reduces S129-phosphorylation of $\alpha$ -syn, the aggregation, and apoptosis, induced by METH.	(69)
<b>Ubiquitination</b>						
N-terminal	UBE2W	<i>in vitro</i>	Reduce	n.d.	N-terminal ubiquitination and the proteasome may together disturb $\alpha$ -syn aggregate formation.	(85)
Lys6	n.d.	<i>in vitro</i>	Reduce	n.d.	Ubiquitination at K6 results in prominent inhibition of $\alpha$ -syn fibril formation.	(83)
Lys6, 12, 21, 32, 34, 43, 96	n.d.	<i>in vitro</i>	Reduce	n.d.	Disulfide-directed ubiquitination at K32C, K34C, K43C or K96C strongly inhibits $\alpha$ -syn aggregation; disulfide-directed ubiquitination at K6C, K12C, or K21C inhibits $\alpha$ -syn aggregation; disulfide-directed ubiquitination at K10C or K23C may not inhibit $\alpha$ -syn aggregation.	(82)
Lys6, 23, 96	n.d.	<i>in vitro</i>	Reduce	n.d.	Disulfide-directed ubiquitination at K6C, K23C, or K96C inhibits $\alpha$ -syn aggregation; disulfide-directed ubiquitination at K96C may cause an alteration in the structure of $\alpha$ -syn aggregates.	(86)
Lys12	n.d.	<i>in vitro</i>	Reduce	n.d.	K12 tetra-ubiquitinated $\alpha$ -syn forms nonfibrillar aggregates but does not form amyloid fibrils; $\alpha$ -syn K12 di/tetra-ubiquitination abolishes PLK3-mediated phosphorylation at S129, but SYK-mediated phosphorylation at Y125 destabilizes K12 tetra-ubiquitinated $\alpha$ -syn.	(87)
Lys12, 21, 45, 58, 96	NEDD4	Human brain, SH-SY5Y, HEK293, yeast, <i>in vitro</i>	Reduce	Decrease	Nedd4-mediated ubiquitination promotes the destruction of $\alpha$ -syn by the endosomal-lysosomal pathway.	(81)
Lys45, 58, 60	SCF	Mouse, SH-SY5Y, HeLa, BV-2, COS7	Reduce	n.d.	SCF containing FBXL5 prevents LB-like pathology by extracellular $\alpha$ -syn fibrils, from the initiation and spreading in mice.	(84)
n.d.	CHIP	Human brain, H4	Reduce	n.d.	Overexpression of CHIP, a component of LBs, inhibits $\alpha$ -syn aggregation and reduces $\alpha$ -syn protein levels.	(78)
n.d.	CHIP	H4	Reduce	Decrease	Co-expression of CHIP selectively degrades toxic $\alpha$ -syn oligomers, thereby it selectively reduces $\alpha$ -syn oligomerization and toxicity.	(79)
n.d.	NEDD4	Rat, fly	Reduce	Decrease	Overexpressed-Nedd4-mediated degradation reduces the accumulation and aggregation of $\alpha$ -syn in rat SN; overexpression of Nedd4 decreases the $\alpha$ -syn-induced dopaminergic cell loss in a rat model.	(80)
Lys10, 12, 21, 23, 34, 43, 96	SIAH1/2	SH-SY5Y, <i>in vitro</i>	Enhance	Increase	Monoubiquitylation may trigger $\alpha$ -syn aggregation and LB formation.	(105)
n.d.	SIAH1	HeLa, PC12, <i>in vitro</i>	Enhance	Increase	Siah1-mediated ubiquitination promotes $\alpha$ -syn aggregation and enhances its toxicity.	(106)
<b>SUMOylation</b>						
Lys96,102	n.d.	<i>in vitro</i>	Reduce	n.d.	SUMOylation at K102 more inhibits the aggregation of $\alpha$ -syn than K96 SUMOylation; SUMO1 modification more inhibits the aggregation of $\alpha$ -syn than SUMO3.	(91)
Lys96,102	n.d.	Yeast	Reduce	Decrease	Impaired SUMOylation of $\alpha$ -syn aggravates cytotoxicity and increase the formation of inclusions.	(92)
Lys96,102	n.d.	Rat, HEK293T, <i>in vitro</i>	Reduce	Decrease	SUMOylation of $\alpha$ -syn impaired by K96/102R mutation increases propensity for both aggregation and cytotoxicity in rat SN DA neurons.	(93)

n.d.	PIAS2	Human brain, SH-SY5Y, HEK293	Enhance	n.d.	PIAS2-mediated SUMOylation leads to $\alpha$ -syn accumulation by reducing its degradation <i>via</i> UPS; PIAS2 expression along with SUMOylated $\alpha$ -syn in PD brains.	(89)
n.d.	CBX4	HEK293, COS7	Enhance	Decrease	Increased $\alpha$ -syn aggregation and decreased staurosporine-induced cell death by CBX4-mediated SUMOylation.	(107)
n.d.	n.d.	Rat cortical neuron, SH-SY5Y	Enhance	n.d.	SUMOylation inhibitor, ginkgolic acid, promotes autophagy-dependent clearance of $\alpha$ -syn aggregates.	(108)
<b>Truncation</b>						
1-57, 1-73, 1-75, 1-83	CAPN1	<i>in vitro</i>	Reduce	n.d.	CAPN1-cleaved soluble $\alpha$ -syn fragments prevent fibrillization of full-length $\alpha$ -syn.	(99)
1-108, 1-124	n.d.	<i>in vitro</i>	Reduce	n.d.	Truncation of the C-terminal 16 amino acid residues of $\alpha$ -syn results in an approximately 8-fold reduction of $t_{1/2}$ in aggregation kinetics.	(98)
1-115, 1-119, 1-122, 1-125, 1-129	n.d.	Mouse, HEK29T, <i>in vitro</i>	Reduce	Decrease	Prion-like progression and time to disease onset in C-terminally truncated $\alpha$ -syn PFFs-injected mouse are elongated.	(75)
1-120	n.d.	Mouse, SH-SY5Y	Reduce	n.d.	C-terminally truncated $\alpha$ -syn fibrils induce sparse $\alpha$ -syn pathologies in mouse.	(102)
11-140, 31-140	n.d.	<i>in vitro</i>	Reduce	n.d.	N-terminally truncated $\alpha$ -syn slows down the aggregation <i>in vitro</i> .	(102)
1-57	CAPN1	Mouse, <i>in vitro</i>	*Reduce	n.d.	The major cleavage site of soluble $\alpha$ -syn by CAPN1 is between E57-K58.	(100)
1-80	KLK6	<i>in vitro</i>	*Reduce	n.d.	The cleavage of $\alpha$ -syn between K80-T81 (within the NAC region) may impede $\alpha$ -syn aggregation.	(101)
1-108	n.d.	<i>in vitro</i>	*Reduce	n.d.	Strongly twisted $\beta$ -sheets in $\alpha$ -syn(1-108) fibrils resist incorporation of full-length $\alpha$ -syn monomers.	(97)
1-87, 1-120	n.d.	<i>in vitro</i>	Enhance	n.d.	C-terminally truncated $\alpha$ -syn is most rapidly assembled.	(114)
1-102, 1-110	n.d.	<i>in vitro</i>	Enhance	n.d.	C-terminally truncated $\alpha$ -syn aggregates more rapidly than full-length protein.	(116)
1-103	AEP	Rat ventral midbrain neuron, mouse	Enhance	Increase	AEP-cleaved $\alpha$ -syn(1-103) enhances the aggregation and the neurotoxicity.	(111)
1-103, 1-122	n.d.	<i>in vitro</i>	Enhance	n.d.	Increased fibril helix twists upon removal of C-terminal residues.	(117)
1-114, 1-122	CAPN1	<i>in vitro</i>	Enhance	n.d.	CAPN1-cleaved fibrillar $\alpha$ -syn promotes further co-assembly with full-length $\alpha$ -syn.	(99)
1-120	n.d.	<i>in vitro</i>	Enhance	n.d.	C-terminally truncated $\alpha$ -syn quickens up the aggregation <i>in vitro</i> .	(102)
1-120	n.d.	Fly	Enhance	Increase	$\alpha$ -Syn(1-120) increases the aggregation and enhances the neurotoxicity <i>in vivo</i> .	(109)
1-120	n.d.	Mouse	Enhance	*Increase	Rat <i>TH</i> -specific expression of $\alpha$ -syn(1-120) leads to the formation of pathological inclusions in SN and OB and to a reduction in striatal dopamine levels.	(115)
1-120, 1-123	n.d.	Mouse, SH-SY5Y, HEK29T, N2a, Ltk-, COS-1	Enhance	n.d.	C-terminally truncated $\alpha$ -syn enhances the aggregation of full-length $\alpha$ -syn.	(110)
1-120, 1-123	AEP	Mouse brain, N27	Enhance	n.d.	C-terminal cleavage of $\alpha$ -syn is directly induced by lysosomal activity.	(112)
1-122	CAPN1	Human brain lysate, SH-SY5Y	Enhance	n.d.	Cleavage of $\alpha$ -syn by CAPN1 enhances self-aggregation and induces $\beta$ -sheet structure.	(113)
11-140, 31-140	n.d.	Mouse, SH-SY5Y	Enhance	n.d.	N-terminally truncated $\alpha$ -syn fibrils induce abundant $\alpha$ -syn pathologies in mouse.	(102)
1-97	KLK6	<i>in vitro</i>	*Enhance	n.d.	The cleavage of $\alpha$ -syn between K97-D98 may enhance the aggregation.	(101)
1-103, 1-119	n.d.	<i>in vitro</i>	*Enhance	n.d.	C-terminally truncated $\alpha$ -syn promotes the aggregation at neutral pH.	(118)
1-122	CAPN1	Mouse, <i>in vitro</i>	*Enhance	n.d.	Fibrillized $\alpha$ -syn is cleaved predominantly after E114 and N122 by CAPN1.	(100)

\*, speculation without experimental evidence. AGEs, advanced glycation end-products. DA neuron, dopaminergic neuron. DOPAL, 3,4-dihydroxyphenylacetaldehyde. hiPSC, human induced pluripotent stem cell. LB, Lewy body. MATH, methamphetamine. n.d., not determined. NAC, non-amyloid component. O-GlcNAcylation, O-linked  $\beta$ -N-acetylglucosaminylation. OB, olfactory bulb. PD, Parkinson's disease. PFF, pre-formed fibril. SN, substantia nigra. SUMO, small ubiquitin-like modifier. UPS, ubiquitin proteasome system.

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320 **CONFLICTS OF INTEREST**

321 The authors declare no conflict of interest.

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