

BMB Reports – Manuscript Submission

Manuscript Draft

Manuscript Number: BMB-22-038

Title: Autistic-like social deficits in hippocampal MeCP2 knockdown rat models are rescued by ketamine

Article Type: Article

Keywords: Ketamine; MeCP2; social deficits; autism; hippocampus

Corresponding Author: Hyeon Son

Authors: Miyeon Choi^{1, #}, Seung Yeon Ko^{1, #}, Jee Young Seo², Do Gyeong Kim², Huiju Lee², Heekyoung Chung², Hyeon Son^{2, 3, *}

Institution: ¹Hanyang Biomedical Research Institute and ²Graduate School of Biomedical Science and Engineering, Hanyang University,
³Department of Biochemistry and Molecular Biology, College of Medicine, Hanyang University,

Manuscript Type: Article

Title: Autistic-like social deficits in hippocampal MeCP2 knockdown rat models are rescued by ketamine

Author's name: Miyeon Choi^{1,4}, Seung Yeon Ko^{1,4}, Jee Young Seo², Do Gyeong Kim², Huiju Lee², Heekyoung Chung², Hyeon Son^{2,3,*}

Affiliation: ¹Hanyang Biomedical Research Institute, Hanyang University, Seoul 04763, Republic of Korea; ²Graduate School of Biomedical Science and Engineering, Hanyang University, Seoul 04763, Republic of Korea; ³Department of Biochemistry and Molecular Biology, College of Medicine, Hanyang University, Seoul 04763, Republic of Korea; ⁴These authors contributed equally to this work.

Running Title: Ketamine rescues autistic-like social deficits

Keywords: Ketamine, MeCP2, social deficits, autism, hippocampus

Corresponding Author's Information: *Hyeon Son, Ph.D., Tel: (82)2-2220-0626; Fax: (82)2-2220-2422; E-mail: hyeonson@hanyang.ac.kr

ABSTRACT

Autism or autism spectrum disorder (ASD) is a behavioral syndrome characterized by persistent deficits in social interaction, and repetitive patterns of behavior, interests, or activities. The gene encoding Methyl-CpG binding protein 2 (*MeCP2*) is one of a few exceptional genes of established causal effect in ASD. Although genetically engineered mice studies may shed light on how *MeCP2* loss affects synaptic activity patterns across the whole brain, such studies are not considered practical in ASD patients due to the overall level of impairment, and are technically challenging in mice. For the first time, we show that hippocampal *MeCP2* knockdown produces behavioral abnormalities associated with autism-like traits in rats, providing a new strategy to investigate the efficacy of therapeutics in ASD. Ketamine, an N-Methyl-D-aspartate (NMDA) blocker, has been proposed as a possible treatment for autism. Using the *MeCP2* knockdown rats in conjunction with a rat model of valproic acid (VPA)-induced ASD, we examined gene expression and ASD behaviors upon ketamine treatment. We report that the core symptoms of autism in *MeCP2* knockdown rats with social impairment recovered dramatically following a single treatment with ketamine.

INTRODUCTION

Autism spectrum disorder (ASD) is a highly prevalent neurodevelopmental disorder that is characterized by impaired social interaction and communication, repetitive behaviors, and restricted interests (1, 2). Early behavioral intervention is recommended for children diagnosed with ASD. Currently, its core symptoms cannot be cured and there is a need to develop pharmacological treatments. In order to develop effective pharmacological treatments that can be started during the early developmental stage, the pathogenesis of ASD needs to be understood. As extensively demonstrated in both humans (3) and rodent models (4), *MeCP2* is one of the few genes known to play a causal role in ASD (5). Using genetically manipulated rodent models, researchers have demonstrated that both loss and gain of *MeCP2* function can alter synaptic transmission and disrupt the overall excitation/inhibition balance in neural circuits (6). Consistent with this, dendritic spines in cortical neurons, which are postsynaptic to excitatory synapses and a proxy for synaptic densities, are affected in people with ASD (7). In fact, multiple ASD-related genes are involved in synaptic function(8).

Evidence for the possibility that loss of *MeCP2* function results in developmental dysregulation of *N*-methyl-D-aspartate receptor (NMDAR) expression has attracted interest to NMDAR as a therapeutic target for rett syndrome (RTT), a neurodevelopmental disease caused by disruption of the *MeCP2* gene (9, 10). One class of molecules that have shown promise in preclinical models of RTT are channel-blocking NMDAR antagonists (10). Kron *et al.* (11) demonstrated that treatment of heterozygous female *MeCP2* mutant mice with a subanesthetic dose of ketamine (8 mg/kg) is highly effective in acutely reversing disease phenotypes, including abnormal patterns of neuronal activation in cortical and subcortical structures as well as sensorimotor dysfunction. Although *MeCP2* gain-of-function and loss-of-function in genetically engineered rodents recapitulates typical phenotypes of

patients with autism, it is still not known where ketamine affects the rodent brain, and whether/how it relates to autism pathology due to *MeCP2* mutation. Therefore, the present study investigated the induction of ASD behaviors by knocking down *MeCP2* in the hippocampus, and the effects of ketamine on the behaviors and synaptic molecules in the hippocampus of rats infused with lenti-shMeCP2.

RESULTS

Behavioral validation of the neonatal VPA-induced animal models of autistic-like behaviors.

VPA rats were generated by intraperitoneally injecting pregnant SD rats on embryonic day 12.5 (E12.5) with a single dose of VPA (500 mg/kg) (Fig. 1A). Behavioral assays showed that the VPA rats did not display any difference in locomotor activity compared to saline rats (Fig. 1B: $t_{54}=1.27$, $P=0.2095$). However, the VPA rats spent less time in the middle zone in the OFT (Fig. 1B: $t_{54}=2.139$, $P<0.05$), indicating that they had anxiety-like behaviors. Autistic-like behavior is characterized by repetitive behaviors (12). To test if VPA rats displayed this type of behavior, we performed the self-grooming test. The VPA rats spent significantly longer self-grooming than the saline rats (Fig. 1C: $t_{54}=2.247$, $P<0.05$). Aberrant reciprocal social interaction is a core symptom of autistic-like behaviors (13, 14). We evaluated social interaction with the social approach. In this assay, the VPA rats spent less time sniffing at the social stimulus than the saline rats (Fig. 1D: $t_{18}=3.59$, $P<0.01$), indicating that they displayed impaired social interaction.

Ketamine ameliorates autistic-like social deficit features in VPA rats

Next, we used a three-chamber apparatus to assess sociability and social novelty preference for social interaction, which may be relevant to autistic-like behaviors (15, 16). In the three-chamber sociability test, if the test animal spends more time with the empty wire cage (E) than with the wire cage containing the stranger (S), this points to a deficit of sociability (Fig. 1E). In the first session, we assessed sociability by measuring staying time in the compartment with a stranger rat in the wire cage *versus* in the one with the empty wire cage. We found that VPA rats treated with saline demonstrated significantly reduced social interaction compared with saline rats, as indicated by the relative amount of time spent

investigating the stranger cage compared with the empty cage (Fig. 1F). This measurement yields the sociability index (Fig. 1G). To determine whether ketamine ameliorates autistic-like social deficits in the VPA rats, we tested the effect of ketamine treatment on social interaction in the three-chamber test. Administration of ketamine (20 mg/kg) to VPA rats 1 h before the three-chamber test significantly attenuated the reduction in social interaction, restoring this behavior to levels comparable to those in saline rats. In contrast, ketamine treatment of saline rats had no effect on investigation time (Fig. 1F) and sociability index (Fig. 1G). In addition, VPA rats spent significantly less time sniffing around a caged stranger rat when analyzed by sniffing time (Fig. 1H) and sniffing index (Fig. 1I), and both of these measures were recovered by ketamine treatment.

In the second session of the three-chamber test we assessed social interaction by measuring the preference for the stranger cage (S1) *versus* the familiar cage (F) (Fig. 1E). A new rat was added to the previously empty compartment and social preference between the familiar rat and novel rat was assessed by measuring the time spent close to the cage with the familiar *versus* the stranger rat. VPA rats treated with saline displayed a similar social novelty preference to that of saline rats treated with (Fig. 1J, K). Ketamine (20 mg/kg) did not significantly alter the investigation time (Fig. 1J) and preference index (Fig. 1K) both in saline and VPA rats. Similar results were obtained using preference sniffing time as measured by sniffing time (Fig. 1L) and preference sniffing time index (Fig. 1M). Together, these results indicate that prenatal VPA induces autism-like social deficits in social interaction, similar to previous findings (17) and ketamine alleviates the observed social deficits (Fig. 1J-M).

Ketamine recovers PTEN expression in VPA rats.

We first examined whether endogenous MeCP2 levels were decreased in VPA rats and found

that *MeCP2* mRNA (Supplementary Fig. 1A: $t_{12}=3.386$, $P<0.01$) and protein (Supplementary Fig. 1B: $t_6=2.528$, $P<0.05$) levels were indeed significantly lower in the VPA rats on postnatal day 28 (P28). Given this result, we next examined the effect of ketamine on *MeCP2* expression by investigating the changes in expression of various regulators related to synaptic function and *MeCP2* target genes. Quantitative real-time PCR analyses indicated that the level of *Pten* mRNA was significantly lower in hippocampal lysates from the VPA rats, while the levels of *Psd95*, *Glur1*, *Synapsin1*, *Rab3d* and *Vamp3* mRNAs were largely unchanged (Supplementary Fig. 1C, D). There are reports that abnormalities in PTEN lead to neurological disorders such as autism, seizures and schizophrenia (18-21). Ketamine treatment significantly increased *Pten* mRNA, while the *Psd95*, *Glur1*, *Synapsin1*, *Rab3d* and *Vamp3* mRNAs were not altered (Supplementary Fig. 1C, D).

3.4. Hippocampal *MeCP2* knockdown produces autistic-like behaviors in rats

To test whether hippocampal *MeCP2* knockdown generates autistic-like behaviors, we infused lentivirus expressing shRNA targeted against rat *MeCP2* (lenti-sh*MeCP2*) into the dentate gyrus (DG), 4 weeks before ketamine injection (Fig. 2A). A recovery period of 4 weeks was chosen because effective knockdown was achieved by infusion of lentivirus-mediated sh*MeCP2* into rats after 21 days (22). Lenti-sh*MeCP2* infusions lowered *MeCP2* RNA (Fig. 2B: $t_{14}=6.169$, $P<0.001$) and protein (Fig. 2C: $t_8=3.072$, $P<0.05$) levels in the hippocampal DG of rats compared to control lenti-shNC rats. We investigated whether the deficiency in *MeCP2* led to autistic-like behaviors, including anxiety and repetitive behaviors. The locomotor activity of the *MeCP2* knockdown rats was unchanged (Fig. 2D: $t_{51}=0.6303$, $P=0.5313$), but they spent less time in the middle zone than the lenti-shNC rats, indicating that they experienced increased anxiety (Fig. 2D: $t_{51}=2.129$, $P<0.05$). They also spent significantly more time self-grooming than the lenti-shNC rats (Fig. 2E: $t_{51}=2.431$, $P<0.05$),

and less time sniffing in the direct social approach (Fig. 2F: $t_{41}=4.675$, $P<0.001$). Taken together, these results indicate that reducing hippocampal MeCP2 levels leads to pronounced autistic-like behaviors such as anxiety, repetitive behavior, and social deficit.

3.5. Ketamine ameliorates autistic-like social deficits features in the hippocampal MeCP2 knockdown model

We examined the impact of hippocampal MeCP2 knockdown on social deficits in young (4 weeks) male rats in the three-chamber test. Lenti-shMeCP2 rats displayed significantly reduced social interaction compared with lenti-shNC rats, as indicated by the relative amount of investigation time in a stranger cage compared with an empty cage (Fig. 3A), and the sociability index (Fig. 3B). When a single injection of ketamine at a dose of 20 mg/kg was applied 1 h prior to behavioral tests it significantly elevated the sociability index of the lenti-shMeCP2 rats, suggesting that ketamine alleviates the observed social deficits in the lenti-shMeCP2 rats (Fig. 3B). Similar results were obtained for sociability sniffing time and sniffing index (Fig. 3C, D) as well as social novelty preference, investigation time and sniffing time (Fig. 3E-H). Taken together, these results indicate that MeCP2 knockdown rats display pronounced deficits in social interaction, and ketamine administration can rescue the autistic-like social deficits of these rats.

3.6. Ketamine ameliorates the levels of synaptic molecules in MeCP2 knockdown rats.

We then sought to determine the molecular mechanisms that may underlie the amelioration of social deficits by ketamine. Glutamate receptors are a potential key target of ketamine since diminished synaptic signals at glutamatergic synapses are strongly linked to autistic-like phenotypes, including social deficits and repetitive behaviors (23, 24). We examined synaptic plasticity-related gene expression in rats infused with lenti-shMeCP2 into the hippocampal

DG. Quantitative real-time PCR analyses indicated that the level of *Glur1* mRNA was significantly lower in hippocampal DG lysates from lenti-shMeCP2 rats, while the levels of *Psd95*, *Synapsin1*, *Pten*, *Rab3d* and *Vamp3* mRNAs were largely unchanged (Fig. 4A, B). Although the level of *Psd95* mRNA was unaffected in lenti-shMeCP2 rats, its level, together with that of *Glur1* was significantly elevated upon ketamine treatment, while *Synapsin1*, *Pten*, *Rab3d* and *Vamp3* mRNAs were unchanged (Fig. 4A, B). Consistently, ketamine administration increased levels of the postsynaptic proteins GluR1 in lenti-shMeCP2 rats but not in lenti-shNC rats (Supplementary Fig. 2A). However, ketamine only induced a tendency to increase the expression of PSD95 in lenti-shMeCP2 rats (Supplementary Fig. 2B).

DISCUSSION

MeCP2 loss-of-function in genetically-engineered animals including rodents produces typical features of autism, yet where *MeCP2* loss affects the rodent brain and whether/how this relates to autism pathology remain unknown. Here we report marked and reproducible effects of knockdown of hippocampal *MeCP2* on repetitive and social behaviors. These behavioral abnormalities can be reversed by treatment with a sub-psychotomimetic dose of ketamine, which also rescues synaptic molecules.

Studies of signaling and metabolisms have revealed the complexity of ASD and its characteristics (25, 26). Simple yet reproducible animal models of human ASD are needed for the understanding of therapeutic mechanisms and development of novel treatments. Differences in the molecular networks between humans and rodents may limit the utility of rodent models for human diseases. However, the rat model is well suited for studies on neurodevelopmental diseases, and previous studies have described similarities in neuronal structure and synaptic development to humans (27, 28). Further advantages are that rats are easy to handle, mature rapidly, and are reproductively efficient. The present study revealed simultaneous changes in synaptic and behavioral phenotypes in VPA and *MeCP2* knockdown models, although the synaptic molecules that underwent changes were slightly different. While the VPA-induced model has time to adapt to chemical damage at the developmental periods, the *MeCP2* rats infused with lenti-sh*MeCP2* are presumed to display autistic behavioral patterns clearly as synaptic function is compromised by the strong gene suppression. This may lead to subtle difference in the expression of synaptic molecules between the two models, which may in turn produce slightly different behavioral responses. The autistic-like behaviors induced by neonatal VPA exposure and hippocampal inhibition of *MeCP2* were both rescued by treatment with ketamine. This is in line with previous results showing that autistic-like phenotypes are induced by functional deficits in NMDA receptor

function (29). It will be interesting to examine whether ketamine works in other ASD models such as those induced by local inhibition of MeCP2 or TSC1 in the dorsal striatum (30).

For the first time, we have demonstrated that hippocampal MeCP2 knockdown leads to behavioral abnormalities linked to autism-like traits in rats, and that ketamine prevents these effects. These findings provide a novel strategy for testing the effects of ASD treatments. Although the animal model injected with lenti-shMeCP2 does not mimic all the changes that occur at the system level in human autism, it would be useful as a quick and simple experimental model for testing the effects of potential therapeutic agents.

MATERIALS AND METHODS

The detailed methods are described in the “Supplementary Materials and Methods”.

Intraperitoneal (i.p.) injection of VPA to Pregnant Rats

Pregnant SD female rats were administered a single i.p. injection of sodium valproate (Sigma, ST. Louis, MO) in 0.9% saline (500mg/kg), or 0.9% saline alone (VPA-untreated controls), at embryonic day 12.5 (E12.5). All behavioral tests were performed on SD rats 4 weeks of age. Only male rats were used for behavioral experiments.

Three-chamber test

The tests for sociability and social preference were performed in a three-chamber apparatus as previously described (31). The animals used here were all age- and sex-matched littermates; SD rats were used as the stranger rats. The three-chamber apparatus was a 120 cm x 40 cm x 58cm black plastic box. The first session was a 5min habituation period. The test animal was introduced and allowed to stay in the central area. After habituation, a stranger animal was introduced into the wire cage of the right compartment (stranger zone) for the sociability test. The test animal in the central area was allowed to explore the three-chamber apparatus after removal of the gate blocking the central area. Time spent in the stranger zone and around the cage was measured for 10 min. The social preference test was conducted for 10 min directly after termination of the sociability test. While the subject animal was confined in the central area, a novel animal (stranger 1; S1) was introduced into the wire cage of the left compartment (new stranger zone) followed by measurement of the time spent in each compartment as in the previous session. The preference index was calculated as $(S-E)/(S+E)$ for sociability, and $(S1-F)/(S1+F)$ for social novelty preference.

ACKNOWLEDGMENTS

This work was supported by a National Research Foundation of Korea (NRF) Grant (No.2019R1A2C2003616 to H.S.), and a Medical Research Center Grant (No. 2017R1A5A2015395 to H.S.); it was also supported by Basic Science Research Program NRF Grants (No. 2017R1D1AB03032858 and No. 2020R1I1A1A01060863 to M.C., and No. 2021R1I1A1A01054879 to S.Y.K.) funded by the Ministry of Science and Technology, Republic of Korea; and an Institute of Information & Communications Technology Planning & Evaluation (IITP) grant funded by the Korea Government (MSIT) (No.2020-0-01373, Artificial Intelligence Graduate School Program (Hanyang University)) and the research fund of Hanyang University (HY-202000000700013).

CONFLICTS OF INTEREST

The authors declare that they have no competing financial interests or personal relationships that could influence the work reported in this paper.

FIGURE LEGENDS

Figure 1. Ketamine recovers autistic-like social deficits in VPA rats. (A) Schematic diagram of drug administration and behaviors. (B) (Left) Plot of total distance moved in the locomotion test. (Right) Plot of time in middle zone in the open field test (OFT). (C) Plot of the time spent on repetitive behaviors in the grooming test (A-C, $n=23\sim33$ per group). (D) (Left) Representative paths illustrating the time spent in different locations of the equipment in the social approach. Locations of social stimuli are labeled with the wire cage. (Right) Plot of sniffing time in social approach ($n=9\sim11$ per group). (E) Schematic diagram of the three-chamber test. (F and G) Plots showing the time spent investigating either the S or E stimulus (F), and the sociability index (G) during sociability testing of saline or VPA rats treated with saline or ketamine (20 mg/kg). (H and I) Plots showing sniffing time in response to either the S or E stimulus (H), and the sniffing index (I) during sociability testing of saline and VPA rats treated with saline or ketamine (20 mg/kg) (F-I, $n=10\sim16$ per group, F: $F_{3,96}(\text{interaction})=7.665$, $P=0.0001$; G: $F_{1,48}(\text{interaction})=4.324$, $P=0.0429$; H: $F_{3,96}(\text{interaction})=10.51$, $P<0.0001$; I: $F_{1,48}(\text{interaction})=15.2$, $P=0.0003$; $*P<0.05$, $***P<0.001$, $\#P<0.05$, $####P<0.001$, S vs. E, two-way ANOVA). (J and K) Plots showing the time spent investigating either the S1 or F stimulus (J), and the preference index (K) during social novelty preference testing of saline or VPA rats treated with saline or ketamine (20 mg/kg) ($n=10\sim16$ per group, S1 vs. F, two-way ANOVA) (L and M) Plots showing the sniffing time in response to either the S1 or F stimulus (L), and the sniffing index (M) during social novelty preference testing of saline or VPA rats treated with saline or ketamine (20 mg/kg) (J-M, $n=10\sim16$ per group, J: $F_{3,96}(\text{interaction})=0.6436$, $P=0.5889$; K: $F_{1,48}(\text{interaction})=0.04305$, $P=0.8365$; L: $F_{3,96}(\text{interaction})=3.613$, $P=0.0160$; M: $F_{1,48}(\text{interaction})=2.077$, $P=0.1560$, $*P<0.05$, $**P<0.01$, S1 vs. F, two-way ANOVA). (B-D) Unpaired two-tailed t -test, $*P<0.05$, $**P<0.01$ compared with saline rats.

Figure 2. Hippocampal DG-specific MeCP2 deficiency leads to repetitive and social deficit behaviors. (A) Schematic diagram of drug administration and behaviors. (B) Lentiviral-mediated knockdown of *MeCP2* mRNA levels in the DG (n=8 per group). (C) Representative immunoblots (Left) and quantitative data (Right) for MeCP2 protein levels normalized to the level of β -actin (n=5 per group). (D) (Left) Plot of total distance moved in the locomotion test of lenti-shNC and lenti-shMeCP2 rats. (Right) Plot of times in middle zone in OFT of lenti-shNC and lenti-shMeCP2 rats (n=25~28 per group). (E) Plot of times spent on repetitive behaviors in the grooming test by lenti-shNC and lenti-shMeCP2 rats (n=25~28 per group). (F) (Left) Representative data illustrating the times spent in different locations of the equipment in the social approach of lenti-shNC and lenti-shMeCP2 rats. Locations of social stimuli are labeled with the wire cage. (Right) Plot of sniffing times of lenti-shNC and lenti-shMeCP2 rats (n=21~22 per group) in the social approach. Unpaired two-tailed *t*-test, **P*<0.05, ****P*<0.001 compared with lenti-shNC rats.

Figure 3. Ketamine rescues autistic-like social deficits in lenti-shMeCP2 rats. (A and B) Plots showing the times spent investigating either the S or E stimulus (A), and the sociability indexes (B) of lenti-shNC and lenti-shMeCP2 rats treated with saline or ketamine. (C and D) Plots showing sniffing times in response to the S and E stimuli (C) and sniffing time indexes (D) of lenti-shNC or lenti-shMeCP2 rats treated with saline or ketamine (A-D, n=6~13 per group, A: $F_{3,70(\text{interaction})}=4.1184$, $P=0.0088$; B: $F_{1,35(\text{interaction})}=11.11$, $P=0.002$; C: $F_{3,70(\text{interaction})}=13.48$, $P<0.0001$; D: $F_{1,35(\text{interaction})}=8.908$, $P=0.0052$; ***P*<0.01, ****P*<0.001, ####*P*<0.001, S vs. E, two-way ANOVA). (E and F) Plots showing times spent investigating the S1 or F stimulus (E), and preference indexes (F) of lenti-shNC or lenti-shMeCP2 rats treated with saline or ketamine. (G and H) Plots showing sniffing times to either the S1 or F stimulus (G), and sniffing time indexes (H) of lenti-shNC and lenti-shMeCP2 rats treated

with saline or ketamine (E-H, n=6~13 per group, E: $F_{3,70(\text{interaction})}=14.02$, $P<0.0001$; F: $F_{1,35(\text{interaction})}=3.659$, $P=0.064$; G: $F_{3,70(\text{interaction})}=10.15$, $P<0.0001$; H: $F_{1,35(\text{interaction})}=7.691$, $P=0.0088$; * $P<0.05$, ** $P<0.01$, *** $P<0.001$, ### $P<0.01$, #### $P<0.001$, S1 vs. F, two-way ANOVA).

Figure 4. Synaptic plasticity genes mediate the rescuing effects of ketamine in lenti-shMeCP2 rats. (A) *Psd95*, *Glur1*, *Synapsin1* mRNA levels (B) *Pten*, *Rab3d*, *Vamp3* mRNA levels were measured by real-time PCR in the hippocampal DG of lenti-shNC and lenti-shMeCP2 rats treated with saline or ketamine (20 mg/kg). Expression levels were normalized to the *Gapdh* and are expressed relative to lenti-shNC treated with saline (A: $F_{1,17(\text{interaction})}=5.992$, $P=0.0255$ (*Psd95*); $F_{1,17(\text{interaction})}=14.65$, $P=0.0013$ (*Glur1*), * $P<0.05$, ## $P<0.01$, ### $P<0.001$, two-way ANOVA).

REFERENCES

1. Faras H, Al Ateeqi N and Tidmarsh L (2010) Autism spectrum disorders. *Ann Saudi Med* 30, 295-300
2. Hodges H, Fealko C and Soares N (2020) Autism spectrum disorder: definition, epidemiology, causes, and clinical evaluation. *Transl Pediatr* 9, S55-S65
3. Ramocki MB, Tavyev YJ and Peters SU (2010) The MECP2 duplication syndrome. *Am J Med Genet A* 152A, 1079-1088
4. Samaco RC, Mandel-Brehm C, McGraw CM, Shaw CA, McGill BE and Zoghbi HY (2012) Crh and Oprm1 mediate anxiety-related behavior and social approach in a mouse model of MECP2 duplication syndrome. *Nat Genet* 44, 206-211
5. Monteggia LM, Heimer H and Nestler EJ (2018) Meeting Report: Can We Make Animal Models of Human Mental Illness? *Biol Psychiatry* 84, 542-545
6. Lu H, Ash RT, He L et al (2016) Loss and Gain of MeCP2 Cause Similar Hippocampal Circuit Dysfunction that Is Rescued by Deep Brain Stimulation in a Rett Syndrome Mouse Model. *Neuron* 91, 739-747
7. Penzes P, Cahill ME, Jones KA, VanLeeuwen JE and Woolfrey KM (2011) Dendritic spine pathology in neuropsychiatric disorders. *Nat Neurosci* 14, 285-293
8. Guang S, Pang N, Deng X et al (2018) Synaptopathology Involved in Autism Spectrum Disorder. *Front Cell Neurosci* 12, 470
9. Katz DM, Menniti FS and Mather RJ (2016) N-Methyl-D-Aspartate Receptors, Ketamine, and Rett Syndrome: Something Special on the Road to Treatments? *Biol Psychiatry* 79, 710-712
10. Katz DM, Bird A, Coenraads M et al (2016) Rett Syndrome: Crossing the Threshold to Clinical Translation. *Trends Neurosci* 39, 100-113
11. Kron M, Howell CJ, Adams IT et al (2012) Brain activity mapping in Mecp2 mutant mice reveals functional deficits in forebrain circuits, including key nodes in the default mode network, that are reversed with ketamine treatment. *J Neurosci* 32, 13860-13872
12. Silverman JL, Yang M, Lord C and Crawley JN (2010) Behavioural phenotyping assays for mouse models of autism. *Nat Rev Neurosci* 11, 490-502
13. Piven J, Palmer P, Jacobi D, Childress D and Arndt S (1997) Broader autism phenotype: evidence from a family history study of multiple-incidence autism families. *Am J Psychiatry* 154, 185-190
14. Dawson G, Webb S, Schellenberg GD et al (2002) Defining the broader phenotype of autism: genetic, brain, and behavioral perspectives. *Dev Psychopathol* 14, 581-611
15. Lu DH, Liao HM, Chen CH et al (2018) Impairment of social behaviors in Arhgef10 knockout mice. *Mol Autism* 9, 11
16. Li J, Chai A, Wang L et al (2015) Synaptic P-Rex1 signaling regulates hippocampal long-term depression and autism-like social behavior. *Proc Natl Acad Sci U S A* 112, E6964-6972

17. Kim KC, Lee DK, Go HS et al (2014) Pax6-dependent cortical glutamatergic neuronal differentiation regulates autism-like behavior in prenatally valproic acid-exposed rat offspring. *Mol Neurobiol* 49, 512-528
18. Busch RM, Srivastava S, Hogue O et al (2019) Neurobehavioral phenotype of autism spectrum disorder associated with germline heterozygous mutations in PTEN. *Transl Psychiatry* 9, 253
19. Lyu JW, Yuan B, Cheng TL, Qiu ZL and Zhou WH (2016) Reciprocal regulation of autism-related genes MeCP2 and PTEN via microRNAs. *Sci Rep* 6, 20392
20. Kwon CH, Luikart BW, Powell CM et al (2006) Pten regulates neuronal arborization and social interaction in mice. *Neuron* 50, 377-388
21. Lugo JN, Smith GD, Arbuckle EP et al (2014) Deletion of PTEN produces autism-like behavioral deficits and alterations in synaptic proteins. *Front Mol Neurosci* 7, 27
22. Jin J, Bao X, Wang H, Pan H, Zhang Y and Wu X (2008) RNAi-induced down-regulation of Mecp2 expression in the rat brain. *Int J Dev Neurosci* 26, 457-465
23. Meng X, Wang W, Lu H et al (2016) Manipulations of MeCP2 in glutamatergic neurons highlight their contributions to Rett and other neurological disorders. *Elife* 5
24. Yoo T, Cho H, Park H, Lee J and Kim E (2019) Shank3 Exons 14-16 Deletion in Glutamatergic Neurons Leads to Social and Repetitive Behavioral Deficits Associated With Increased Cortical Layer 2/3 Neuronal Excitability. *Front Cell Neurosci* 13, 458
25. Bonsi P, De Jaco A, Fasano L and Gubellini P (2021) Postsynaptic autism spectrum disorder genes and synaptic dysfunction. *Neurobiol Dis* 162, 105564
26. Kurochkin I, Khrameeva E, Tkachev A et al (2019) Metabolome signature of autism in the human prefrontal cortex. *Commun Biol* 2, 234
27. Sasaki T, Aoi H, Oga T, Fujita I and Ichinohe N (2015) Postnatal development of dendritic structure of layer III pyramidal neurons in the medial prefrontal cortex of marmoset. *Brain Struct Funct* 220, 3245-3258
28. Oga T, Aoi H, Sasaki T, Fujita I and Ichinohe N (2013) Postnatal development of layer III pyramidal cells in the primary visual, inferior temporal, and prefrontal cortices of the marmoset. *Front Neural Circuits* 7, 31
29. Lee EJ, Choi SY and Kim E (2015) NMDA receptor dysfunction in autism spectrum disorders. *Curr Opin Pharmacol* 20, 8-13
30. Lee Y, Kim H and Han PL (2018) Striatal Inhibition of MeCP2 or TSC1 Produces Sociability Deficits and Repetitive Behaviors. *Exp Neurobiol* 27, 539-549
31. Moy SS, Nadler JJ, Perez A et al (2004) Sociability and preference for social novelty in five inbred strains: an approach to assess autistic-like behavior in mice. *Genes Brain Behav* 3, 287-302

Figure 1

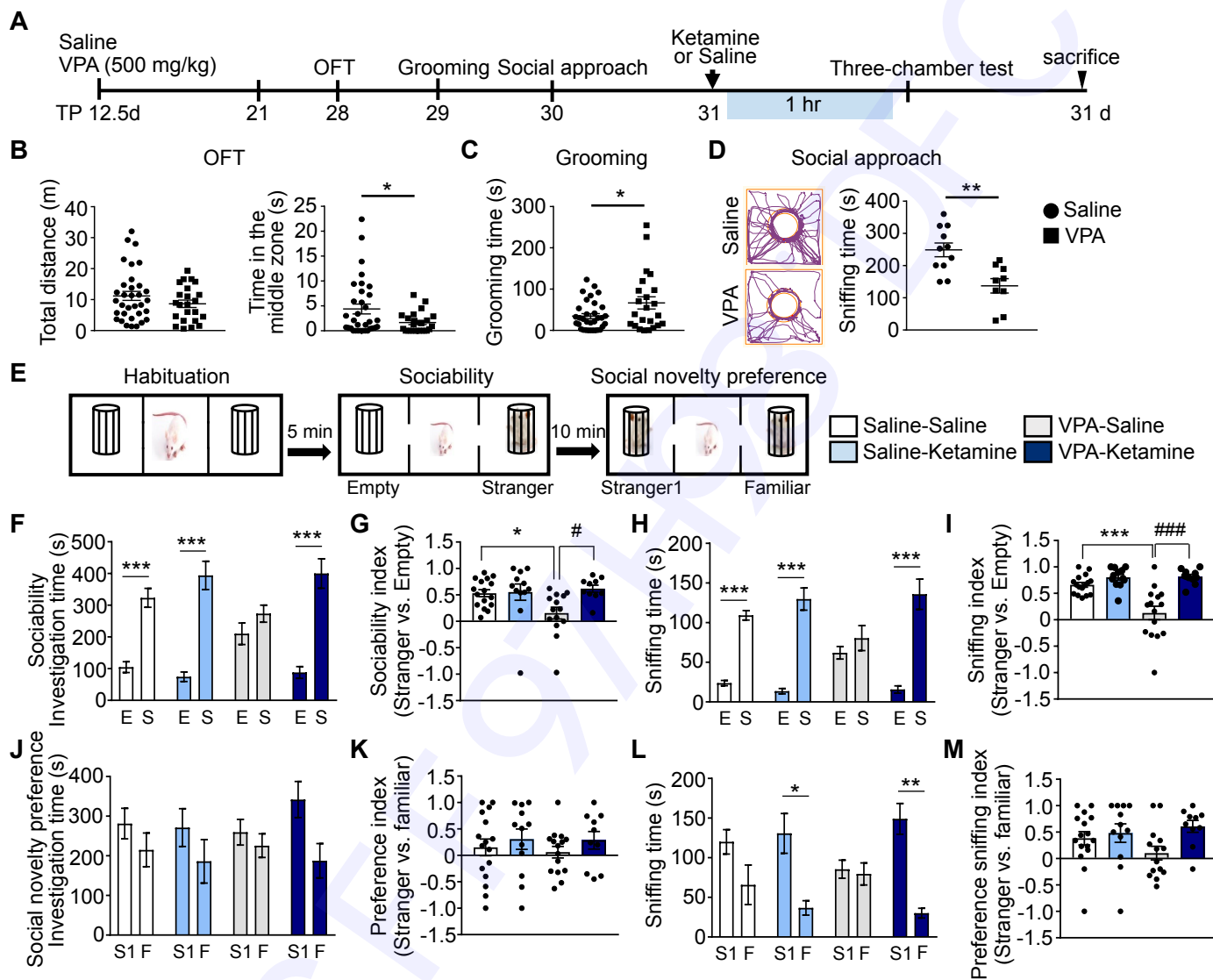


Figure 2

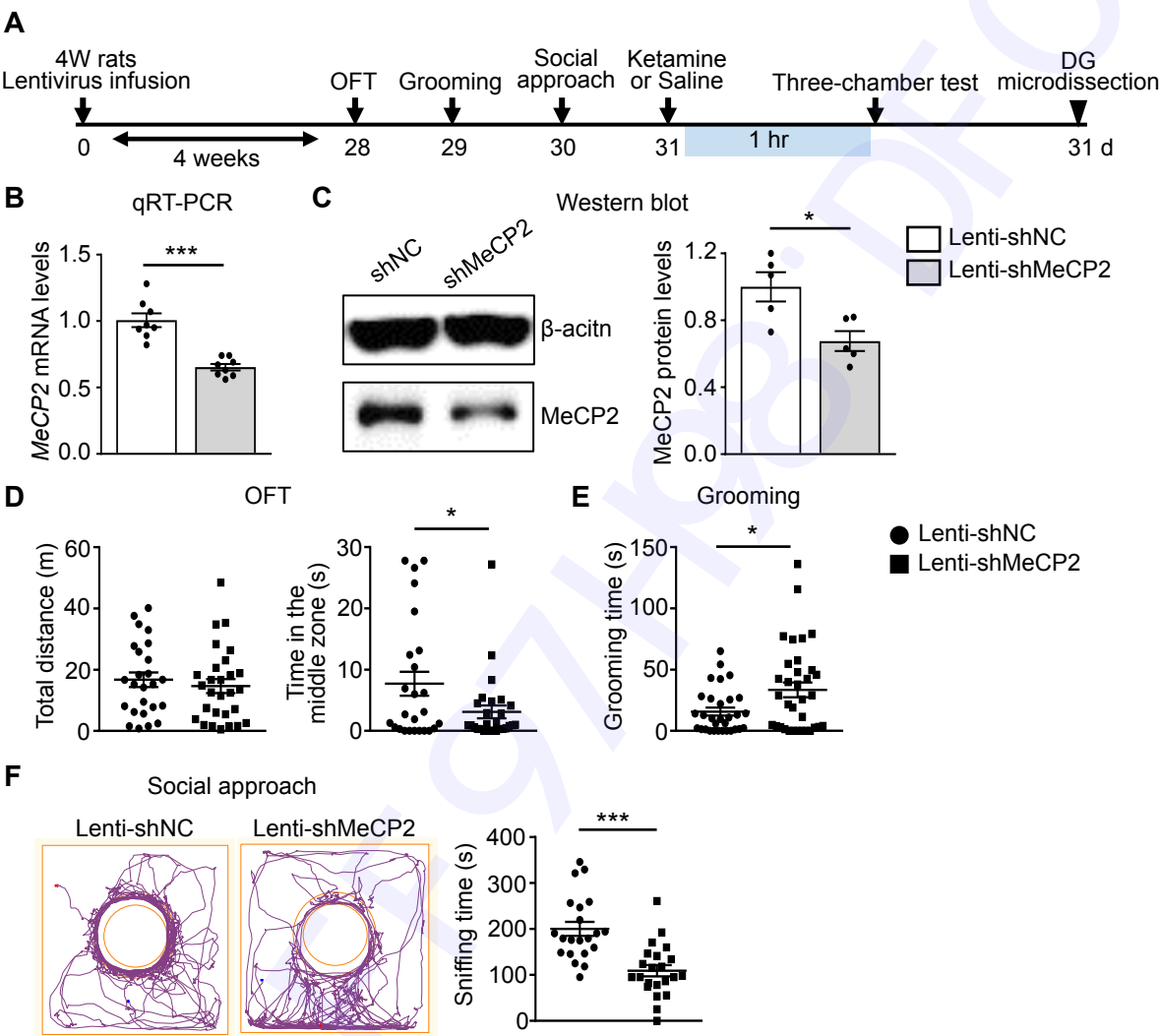


Figure 3

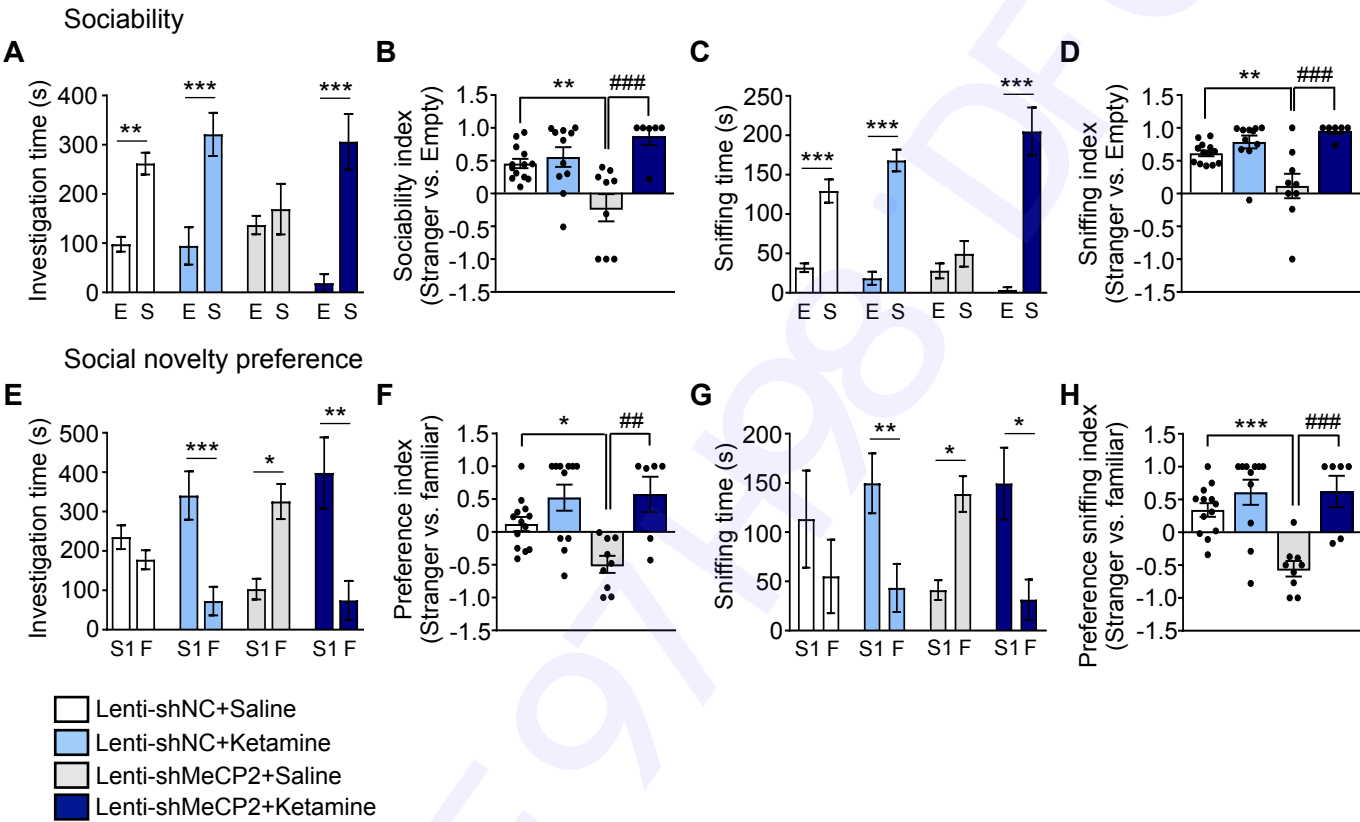
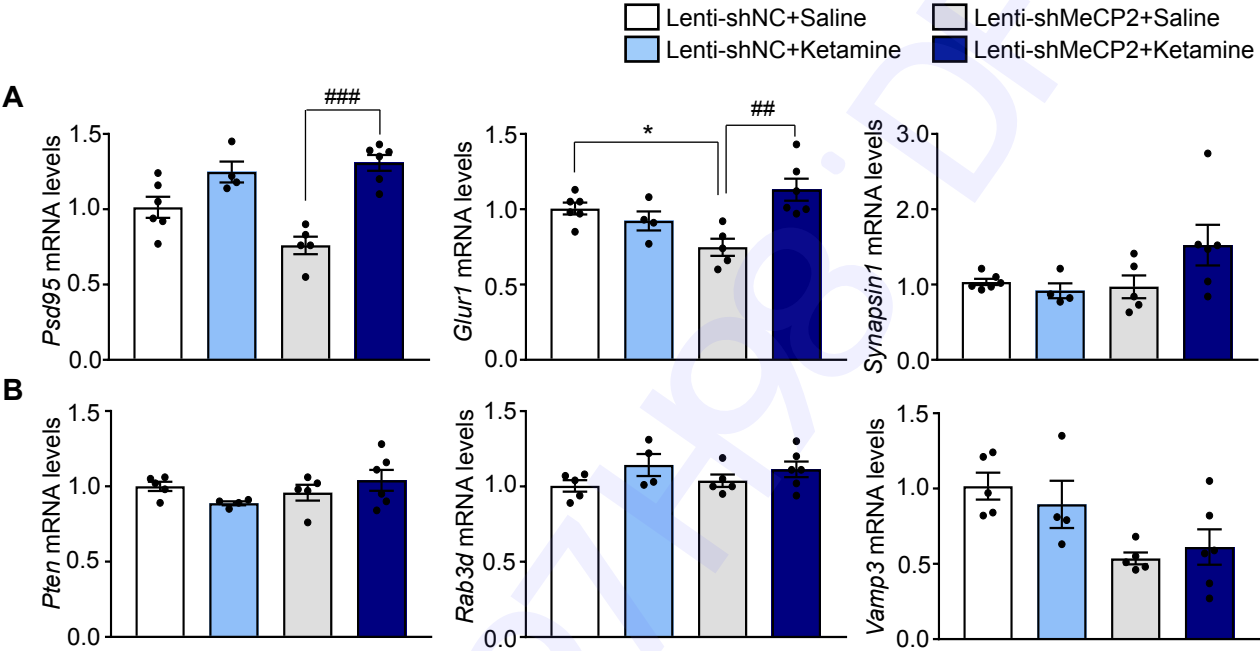


Figure 4



Manuscript Type: Article

Title: Autistic-like social deficits in hippocampal MeCP2 knockdown rat models are rescued by ketamine

Author's name: Miyeon Choi^{1,4}, Seung Yeon Ko^{1,4}, Jee Young Seo², Do Gyeong Kim², Huiju Lee², Heekyoung Chung², Hyeon Son^{2,3,*}

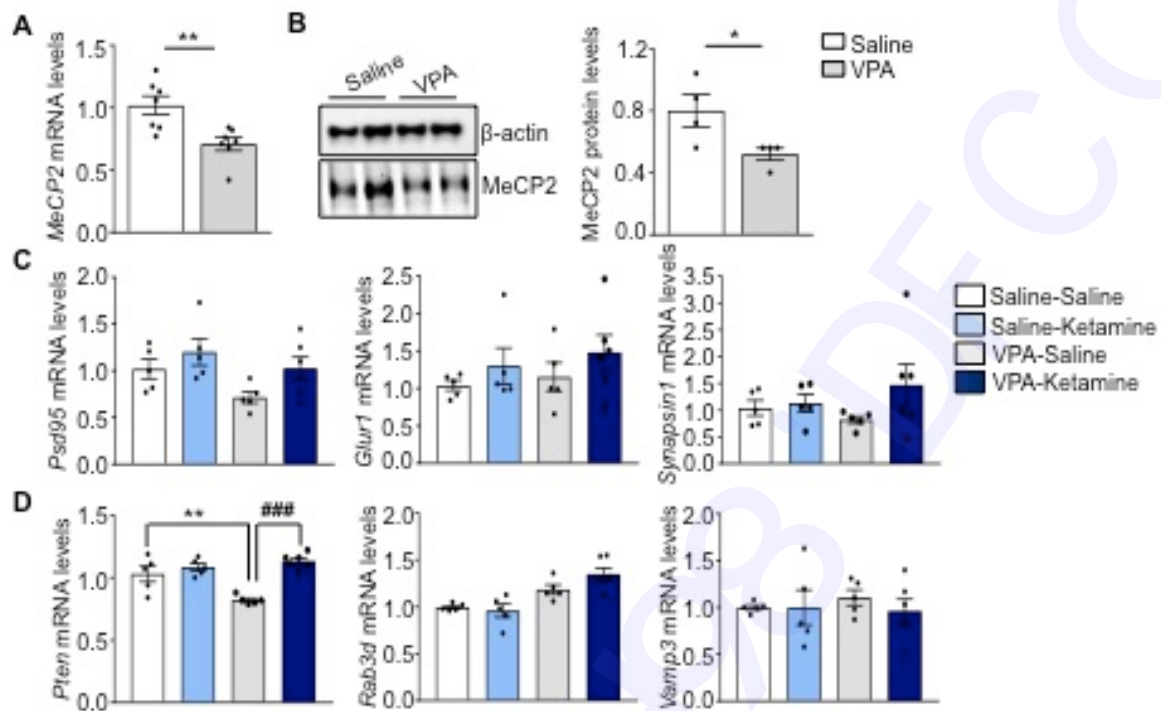
Affiliation: ¹Hanyang Biomedical Research Institute, Hanyang University, Seoul 04763, Republic of Korea; ²Graduate School of Biomedical Science and Engineering, Hanyang University, Seoul 04763, Republic of Korea; ³Department of Biochemistry and Molecular Biology, College of Medicine, Hanyang University, Seoul 04763, Republic of Korea; ⁴These authors contributed equally to this work.

Running Title: Ketamine rescues autistic-like social deficits

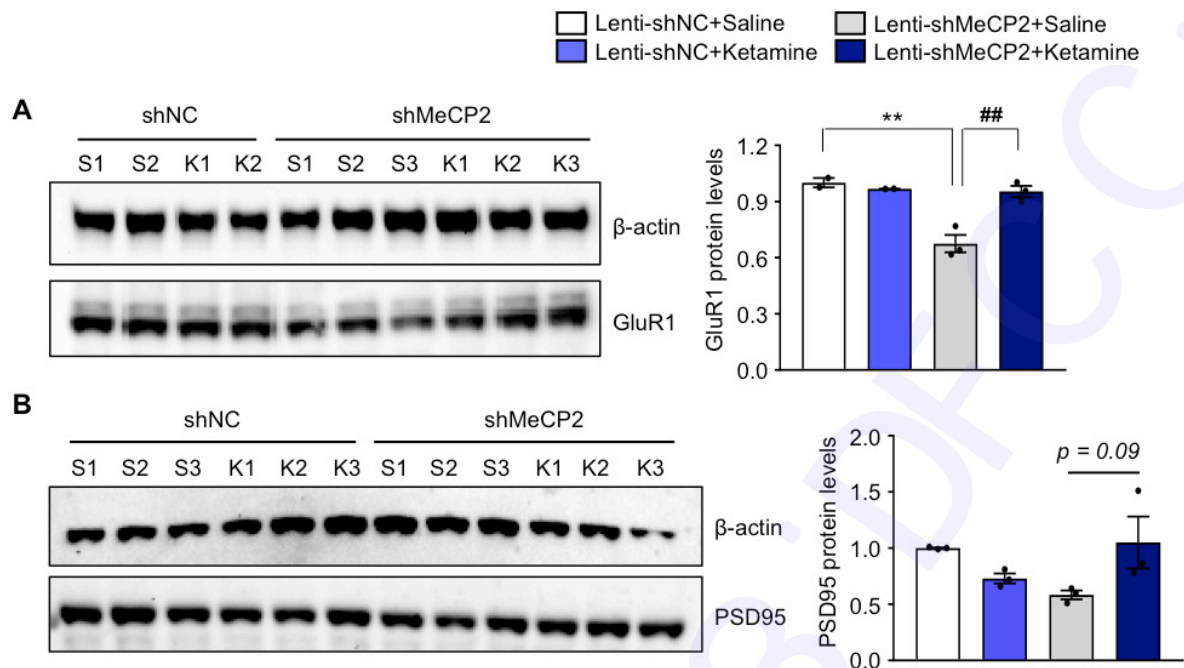
Keywords: Ketamine, MeCP2, social deficits, autism, hippocampus

Corresponding Author's Information: *Hyeon Son, Ph.D., Tel: (82)2-2220-0626; Fax: (82)2-2220-2422; E-mail: hyeonson@hanyang.ac.kr

Supplementary Figure



Supplementary Figure 1. MeCP2 is downregulated in VPA rats and ketamine recovers PTEN level. (A) *MeCP2* mRNA levels were measured by real-time PCR in whole hippocampi of VPA rats (n=7 per group). (B) Representative immunoblots (Left) and quantitative data (Right) for *MeCP2* protein levels normalized to the level of β -actin (n=4 per group). (C) *Psd95*, *Glur1*, *Synapsin1* mRNA levels measured by real-time PCR in hippocampi from saline and VPA rats treated with saline or ketamine (20 mg/kg). (D) *Pten*, *Rab3d*, *Vamp3* mRNA levels measured by real-time PCR in hippocampi from saline and VPA rats treated with saline or ketamine (20 mg/kg). Expression levels were normalized to the housekeeping gene *Gapdh* and expressed relative to saline rats treated with saline ($F_{1,17}(\text{interaction})=12.37$, $P=0.0026$ (*Pten*), $**P<0.01$, $###P<0.001$, two-way ANOVA).



Supplementary Figure 2. Ketamine administration increased levels of the postsynaptic protein GluR1 in lenti-shMeCP2 rats. (A) Representative immunoblots (Left) and quantitative data (Right) for GluR1 protein levels normalized to the level of β -actin (n=2-3 per group). (B) Representative immunoblots (Left) and quantitative data (Right) for PSD95 protein levels normalized to the level of β -actin (n=3 per group) (A: $F_{1,6(\text{interaction})}=17.56$, $P=0.0058$ (GluR1); $**P<0.01$, $##P<0.01$, two-way ANOVA).

Manuscript Type: Article

Title: Autistic-like social deficits in hippocampal MeCP2 knockdown rat models are rescued by ketamine

Author's name: Miyeon Choi^{1,4}, Seung Yeon Ko^{1,4}, Jee Young Seo², Do Gyeong Kim², Huiju Lee², Heekyoung Chung², Hyeon Son^{2,3,*}

Affiliation: ¹Hanyang Biomedical Research Institute, Hanyang University, Seoul 04763, Republic of Korea; ²Graduate School of Biomedical Science and Engineering, Hanyang University, Seoul 04763, Republic of Korea; ³Department of Biochemistry and Molecular Biology, College of Medicine, Hanyang University, Seoul 04763, Republic of Korea; ⁴These authors contributed equally to this work.

Running Title: Ketamine rescues autistic-like social deficits

Keywords: Ketamine, MeCP2, social deficits, autism, hippocampus

Corresponding Author's Information: *Hyeon Son, Ph.D., Tel: (82)2-2220-0626; Fax: (82)2-2220-2422; E-mail: hyeonson@hanyang.ac.kr

Supplementary Materials and Methods

Drug treatment

Ketamine in saline (10mg/kg) or saline alone (control), was administered to VPA- or saline-treated rats by i.p. injection 1hr before examination of the three-chamber test behaviors.

Quantitative real-time PCR

RNA was extracted from hippocampi with Trizol reagent (Sigma Aldrich, St Louis, MO, USA). Reverse transcription was performed with Improm-II (Promega, Madison, WI, USA), 1 µg of total RNA and oligonucleotide-dT primer. qPCR was performed on a CFX96 Touch Real-Time PCR Detection System (Bio-Rad Laboratories, Madison, CA, USA). The primers used in this analysis were as follow: for *Gapdh* 5'- ATGTATCCGTTGTGGATCTGACAT-3' (forward), 5'-ACCTGCTTCACCACCTTCTTGA-3' (reverse), for *MeCP2* 5'-GCAGCATCAGAAGGTGTTCA-3' (forward), 5'-GCACTCAATGCTGACGGTTT-3' (reverse), for *Psd95* 5'-GACAACCAAGAAATACCGCT-3' (forward), 5'-GCTTCTAGGGTGTCCGTGTT-3' (reverse), for *Glur1* 5'-GTCGTCCTCTTCCTGGTCAGCC-3' (forward), 5'-GTGTCACAGGGCTTTCGTTGCT-3' (reverse), for *Synapsin1* 5'-CACCAGGATGAAGACAAGCA -3' (forward), 5'-GTCGTTGTTGAGCAGGAGG-3' (reverse), for *Pten* 5'-TGGATTGACTTAGACTTGACCT-3' (forward), 5'-TGGCGGTGTCATAATGTCTCT-3' (reverse), *Rab3d* 5'-GCTATGCCGATGACTCCTTC-3' (forward), 5'-AGTAGGCCGTGGTGATTGTC-3' (reverse), for *Vamp3* 5'-TTGAAACAAGTGCTGCCAAG-3' (forward), 5'-GAGACACACCACACGATGATG-3' (reverse). Real-time PCR was performed using SYBR Green (Bio-Rad Laboratories, Hercules, CA, USA). Ct values for each sample were obtained using CFX Manager Software version 3.0 (Bio-Rad Laboratories, Hercules, CA, USA). The expression of each gene was

normalized to the amount of GAPDH. Normalized expression values were averaged, and average fold changes were calculated.

Western Blot Analysis

Protein extracts of hippocampal tissue or hippocampal DG samples were subjected to SDS-PAGE, transferred to PVDF membranes, and incubated with antibodies. Whole hippocampal tissue and microdissected hippocampal DG tissue were incubated in 1X lysis buffer (Cell Signaling, Danvers, MA, USA) with protease inhibitor cocktail and phosphatase inhibitor cocktail (Sigma Aldrich, St Louis, MO, USA). Protein was determined with the Bradford Protein Assay (Bio-Rad Laboratories, Hercules, CA, USA). Protein extracts were subjected to SDS-PAGE, transferred to PVDF membranes, and incubated with antibodies. Primary antibodies were diluted in 1X TBS with 0.1% Tween-20 containing 5% non-fat dry milk. Blots were probed with monoclonal β -actin (1:2000; Santa Cruz, TX, USA) and rabbit polyclonal anti-MeCP2 (1:1000; Merck Millipore, MA, USA) followed by treatment with anti-mouse IgG conjugated with HRP or anti-rabbit IgG conjugated with HRP (1:2000; Jackson ImmunoResearch, West Grove, PA, USA). Bands were visualized with an enhanced chemiluminescence (ECL) detection kit (ECL STAR; Dyne Bio, Seongnam, Korea). The total densitometric value of each band was quantified with ImageJ software (<http://rsbweb.nih.gov/ij/>), normalized to the corresponding actin level, and expressed as fold change relative to the control value.

Behavioral Assessments

All behavioral tests were carried out with male rats. Animals in the same littermates were used in the same behavioral test, except for the open field, grooming, social approach and three chamber tests. Rats were transported to the testing room and habituated for 1hr before

behavioral testing. All animal experimental were approved by the Institutional Animal Care and Use Committee of Hanyang University.

Open field test

Exploratory activity in a novel environment was assessed in an open field box (76.5 cm x 76.5 cm x 40 cm). Rats were introduced into an arena to measure the distance moved in total or in the central area. Stay duration is measured for 10 min using a CCD camera-assisted motion tracking apparatus and software any-maze.

Grooming test

Each rat was placed in a polycarbonate cage (40cm x 40 cm x 58 cm) and habituated for 10min. Accumulated time spent grooming was then measured over a 10 min period.

Social approaches test

Each rat was habituated in an apparatus (76.5 cm x 76.5 cm x 40 cm) containing a wire cage for 10min, then returned to the home cage. After cleaning the apparatus, a social stimulus (sex- and age-matched rat of the same strain) was placed inside the wire cage. The test rat was put back into the apparatus to explore for 10min, and the time spent interacting with the social stimulus was measured.

Lentivirus production

To silence MeCP2, shRNAs were cloned into the pGLV3-H1-GFP+Puro lentiviral vector (Genepharma, China). Their sequences were: negative control shRNA (shNC), 5'-GTTCTCCGAACGTGTCACGT-3'; MeCP2 shRNA (shMeCP2), 5'-GCTTAAACAGAGGAAGYCYGG-3'. Lipofectamine 2000 (Invitrogen, Carlsbad, CA,

USA) was used to transfect pGLV3-H1-shNC-GFP+Puro, and pGLV3-H1-shMeCP2-GFP+Puro along with the packaging vectors pPL1, pPL2 and pPL/VSVG into HEK293T cells to produce the lentivirus.

Stereotaxic microinjection

Rats were anesthetized and fixed in a stereotaxic apparatus. A hole was drilled at specific x and y coordinates based on the position of the bregma. A Hamilton syringe fitted with a 33-gauge needle was lowered into the hippocampal DG (AP= -3.8 mm, ML= ± 2 mm, DV = -3.6 mm), and 3 μ l each of lenti-shNC (control) and lenti-shMeCP2 virus were delivered at 0.15 μ l/min. The injection needle was withdrawn 10min after infusion. Behavioral tests were performed 4 weeks after virus injection.

Statistical analysis

The appropriate statistical test was determined based on the number of comparisons being made. Unpaired t tests were used for comparison of pairs of groups in the analysis of biochemical and behavioral results. Significant differences between sets of four groups in the behavioral and biochemical experiments were analyzed by two-way ANOVA, followed by multiple comparison analysis including Tukey's multiple comparisons test (GraphPad Prism 7.04, GraphPad software).