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Title: Hypermethylation-mediated silencing of NDRG4 promotes PDAC via regulating mitochondrial function

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1 **Hypermethylation-mediated silencing of NDRG4 promotes pancreatic ductal**
2 **adenocarcinoma by regulating mitochondrial function**

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11 **Running Title:** NDRG4 silencing attenuates mitochondrial function

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13 **Keywords:** NDRG4; PDAC; methylation; mitochondrial function

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17 **Abstract**

18 The N-myc downstream regulated gene (NDRG) family members are dysregulated in several
19 tumors. Functionally, NDRGs play an important role in the malignant progression of cancer cells.
20 However, little is known about the potential implications of NDRG4 in pancreatic ductal
21 adenocarcinoma (PDAC). The aim of the current study was to elucidate the expression pattern of
22 NDRG4 in PDAC and evaluate its potential cellular biological effects. Here, we firstly report that
23 epigenetic-mediated silencing of NDRG4 promotes PDAC by regulating mitochondrial function.
24 Data mining demonstrated that NDRG4 was significantly down-regulated in PDAC tissues and
25 cells. PDAC patients with low NDRG4 expression showed poor prognosis. Epigenetic regulation
26 by DNA methylation was closely associated with NDRG4 down-regulation. NDRG4
27 overexpression dramatically suppressed PDAC cell growth and metastasis. Further functional
28 analysis demonstrated that up-regulated NDRG4 in SW1990 and Canpan1 cells resulted in
29 attenuated mitochondrial function, including reduced ATP production, decreased mitochondrial
30 membrane potential, and increased fragmented mitochondria. However, opposite results were
31 obtained for HPNE cells with NDRG4 knockdown. These results indicate that hypermethylation-
32 driven silencing of NDRG4 can promote PDAC by regulating mitochondrial function and that
33 NDRG4 could be as a potential biomarker for PDAC patients.

34

35 **Introduction**

36 Pancreatic ductal adenocarcinoma (PDAC) is one of the most malignant tumors with
37 asymptomatic, rapid disease progression and poor prognosis (1). The vast majority of patients with
38 PDAC are diagnosed in the advanced stage with a 5-year overall survival rate of less than 6%.
39 Thus, PDAC is internationally called the "king of cancer" (2). Unfortunately, even with the advent
40 of diverse detection technologies and advanced treatment methods, the incidence and mortality
41 rate of PDAC are still on the rise year by year (3, 4). Due to the lack of in-depth understanding of
42 molecular characteristics of malignant development, comprehensive and in-depth research studies
43 are urgently needed to better prevent and treat PDAC.

44 N-Myc downstream-regulated gene 4 (NDRG4) is a member of the NDRG family proteins
45 (NDRG1-4) known to share 57% – 65% amino acid sequence identities (5). It is involved in cell
46 proliferation, differentiation, development, and stress (6). NDRG4, the latest identified one, was
47 initially found to be specifically expressed in the brain and heart (7, 8). In zebrafish, NDRG4 could
48 maintain physiological levels of brain-derived neurotrophic factor (BDNF) to protect against
49 neurological deficits for proper neurite outgrowth and neural functions (7, 9, 10). In brains of
50 patients with Alzheimer's disease, NDRG4 shows reduced expression. It is involved in neuronal
51 degeneration (5). Besides, NDRG4 is involved in the regulation of normal cardiac morphogenesis
52 in mouse and zebrafish. NDRG4 deficiency can lead to poor contractility and a decreased heart
53 rate (9, 11).

54 Recently, it has been found that NDRG4 exerts various roles in human malignancies. In

55 glioblastoma, NDRG4 plays an oncogene role. It is critical to the survival of astrocytes (12). In
56 malignant meningioma, NDRG4 also plays an oncogene role by inhibiting p53 expression to
57 suppress cell apoptosis (13). However, current studies in other tumors have found that NDRG4
58 works as a tumor suppressor. This inverse effect may indicate that NDRG4 expression has tissue-
59 specific function in different types of cancer. In gastric cancer, significantly decreased expression
60 levels of NDRG4 protein and mRNA are more likely to lead to poor prognosis (14). In colon and
61 breast cancers, NDRG4 expression is decreased during the carcinogenesis process. Favorable
62 outcome has been found in patients with higher NDRG4 expression (15, 16). These results indicate
63 that NDRG4 might be a tumor suppressor in carcinogenesis. Interestingly, NDRG4 promoter
64 methylation had been found in gastric cancer, colorectal cancer, and breast cancer, resulting in
65 decreased NDRG4 expression (15, 17, 18). The expression pattern and regulatory mechanism of
66 PDAC remain unclear.

67 So far, molecular characterization of NDRG4 and the role of this protein in PDAC have not
68 been reported yet. In the present study, we found that NDRG4 was down-regulated in tumor tissues
69 and positively associated with prognosis. Furthermore, our results demonstrated that NDRG4 was
70 epigenetically silenced by DNA promoter hyper-methylation at cell level. Finally, we investigated
71 the potential tumor suppressor function of NDRG4 by measuring cell proliferation, motility, and
72 mitochondrial function in PDAC cells with stably overexpression of NDRG4.

73

74 **Results**

75 **Abnormal expression of NDRG4 is correlated with PDAC progression**

76 Some studies have been performed to explore the function and mechanism of NDRG family
77 members in multiple malignant cancers (6). To better illuminate the role of aberrant expression of
78 NDRG family member in the prognosis of PDAC, data mining was carried out according to cross
79 results of online analysis between Kaplan-Meier plotter (<http://kmplot.com/analysis/>) and oncolnc
80 (<http://www.oncolnc.org/>). Both online analyses revealed a statistically significant association
81 between overall survival (OS) and expression of NDRG4 mRNA in PDAC patients, while only
82 one online analysis showed a statistically significant association between NDRG2 or NDRG3
83 expression and OS (Fig. 1A). As shown in Fig. 1B, poor outcome based on median survival time
84 (16.6 vs. 23.03 months) was found for PDAC patients with lower NDRG4 expression (log-rank p
85 = 0.035) analyzed by Kaplan-Meier plotter. Similar result was found for TCGA dataset analyzed
86 by oncolnc (Fig. 1C, log-rank p = 0.0158) when mRNA expression median was set as the cutoff.
87 To unveil the expression pattern of NDRG4 in PDAC, the following analysis was performed with
88 two GEO datasets (GSE28735 and GSE62452), including matched tumor and corresponding non-
89 tumor tissues. Results showed significantly lower expression of NDRG4 in cancers than in paired
90 adjacent tissues (Figs. 1 D and 1E). In addition, western blotting showed down-regulated NDRG4
91 protein in PDAC cells (PANC1, Canpan1, SW1990, and ASPC1) than in normal human pancreatic
92 duct cells (HPNE) (Fig. 1 F). These data suggest that down-regulated NDRG4 is implicated in the
93 malignant transformation of PDAC.

94

95 NDRG4 is epigenetically silenced by promoter DNA methylation

96 The above results showed that NDRG4 was significantly down-regulated in PDAC. However,
97 the regulatory reason for the downregulation of NDRG4 expression in PDAC remains unclear.
98 DNA methylation is a fundamental epigenetic modification to regulate gene expression. The
99 genomic structure of NDRG4 with online analytical tools (<https://www.ncbi.nlm.nih.gov/gene/>
100 and <http://www.urogene.org/methprimer/>) showed a dense CpG island near the transcription
101 initiation site (Supplementary Fig.1). To investigate whether promoter methylation was associated
102 with inhibition of gene expression, two PDAC cell lines (SW1990 and Canpan1) and a normal
103 human pancreatic duct cell line (HPNE) were treated with gradient concentrations of DNA
104 methylation inhibitor 5-aza-2'-deoxycytidine (DAC). Results showed that expression levels of
105 NDRG4 in all PDAC cells, but not in HPNE cells, were significantly increased after treatment
106 with DAC (Fig. 2A). To investigate the pattern of CpG island methylation in the NDRG4 promoter,
107 bisulfite sequencing PCR (BSP) was performed for SW1990, Canpan1, and HPNE cells. Results
108 revealed that SW1990 and Canpan1 cells showed almost complete methylation, whereas HPNE
109 cells showed almost no methylated on CpGs (Fig. 2B) (region -151 to +10 relative to the
110 transcription start site). Taken together, these data above suggest that NDRG4 is epigenetically
111 silenced by promoter DNA methylation in PDAC.

112

113 NDRG4 overexpression inhibits malignant properties of tumor cells

114 To explore the role of NDRG4 in PDAC progression, SW1990 and Canpan1 were transfected

115 by lentivirus to upregulate NDRG4 expression. Meanwhile, NDRG4 knockdown in HPNE cells
116 was achieved by treatment with siRNA (Fig. 4B) CCK8 assay showed that NDRG4 overexpression
117 significantly inhibited viabilities of SW1990 (Fig. 3A) and Canpan1 (Fig. 3B) cells compared to
118 control cells. The same results were obtained for clone formation (Figs. 3D and 3E). Nevertheless,
119 opposite results were obtained for HPNE cells treated with NDRG4 knockdown (Figs. 3C and 3F).
120 Next, we investigated whether NDRG4 could affect the motility of PDAC cells. We found that
121 overexpression of NDRG4 significantly suppressed the migration (Fig. 3G) and invasion (Fig. 3H)
122 of PDAC cells (SW1990 and Canpan1). These data suggest that NDRG4 is involved in malignant
123 properties of tumor cells.

124

125 **NDRG4 mediates mitochondrial function in PDAC cells**

126 Data from genecards (<https://www.genecards.org/>) and immunofluorescence showed that
127 NDRG4 was located in the cytoplasm and the mitochondria (Supplementary Fig.2). In view of this,
128 we hypothesized that NDRG4 expression might regulate mitochondrial function. To investigate
129 whether NDRG4 expression might regulate ATP level, we performed ATP assay. Results showed
130 that NDRG4 overexpression resulted in decreased ATP production in SW1990 and Canpan1 cells
131 (Fig. 4A). Meanwhile, NDRG4 overexpression weakened expression levels of a mitochondrial
132 marker (Fig. 4B). We then analyzed mitochondrial membrane potential using a JC-1 fluorescent
133 probe. Results showed that GFP/RFP ratio was significantly increased in up-regulated NDRG4
134 cells than in control cells (Fig. 4C). In line with this finding, fluorescence microscopy labeled by

135 MitoTracker®Red revealed that NDRG4 overexpression in SW1990 and Canpan1 cells induced a
136 significant increase in the number of fragmented mitochondria with decreases of elongated,
137 reticular, and intermediate mitochondria (Fig. 4D). However, opposite results were obtained for
138 HPNE cells after NDRG4 knockdown (Figs. 4A-4D). These results strongly highlight the
139 significance of NDRG4 expression in mediating the mitochondrial function of PDAC cells.

140

141 **Discussion**

142 Recently, NDRG family proteins have attracted attention because some studies have reported
143 that NDRG family proteins are important for tumorigenesis and tumor progression (5, 6). Studies
144 on the role of NDRG4 in cancer are gaining more and more attention. However, NDRG4 has
145 received little attention in PDAC. In the beginning, we detected a relationship between mRNA
146 expression of NDRG4 and prognosis of patients with PDAC. Results showed that abnormal
147 NDRG4 expression was associated with patients' outcome. Meanwhile, low expression of NDRG4
148 was found in GEO datasets and PDAC cells, consistent with its expression pattern in breast cancer
149 (15), colon cancer (18), and gastric cancer. (14) These data suggest that NDRG4 also works as a
150 suppressor in PDAC. However, more studies need to be performed to detect protein expression
151 levels of NDRG4 in patient samples.

152 Hyper-methylation of CpG islands in the promoter region of suppressor is considered to be
153 an important inducer of cancer progression, including colorectal cancer (19), gastric cancer (20),
154 esophageal cancer (21), and PDAC (22). Recent reports have revealed that epigenetic mechanisms

155 are particularly important in controlling NDRG4 expression in cancer, including colorectal cancer
156 (18), gastric cancer (17), and breast cancer (15). The genomic structure of NDRG4 by online
157 analysis showed a dense CpG island near the transcription initiation site. Meanwhile, reactivation
158 of NDRG4 was induced after treatment with DNA methyltransferase. Bisulfite sequencing also
159 confirmed hypermethylation in the promoter region of NDRG4. More importantly, we firstly
160 reported that NDRG4 was epigenetic silenced by hypermethylation of its promoter in PDAC,
161 consistent with previous reports about its role in other pathological processes (15, 17, 18).
162 Therefore, reactivating NDRG4 expression with a demethylation agent might have potential value
163 for PDAC therapy. This is worthy of further in-depth study. Previously, CpG island methylation
164 level of NDRG4 promoter has been validated to be a promised early detection marker for colorectal
165 cancer (18, 23, 24). In USA, NDRG4 methylation has been approved as one molecular marker for
166 multi-target stool DNA test by the FDA. It is currently used as a screening modality (24). Hence,
167 further studies should be carried out to detect the relationship of NDRG4 methylation with clinical
168 parameters and prognosis using samples such as tissue and pancreatic juice of patients with
169 different cancer stages.

170 Our results provided evidence that NDRG4 exerted as a tumor suppressor in PDAC.
171 Overexpression of NDRG4 in PDAC cells inhibited cell proliferation and invasion *in vitro*. This
172 suggests that NDRG4 is a tumor suppressor in PDAC. Interestingly, the localization of NDRG4 in
173 the mitochondria drove us to clarify its role in mitochondrial function. Results showed that
174 NDRG4 overexpression could weaken the mitochondrial function in PDAC cells, including

175 decreased ATP production, increased depolarization, and fragmented mitochondria. Meanwhile,
176 NDRG4 overexpression weakened expression levels of Tom20, a mitochondrial marker.
177 Previously studies have illuminated the relationship between mitochondrial function and cell
178 function, including proliferation, apoptosis, cell differentiation, and so on (25-27). Therefore, we
179 speculate that NDRG4 can lead to the loss of mitochondrial membrane potential and induce
180 mitochondrial division to promote mitochondrial quality control, which in turn will induce
181 mitochondrial autophagy and reduce the number of mitochondria. It might even induce the
182 activation of mitochondrial apoptosis pathway. Further detailed research studies are needed to
183 clarify antecedents and consequences of the effect of NDRG4 on mitochondrial function.

184 In summary, our study provides the first evidence to describe a tumor suppressor role for
185 NDRG4 in PDAC. This is the first report demonstrating that hypermethylation-driven NDRG4
186 silencing can promote PDAC by regulating mitochondrial function. It will advance our
187 understanding of the role of NDRG4 in tumor progression.

188

189 **Materials and methods**

190 **Data mining**

191 Online analysis was performed using Kaplan-Meier plotter (<http://kmplot.com/analysis/>) and
192 oncolnc (<http://www.oncolnc.org/>). Hazard ratio (and 95% confidence intervals) and log-rank P
193 were calculated. Two GEO datasets (GSE28735 and GSE62452) were found at
194 <https://www.ncbi.nlm.nih.gov/geo>.

195 Cell culture and cell transduction

196 Human PDAC cell lines (PANC1, Canpan1, SW1990 and ASPC1) and normal human
197 pancreatic duct cells (HPNE) were obtained from Shanghai Institute of Biochemistry and Cell
198 Biology (Shanghai, China). They were cultured in DMED medium supplemented with 10% FBS
199 at 37°C with 5% CO₂. SW1990 and Canpan1 cells were infected with lentivirus (lentiviru-NDRG4
200 or empty vehicle control) in the presence of 8 µg/ml polybrene (Sigma) and selected with 2 µg/ml
201 puromycin (Sangon, Shanghai) for 14 days. NDRG4 stable expression in the selected cell line was
202 verified by western blot.

203 CCK8 Assay

204 PDAC cells were seeded into a 96-well plate (100µl per well) at a density of 5×10^3 cells per
205 well. At indicated end points, 10 µl of Cell Counting Kit-8 (CCK-8, WST-8, Dojindo, Japan) was
206 added to each well and the absorbance was measured at 450 nm using a microplate reader. The
207 experiment was performed in triplicate and repeated three times.

208 Colony-forming assays

209 Cells were seeded into a six-well plate (500 cells/well) and cultured in complete medium for
210 two weeks. Cells were fixed with 2% paraformaldehyde for 30 minutes and stained with 0.1%
211 crystal violet for another 30 minutes.

212 In Vitro Migration and Invasion Assays

213 To determine migration and invasive abilities of PDAC cells with altered expression of
214 NDRG4, transwell assays were conducted. For the migration assay, 4×10^4 cells in 200 µl serum-

215 free medium were seeded into the upper chamber (Millicell) of the transwell. The lower chamber
216 was filled with 700 μ l of medium containing 20% FBS. After 24 h, cells were fixed with 4%
217 paraformaldehyde for 15 min and stained with 0.1% (w/v) crystal violet for 15 min. The membrane
218 of the upper chamber was cleaned with PBS and air-dried. Images were taken in five random fields
219 using an inverted microscope (Olympus Corp. Tokyo, Japan). The number of cells in each field
220 was counted. For the invasion assay, a similar approach was used. The only difference was that
221 100 μ l matrigel (BD Bioscience, Franklin Lakes, NJ) was added into the top chamber of the
222 transwell and 8×10^4 cells were seeded onto the matrigel and cultured for 48h.

223 **Mitochondrial morphometrics**

224 Mitochondrial morphometrics assays were performed as previously reported (28).
225 Mitochondrial staining was carried out with MitoTracker[®]Red (Invitrogen) according to the
226 manufacturer's instruction. Images were acquired under a 100 \times oil objective using a laser
227 scanning confocal microscope (LSM710, Leica).

228 **Western blot analysis**

229 Western blot analyses were performed as described previously (29). Primary antibodies used
230 were those against NDRG4 (1:1000; Proteintech), Tom20 (1:1000; Abcam), and GAPDH (1:5000,
231 Proteintech). After incubating with IRDye 680 anti-mouse IgG (LI-COR, Lincoln, NE) and IRDye
232 800 anti-rabbit IgG (LI-COR, Lincoln, NE) secondary antibodies, signal intensities were analyzed
233 using an Odyssey Infrared Image System (LI-COR Biosciences).

234 **DAC treatment and quantitative real-time PCR**

235 Cells were treated with 5-aza-2'-deoxycytidine (DAC, Sigma-Aldrich, St. Louis, MO, USA)
236 or same volume of Dimethyl Sulfoxide (DMSO) for 3 days. At the indicated end point, total RNA
237 was extracted from cells using Trizol reagent (Takara, Dalian, China). qPCR was performed as
238 described previously (30). Primers used were as follows: NDRG4-F: 5'-
239 GGCCTTCTGCATGTAGTGATCCG-3', NDRG4-R: 5'-GGTGATCTCCTGCATGTCCTCG-3';
240 GAPDH-F: 5'-GTCAACGGATTTGGTCTGTATT-3', and GAPDH-R: 5'-
241 AGTCTTCTGGGTGGCAGTGAT-3'.

242 **DNA methylation analysis**

243 DNA methylation assay was performed as previously reported (31). Briefly, DNA (1 µg) was
244 bisulfite modified according to the manufacturer's instruction (Epiect Bisulfite Kit, Qiagen) and
245 amplified with designed sequence-specific primers for binding to the NDRG4 promoter: 5'-
246 TTAGAGGTTTTTGGAGTTTTTGGTTTT-3' (forward) and 5'-CCCTCAAACCCCCTATAAC-
247 3' (reverse).

248 **ATP assay**

249 An enhanced ATP assay kit (Beyotime, Shanghai, China) was used to determine ATP
250 concentrations according to the manufacture's protocol.

251 **Mitochondrial membrane potential (JC-1)**

252 Assays were performed using a JC-1 kit (Beyotime, Shanghai, China) according to the
253 manufacture's protocol. Fluorescence labeled cells were imaged with a fluorescence microscope
254 and analyzed with an EnSpire Reader. The ratio of fluorescence at 530 nm to that at 590 nm

255 emission was used for measuring mitochondrial membrane potential.

256 **Statistical analysis**

257 Data are presented as means \pm SD of three independent experiments. All statistical analyses
258 were performed using Student's t-test. *P* value of less than 0.05 was considered as statistically
259 significant.

260

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263 for the manuscript.

264

265 **Conflicts of interest**

266 All authors have no conflicts of interest relevant to this study to disclose.

267

268 **Figure Legends**

269 **Fig. 1. Down-regulated NDRG4 is closely associated with poor PDAC patient survival. (A)**

270 The p value distribution of NDRG family members' expression within PDAC patients' prognosis
271 was analyzed with Kaplan Meier plotter (<http://kmplot.com/analysis/>) and oncolnc
272 (<http://www.oncolnc.org/>). (B) Kaplan-Meier plotter showed poor OS and less median survival
273 time of PDAC patients with low NDRG4 expression (log-rank $p = 0.035$, HR = 0.64). (C) On-line
274 analysis of Kaplan-Meier OS curves using TCGA dataset of PDAC patients by oncolnc. Patients

275 were grouped on the basis of NDRG4 median expression value. (log-rank $p = 0.0158$). (D and E)
276 NDRG4 expression levels were analyzed in tumors and adjacent tissues samples using two
277 independent cohorts (D, GSE28735; E, GSE62452); Student's t-test, $***P < 0.001$. (F) NDRG4
278 expression levels in PDAC cell lines and nonmalignant hTERT-HPNE (HPNE) cells were
279 determined by immunoblotting.

280

281 **Fig. 2. Down-regulated NDRG4 resulting from hypermethylation of DNA promoter.** (A)
282 NDRG4 mRNA expression was detected in PDAC (SW1990 and Canpan1) and HPNE cells treated
283 with no drug, DAC, TSA, or DAC plus TSA. GAPDH was used as a loading control. The upper
284 row shows qRT-PCR data and the lower row shows PCR bands. $*P < 0.05$, $**P < 0.01$, ns = no
285 significance. (B) Hypermethylation of the NDRG4 promoter was detected in SW1990, Canpan1,
286 and HPNE cells by bisulfite-sequencing. Solid circles = methylated CpG site; Open circles =
287 unmethylated CpG site.

288

289 **Fig. 3. NDRG4 overexpression inhibits viability and motility of PDAC cells *in vitro*.** (A and B)
290 NDRG4 overexpression significantly decreases proliferation of SW1990 (A) and Canpan1 (B)
291 cells. (C) NDRG4 knockdown significantly increases proliferation of HPNE cells. (D and E) Up-
292 regulated NDRG4 markedly suppresses clone formation ability of SW1990 (D) and Canpan1 (E)
293 cells. (F) NDRG4 knockdown markedly enhances clone formation ability of HPNE cells. (G and
294 H) NDRG4 overexpression reduces migration (E) and invasion (F) of PDAC cells. $*P < 0.05$, $**P$

295 < 0.01.

296

297 **Fig. 4. NDRG4 overexpression regulates mitochondrial function.** (A) NDRG4 overexpression
298 leads to decreased ATP production in SW1990 and Canpan1 cells, Opposite results were obtained
299 for HPNE cells with NDRG4 knockdown. (B) Tom20 was detected in SW1990, Canpan1, and
300 HPNE cells with NDRG4 overexpression or knockdown. (C) Confocal images of mitochondrial
301 membrane potential (MMP) treated with NDRG4 overexpression or knockdown in SW1990,
302 Canpan1, and HPNE cells. Cells were stained with JC-1. The right histogram for quantified MMP
303 was given as JC-1 ratio (GFP/RFP). (D) Confocal images of mitochondrial morphology treated
304 with NDRG4 overexpression or knockdown in SW1990, Canpan1, and HPNE cells. Cells were
305 stained with Mito-Tracker Red (red) and Hoechst33342 (blue). The right histogram reflects the
306 degree of mitochondrial morphological change in cells (n = 100).

307

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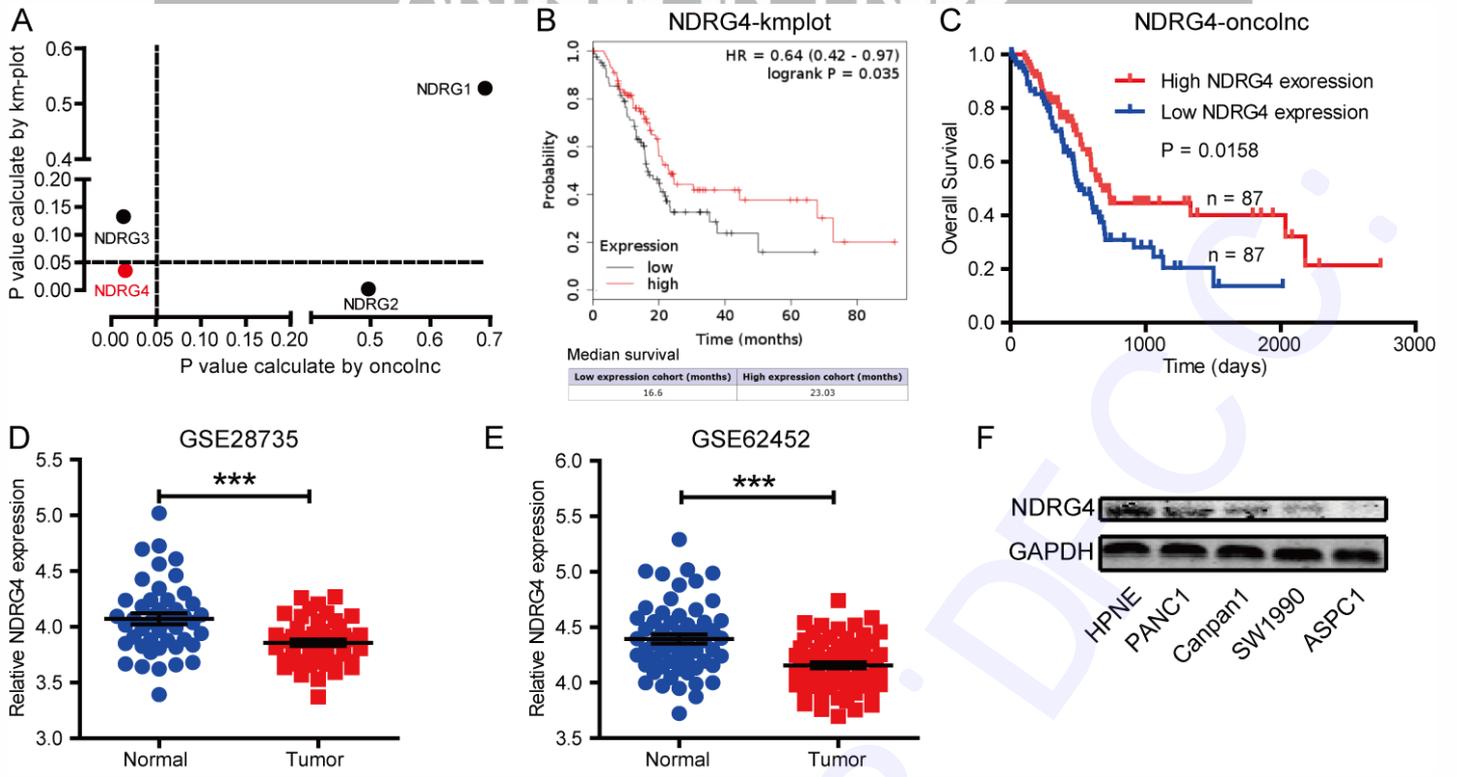


Fig. 1.

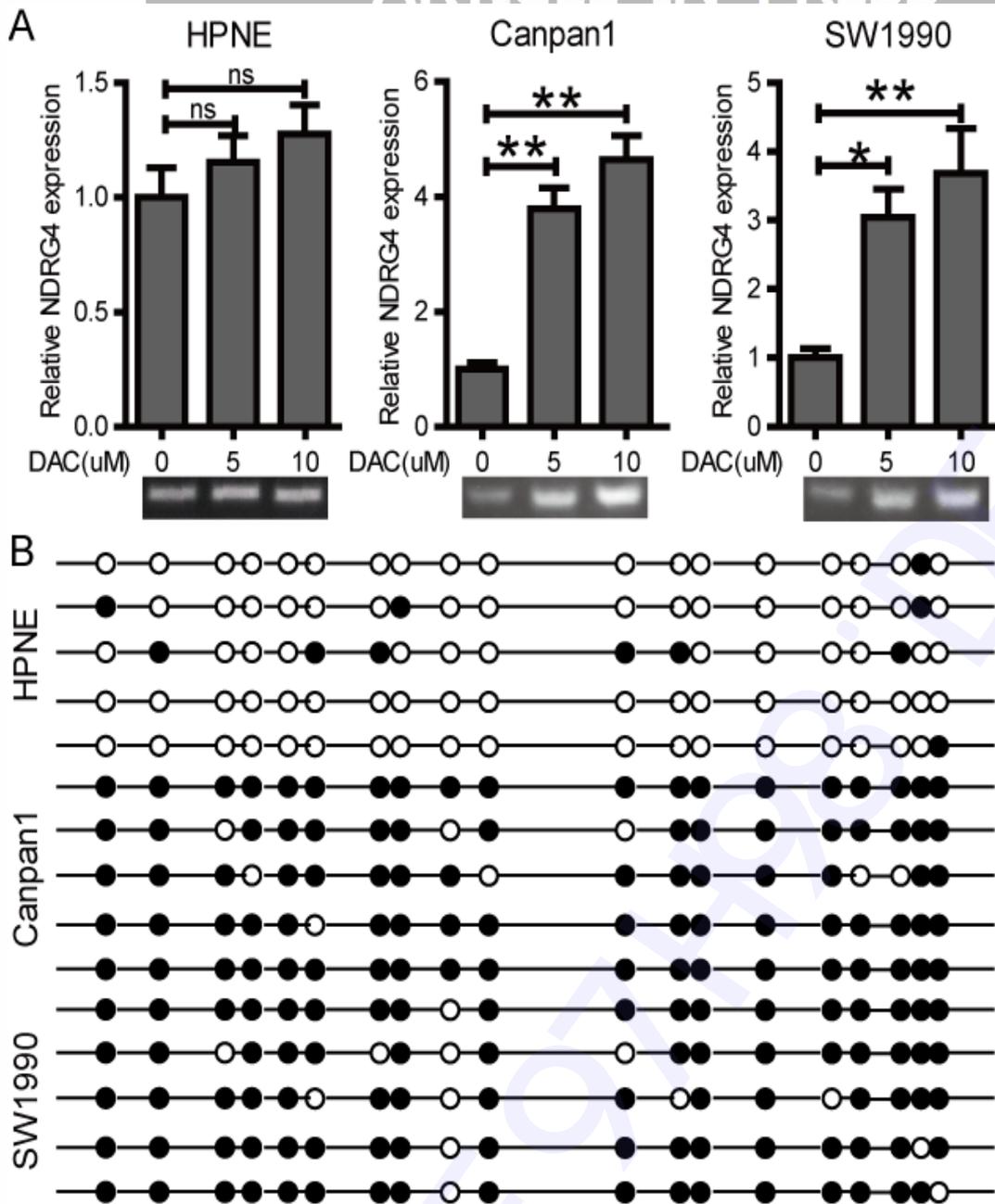


Fig. 2.

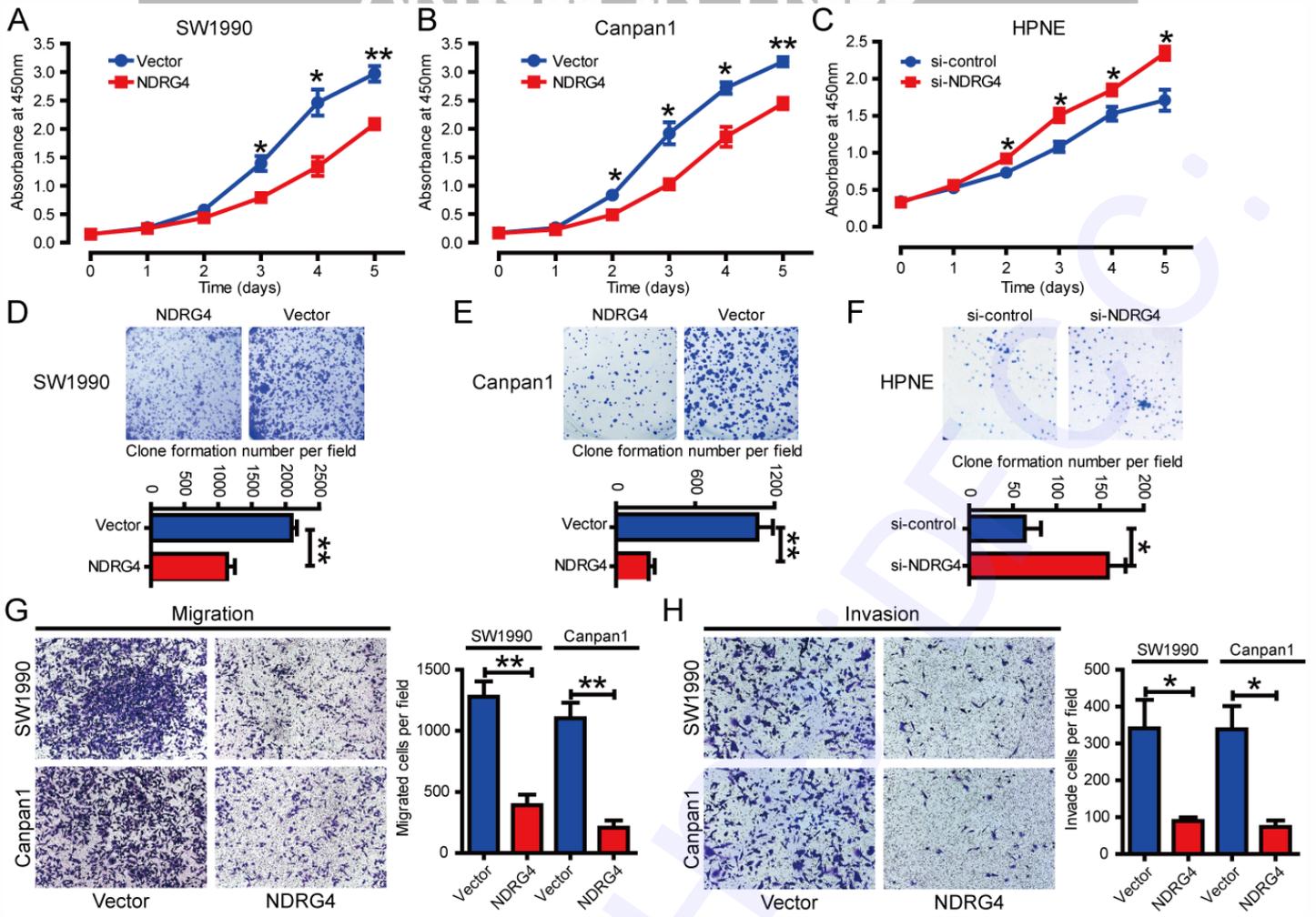


Fig. 3.

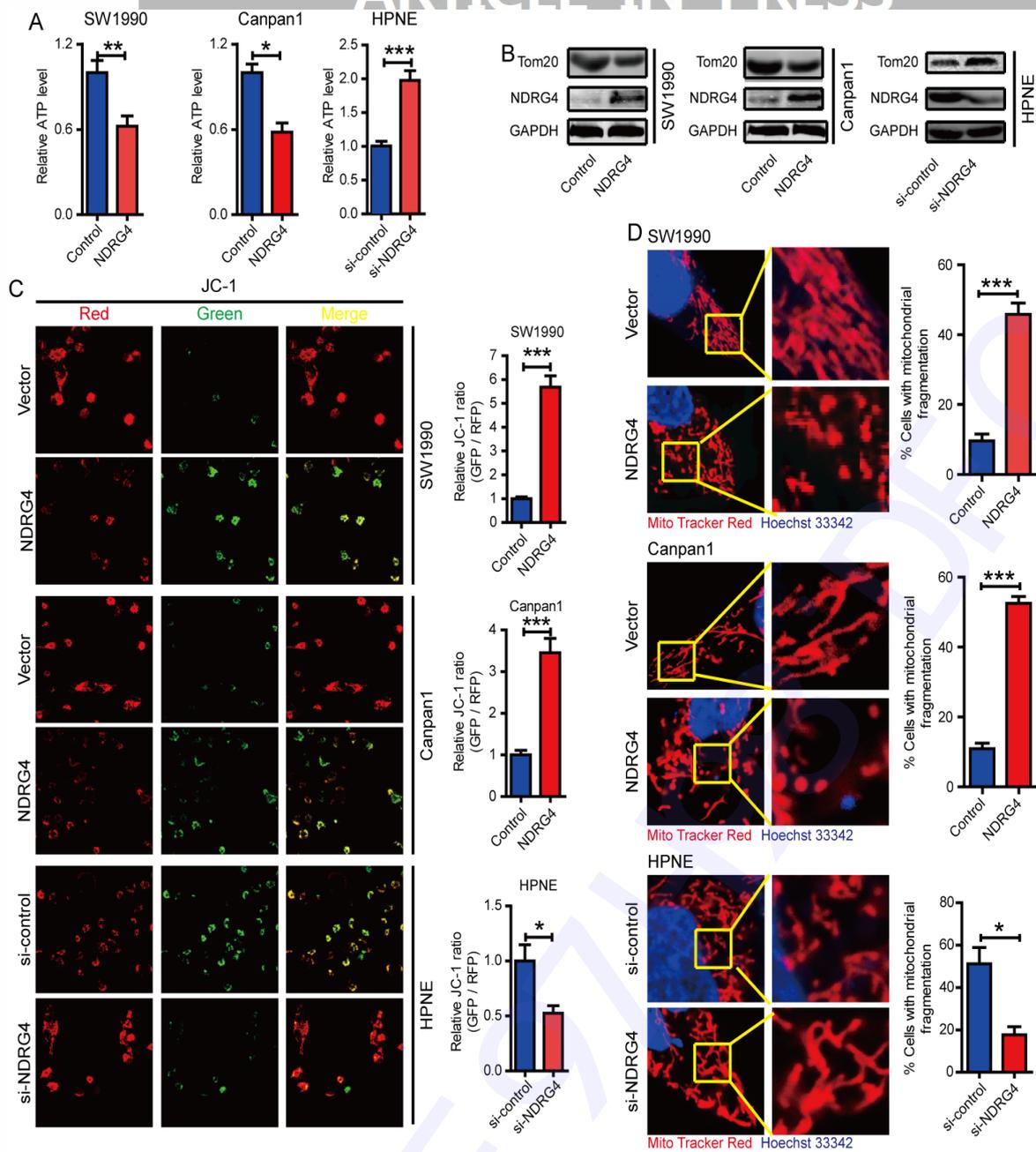


Fig. 4.

Hypermethylation-mediated silencing of NDRG4 promotes PDAC via regulating mitochondrial function

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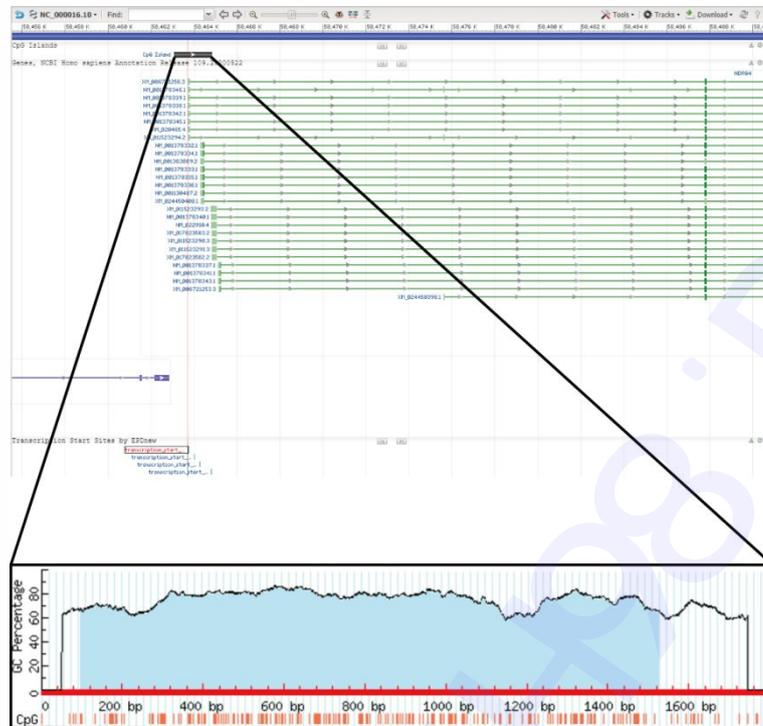
Running Title: Decreased NDRG4 attenuated mitochondrial function

Keywords: NDRG4; PDAC; methylation; mitochondrial function

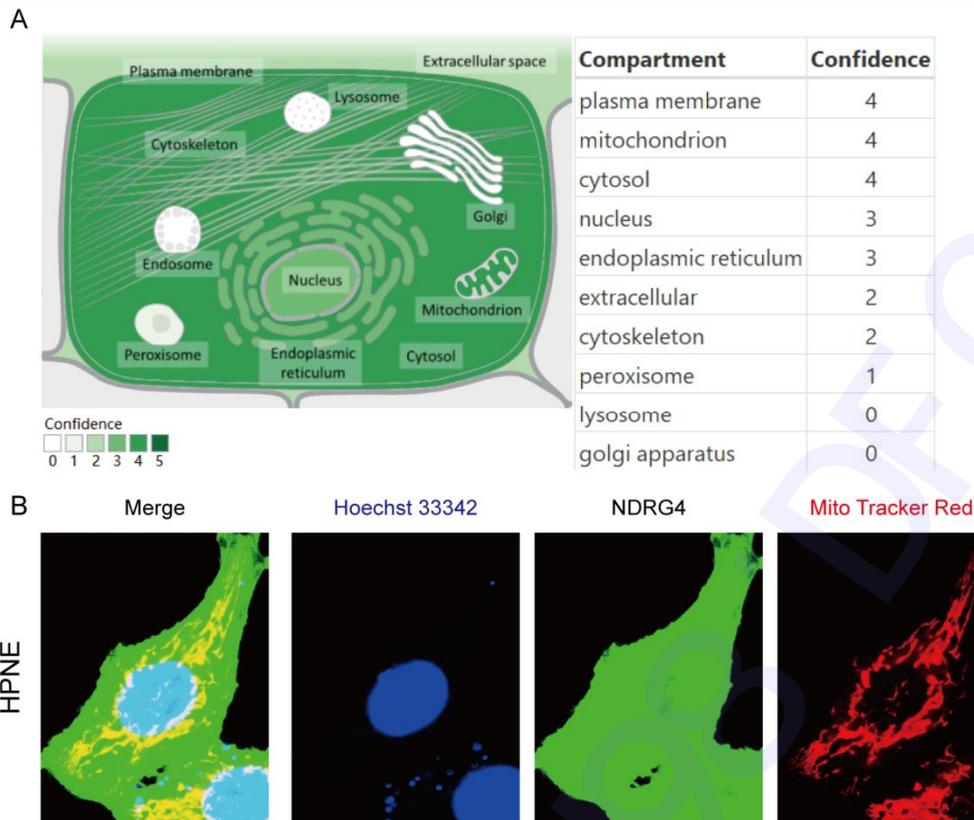
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SUPPLEMENTARY INFORMATION

SUPPLEMENTARY FIGURES



Supplementary Fig 1. Genomic structure of NDRG4 by online analysis (<https://www.ncbi.nlm.nih.gov/gene/> and <http://www.urogene.org/methprimer/>) showed that a dense CpG island near the transcription initiation site



Supplementary Fig 2. (A) Data mining from genecards (<https://www.genecards.org/>) showed that NDRG4 was located in cytoplasm, including locating in mitochondria. (B) Immunofluorescence showed that NDRG4 was located in mitochondria.