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**Running Title:** Role of the BCSFB in CNS neuroimmune communication and homeostasis

**Keywords:** brain barriers, neuroimmune communication, choroid plexus, immunoregulation

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**ABSTRACT**

Once characterized as an immune privileged area, recent scientific advances have demonstrated that the central nervous system (CNS) is both immunologically active and a specialized site. The anatomical and cellular features of the brain barriers, the glia limitans, and other superficial coverings of the CNS endow the brain with specificity for immune cell entry and other macro- and micro-elements to the brain. Cellular trafficking *via* barriers comprised of tightly junctioned non-fenestrated endothelium or tightly regulated fenestrated epithelium results in different phenotypic and cellular changes in the brain, that is, inflammatory versus regulatory changes. Based on emerging evidence, we described the unique ability of the blood cerebrospinal fluid barrier (BCSFB) to recruit, skew, and suppress immune cells. Additionally, we sum up the current knowledge on both cellular and molecular mechanisms governed by the choroid plexus and the cerebrospinal fluid at the BCSFB for immunosurveillance, immunoprotection, and immunopathology.

## INTRODUCTION

Prior allograft studies of tissue and tumor transplantation into the brain parenchyma have described the successful growth of heterogeneous tumors and their respective tissues. Given the brain's tolerance of these transplants and the frequent rejections at the periphery, the brain was proposed to be an immunoprivileged system (1). Immunoprivilege has formerly been considered to be a neuroprotective mechanism that shields the central nervous system (CNS) from undulating peripheral cues and was suggested to be immutable, whereas recent findings argue that CNS is in a continuously dynamic state (2). For instance, modern *in vivo* imaging studies have exhibited previously undiscovered glymphatic and lymphatic systems which are cerebrospinal fluid (CSF) and interstitial fluid drainage networks, working as waste removal and communicators between CNS and periphery and maintain CNS immune homeostasis (3, 4).

Similarly, the idea of brain barriers (blood-brain barrier (BBB), blood leptomeningeal barrier (BLMB), and blood cerebrospinal fluid barrier (BCSFB)) that function as checkpoints for immune cells as well as other peripheral components that traffic to the CNS have urged the scientific community to revisit the concept of CNS immunoprivilege. Here we evaluate both the anatomical localization and physiological functions of the CNS barriers, focusing on the BCSFB role in CNS immunoregulation and discuss the suitability of the BCSFB as a therapeutic target in various neurological disorders.

## CNS GATING SYSTEM: ABSOLUTE AND REGULATORY BARRIERS

Considering the importance of CNS anatomy and its relevance in neuroimmune communication, the brain barriers are generally categorized into true and educational gates. Owing to their tightly junctioned endothelial cells (ECs), both the BBB and BLMB directly separate the circulating blood from the surroundings of the CNS so called absolute or true barriers. Conversely, the BCSFB, constituted of firmly placed epithelial cells of the choroid plexus (ChP), regulates the peripheral trafficking and is thus called an educational gate or immunoregulatory barrier.

### *Absolute or true barriers*

The BBB is a far-reaching barrier of the CNS composed of a monolayer of non-fenestrated ECs by tight junction transmembrane proteins. Attributed to its anatomical contribution, the BBB has a very potent role in prohibiting the immune cells infiltration via sonic hedgehog (SHH) and CXCL12 expressed on its endothelium (5, 6) (**Fig. 1a**). However, under inflammatory conditions, the BBB facilitates cellular intrusion into the CNS, by upregulating the secretion of CCL2 which attracts CD14<sup>+</sup> pro-inflammatory monocytes. The transforming growth factor  $\beta$  (TGF $\beta$ ) and granulocyte-macrophage colony-stimulating factor then differentiate the recruited cells into dendritic cells (DCs) (7). The BLMB is composed of the dura, arachnoid mater, subarachnoid space, and pia mater, which together are called the leptomeninges. These three layers together with subarachnoid space provide structural support, cushion, route for CSF circulation, and a barrier to the CNS (8) (**Fig. 1b**). The BLMB has been identified as a major site of pro-inflammatory leukocyte entry to the CNS during experimental autoimmune encephalomyelitis (EAE) (9) while in the normal state, negligible leukocyte transport is seen through this structure due to its restrictive

nature. In a nutshell, BBB and BLMB are true barriers that have no immunoregulatory properties and these findings support the notion that these barriers should remain sealed.

### ***Educational Barrier or Immunoregulatory barrier***

The BCSFB, in contrast to the BBB and BLMB, functions as a controlled gate for neuroimmune communication as well as immunosurveillance. The BCSFB is a biophysical, biochemical, and buoyant barrier that provides a physical barrier to peripheral transfer, facilitates the removal of CNS wastes, and acts as an immunosurveillance system (10). The BCSFB is composed of the ChP and CSF. The ChP consists of monolayered cuboidal epithelial cells lined together by tight junctions, floating in the brain ventricles (**Fig. 1c**). The most extensively known function of the ChP is CSF production; thus, the ChP-CSF system has been named. ChP-CSF system dispenses crucial health and growth-promoting signals in the CNS. Disruptions of ChP-CSF system have been observed in a range of neurological diseases such as hydrocephalus, ChP tumors, Alzheimer's disease (AD), and the loss of neuroregenerative capabilities (11).

## **IMMUNOSURVEILLANCE, IMMUNOPROTECTION, AND IMMUNOPATHOLOGY**

### **AT THE BCSFB**

Due to the presence of specialized CNS barriers, immune cell entry into the CNS parenchyma is a two-step process: (1) migration of immune cells across the BBB or BCSFB and (2) their rolling over across the glia limitans into the CNS parenchyma. The distinctive anatomy of the BCSFB orchestrates the first step of this migratory process by regulating the expression of adhesion molecules, chemokines, and cytokines at the ChP and in the CSF and thus mediates immunosurveillance, immunoprotection, and immunopathology in the CNS (**Fig. 2**).

### ***Differential expression of adhesion molecules and chemokines at the ChP***

Adhesion molecules (such as cell adhesion molecules, selectins, cadherin, and other mediators) are vital to some processes of the immune cell response, namely, migration, interaction, and execution (12). A well-known immunoregulatory feature of the ChP is the continuous expression of these adhesion molecules on its epithelium and endothelium and their secretion into the CSF. Figarella-Branger *et al.* (13) have described that the expression of E-cadherin was exclusively localized to the basolateral membrane of ChP epithelial cells in healthy adult ChPs and ChP papillomas. Similarly, vascular cell adhesion molecule-1 (VCAM-1) and intercellular adhesion molecule-1 (ICAM-1) are expressed on the apical border of the ChP. Besides, VCAM-1, ICAM-1, and mucosal addressin cell adhesion molecule-1 (MAdCAM-1) are upregulated during EAE (14). The CSF of healthy individuals is populated with CD4<sup>+</sup> memory T cells, which are observed to enter CNS through P-selectin-expressing endothelial cells of the ChP (15). As these studies show, the choroidal structure expresses factors that are central to the transport of peripheral cellular components into the CNS through the BCSFB.

Similarly, in the adult CNS, the BCSFB and BBB vasculatures express many homeostatic chemokines and their receptors (including CCL19-21, CCR6, CCR7, and CXCL12) and govern immunosurveillance, neuro- and gliogenesis, neuronal survival, and synaptic transmission (**Fig. 2a**). **CCL20-CCR6 axis:** C-C chemokine receptor type 6 (CCR6) is expressed in lymphatic and non-lymphatic tissues, leukocytes, DCs, and natural killer cells (16, 17). CCL20, the only ligand for CCR6, is constitutively expressed on the ChP epithelium of both mice and humans under homeostatic conditions and is involved in the migration of interleukin-17 producing T-helper cells (Th17) during EAE (18). CCL20 is also expressed in the CSF as an early marker of

pneumococcal meningitis, in which CCL20 alleviate the disease by attracting peripheral granulocytes and lymphocytes to the subarachnoid space (19). **CCL19-CCL21-CCR7 axis:** C-C chemokine receptor type 7 (CCR7) is expressed on a variety of immune cells including DCs, B cells, and T cells. Under homeostatic conditions, the CCR7 ligands CCL19 and CCL21 are continually expressed in the CSF and by ChP (20, 21). CCL19 and CCL21 are the only known ligands for CCR7 and under normal conditions they direct the migration of central memory CD4<sup>+</sup> T cells (T<sub>CM</sub>), which express CCR7 on their surface, into the CNS *via* the ChP. **CX<sub>3</sub>CR1-CX<sub>3</sub>CL1 signaling:** CX<sub>3</sub>C chemokine receptor 1 (CX<sub>3</sub>CR1, also known as the fractalkine receptor) and its ligand CX<sub>3</sub>CL1 (neuroactin) are extensively expressed in immune cells and monitor the adhesion and migration of immune cells (22). Under non-inflammatory conditions, CX<sub>3</sub>CL1 and the CX<sub>3</sub>CR1-CX<sub>3</sub>CL1 signaling system are highly expressed in ChP attachments, which regulate the peripheral CX<sub>3</sub>CR1-derived monocyte population in the ChP (23). Likewise, following spinal cord injury and stroke, the recruitment of CX<sub>3</sub>CR1<sup>hi</sup>LY6C<sup>low</sup> monocyte-derived macrophages (M2) instead of CX<sub>3</sub>CR1<sup>low</sup>LY6C<sup>high</sup> monocyte-derived macrophages (M1) is seen *via* the ChP (24, 25). Thus, the CX<sub>3</sub>CR1-CX<sub>3</sub>CL1 axis is essential for the cellular trafficking and immunoselective properties of the ChP. Studies of these adhesion molecules and chemokines expressed on the ChP epithelium and endothelium indicate the immunoregulatory properties of the ChP-CSF system.

#### ***The IFN $\gamma$ -IL-4-ChP axis***

The IFN $\gamma$ -IL4-ChP system helps to recruit peripheral cells to the CNS by mediating the expression of chemokines and adhesion molecules at this site, thus regulating CNS homeostasis. In an assessment of porcine ChP treated with pro-inflammatory cytokines following *Streptococcus suis* induction, IFN $\gamma$  dependent ChP upregulation of indolamine 2,3-dioxygenase (IDO) was observed.

IDO is a potent enzyme that degrades L-tryptophan (an amino acid essential for T-cell proliferation) and reduces the production of nitric oxide. The ChP is believed to exert bacteriostatic effects and initiates rescue from meningitis by regulating the IFN $\gamma$ -IDO pathway of T-cell exhaustion (26) (**Fig. 2b**). Similarly, EAE studies of IFN $\gamma$ R<sup>CNS</sup>KO (CNS IFN $\gamma$ R deletion) and IFN $\gamma$ R<sup>peri</sup>KO (peripheral IFN $\gamma$ R deletion) have indicated that IFN $\gamma$ R<sup>CNS</sup>KO EAE mice had more severe and atypical neurological deficits than IFN $\gamma$ R<sup>peri</sup>KO mice; lower levels of immune infiltration, enhanced microglia-induced neurotoxic damage, and disrupted neuronal microtubule network (27). Further, physiological aging influences IFN $\gamma$  regulation of IL-4 i.e., reduced levels of IFN $\gamma$  elevate IL-4 expression in the ChP and CSF which makes the ChP epithelium to produce CCL11. This chemokine is associated with age-related cognitive dysfunction and shifts the ChP immune phenotype toward destructive T-helper cells type 2(Th2) (28). This IFN $\gamma$  and IL-4 balance is pivotal for normal CNS immunosurveillance, memory, and cognition physiology at the ChP.

### ***Immune choreography by CSF***

ChP and CSF are home to several growth factors such as TGF $\beta$ , vascular endothelial growth factor (VEGF), and several others. Among these mediators TGF $\beta$  and VEGF have the most pronounced effects; both hold ChP integrity, regulate ChP ECs stability, modulate ependymal cells CSF secretion, and affect BCSFB permeability (29). CSF-residing TGF $\beta$  is anticipated to act during traumatic brain injury by obstructing the cytotoxic CD8<sup>+</sup> (30) and Th17 cells activity and stimulating Tregs production to reduce inflammation, during which time CSF levels of TGF $\beta$  are maximally increased (31). Indeed, intracerebroventricular and intranasal administration of TGF $\beta$  suppresses glia- and T-cell-induced inflammation and alleviates AD-related neurodegeneration (32).

PGD<sub>2</sub> is a bioactive inflammatory lipid mediator found in high concentrations in both CSF and ChP (33). It regulates a broad array of neurophysiological functions such as the sleep-wake cycle, body temperature, nociception, and immunomodulation (34). PGD<sub>2</sub> rescues hippocampal neurons from glutamate (35) and aluminum cytotoxicity (36) through cyclic adenosine monophosphate and calcium ion signaling by the DP1 receptor. It provides astrocytes with their anti-inflammatory functions and directly modulates the pro-inflammatory roles of microglia in a mouse model of Parkinson's disease (PD) (37). Altogether, these findings suggest the extraordinary potential of ChP epithelium and endothelium to express adhesion molecules, chemokines, and cytokines which are pivotal for the generation of an immune cell homing niche in the CNS (**Table 1**).

#### **IMMUNOPATHOLOGY: THE ChP-CSF SYSTEM IN DISEASE**

BCSFB impairment is seen in neurodegenerative disorders, including AD, as well as neuroinflammatory diseases, such as multiple sclerosis (MS) and major depressive disorder (MDD), and physiological aging causes dysfunctions in the ChP-CSF system. Understanding the BCSFB during CNS abnormalities could open new avenues to explore brain pathology and how to treat it.

#### ***MS***

MS is an autoimmune disease characterized by chronic inflammation, demyelination, and degeneration of CNS neurons. With the help of a well-established animal model (i.e., the EAE model), the etiology of MS in the context of immunopathology has been extensively studied. Studies of the EAE model and MS patients have confirmed the overwhelming presence and

necessity of CCR6-expressing pathogenic Th17 cells that enter the CNS parenchyma through the CCL20-expressing ChP epithelial cells in establishment of the disease (18, 38) (**Fig. 2c**). Postmortem studies of human MS brain tissues have described the selective loss of the tight junction protein claudin 3 (CLDN3), which is essential for BCSFB function. Loss of this protein enhances leukocyte infiltration into the CSF and brain parenchyma (39). Given the anatomy and molecular machinery of the ChP, it is evident that the ChP is essential in the initiation and development of MS.

### ***MDD***

MDD is a debilitating condition affecting 264 million people and is a leading cause of disability worldwide. The pathogenesis of MDD in the context of immunopathology has emerged in recent years and the ChP-CSF system has gained much attention in this context. One study has reported the compensatory role of the ChP in depressive suicidal patients; indeed, IL-1 $\beta$ , ICAM-1, and microglia expression (Iba-1) were downregulated in the ChP of depressive suicidal subjects (40). The synthesis of transthyretin (TTR), a transporter protein of the ChP, is regulated by serotonin. Patients suffering from neuropsychiatric disorders have inadequate 5-HT<sub>2C</sub> (serotonin receptors) receptor activation due to reduced serotonin expression, and therefore low levels of TTR (41) (**Fig. 3a, b**). The altered functionality of the ChP and CSF secretome indicates that the ChP-CSF system could be utilized for the early diagnosis and treatment of MDD.

### ***Aging and AD***

Recent studies have recognized morphological and molecular changes in the BCSFB and disrupted CSF production and turnover during aging and neurodegenerative disorders, especially AD.

During physiological aging the ChP's cuboidal epithelium flattens, the machinery for oxidative phosphorylation and anaerobic respiration is diminished, the CSF secretion and turnover rate is altered, and the barrier permeability is jeopardized (42). During AD, the ChP-CSF system transport of A $\beta$  plaque from the brain via TTR is disrupted. Decreased TTR levels in the brains of AD patients increase A $\beta$  deposition (43). Both *in vitro* and *in vivo* studies of AD models have observed elevated levels of nitric oxide in the AD brain. This results in NF- $\kappa$ B signaling retention, which reduces the expression of IFN $\gamma$ R on the ChP epithelium. Since IFN $\gamma$ R aids leukocyte trafficking at the ChP, its reduction exacerbates the neurodegeneration (44) (**Fig. 3c**). Altogether, changes in ChP morphology and physiology along with CSF alterations contribute to the AD and aging pathology.

### CONCLUDING REMARKS AND FUTURE DIRECTIONS

This manuscript describes the immunomodulatory gating and barrier strategies of the CNS true versus educational barriers. These barriers work in coordination to guard the CNS against peripheral changes and they provide lines of defense following an injury. These well-regulated barriers are important for appropriate neuronal and cognitive functions, but the restrictive nature of true barriers poses therapeutic challenges, in drug delivery and lowers rates of recovery. Thus, neuromedicine mainly focuses on identifying strategies to improve targeted drug delivery, and manipulating the properties of the ChP-CSF system because of its functional relevance to the BCSFB has promising applications in neurotherapies. Indeed, further characterization of the BCSFB's molecular constituents could help researchers determine the usefulness of this system as a therapeutic target for neurological disorders.

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## CONFLICTS OF INTEREST

The authors declare that they have no conflict of interest.

**FIGURE LEGENDS**

**Figure 1. CNS barriers.** (a) The BBB is composed of endothelial cells with tight junctions fenced by the glia limitans, contributing to the true nature of this system. SHH: sonic hedgehog. (b) The BLMB is composed of the dura mater, the arachnoid mater, subarachnoid space, and the innermost layer pia mater. (c) The BCSFB, which is composed of the ChP and CSF, lies in the brain ventricles and has its own CSF and stromal immune cell niche.

**Figure 2. Immunoregulation at the BCSFB.** (a) In the homeostatic state, the BCSFB is populated with T<sub>CM</sub> and T<sub>EM</sub> cells. The ChP expresses chemokines which together with CSF-borne mediators (anti-inflammatory molecules), participate in immunosurveillance (b) Following inflammatory stimuli or a brain injury, the integrity of the BCSFB is partially lost and immune selectivity and immune suppression are observed, which involve M2 macrophage transmigration at the ChP and the suppression of IFN $\gamma$ -producing Th1 cells by CSF. (c) In disease conditions, such as MS and AD, the BCSFB is disrupted, tight junctions are lost, resident antigen presentation increases, the CSF anti-inflammatory milieu shifts to the pro-inflammatory phenotype, and CD8<sup>+</sup> and Th17 cells infiltration is enhanced.

**Figure 3. Immunopathology at BCSFB.** (a) The homeostatic BCSFB expresses TTR, a protein involved in the transport of thyroid hormones and A $\beta$  to the peripheral circulation. The BCSFB is also populated with mitochondria which support this active transport. (b) In MDD, the ChP tight junctions are partially lost, the TTR levels decrease, and chemokine and pro-inflammatory expression increases causing neuroinflammation. (c) In aging or AD, the ChP epithelium is atrophied, the TTR levels severely decline which increases A $\beta$  deposition, decreases metabolic

waste efflux, disturbs mitochondrial energetics, and intensifies the infiltration of CD8<sup>+</sup> T cells and pro-inflammatory monocytes from the periphery to the CNS.

1 B7CFF97H98 DFCC

**Table 1. CNS-borne factors of immunoregulation**

Mediators	Location	Immunoregulation	Disease	Refs.	
<b>Adhesion molecules</b>	E-cadherin	ChP epithelium	↗ Leukocytes	ChP papillomas and carcinomas	13
	ICAM-1, VCAM-1, MAdCAM-1	ChP epithelium	↗ Leukocytes	MS	14
	P-selectin	ChP endothelium	↗ CD4 <sup>+</sup> T	MS	15
<b>Chemokines</b>	CCL20	ChP epithelium	↗ Th17 cells	MS, pneumococcal meningitis	18,19
	CCL19, CCL21	ChP epithelium	↗ CCR7 <sup>+</sup> central memory T cells	Neuroinflammation	20,21
	CX3CL1	ChP endothelium	↗ M2 Mφ Monocytes	Spinal cord injury Stroke	23–25
<b>Cytokines/ neuropeptides</b>	IFN $\gamma$	ChP epithelium	↗ Leukocytes	Host defense MS	26,27
	IL-4	ChP	* Th2 cells	Aging	28
	TGF $\beta$	CSF, ChP	↓ CD8 <sup>+</sup> , Th17 cells; ↑ Tregs	AD, TBI	29–32
	PGD <sub>2</sub>	CSF	Neuronal protection A2- Astrocytes	PD	35,36

↗ Trafficking, \* Skewing, ↑ Increase, ↓ Decrease, Mφ Macrophage, Th2 T-helper cells type 2, Tregs T regulatory cells, CCL19-21 CX3CL1 chemokines, ChP Choroid plexus, CSF Cerebrospinal fluid, ICAM-1 intercellular adhesion molecule-1, VCAM-1 vascular cell adhesion molecule-1, MAdCAM-1 mucosal addressin cell adhesion molecule-1, IFN $\gamma$  interferon gamma, IL-4 interleukin 4, TGF $\beta$  transforming growth factor beta, prostaglandin D2, A2- Astrocytes Anti-inflammatory astrocytes, AD Alzheimer's disease, MS Multiple sclerosis, PD Parkinson's disease, TBI traumatic brain injury.

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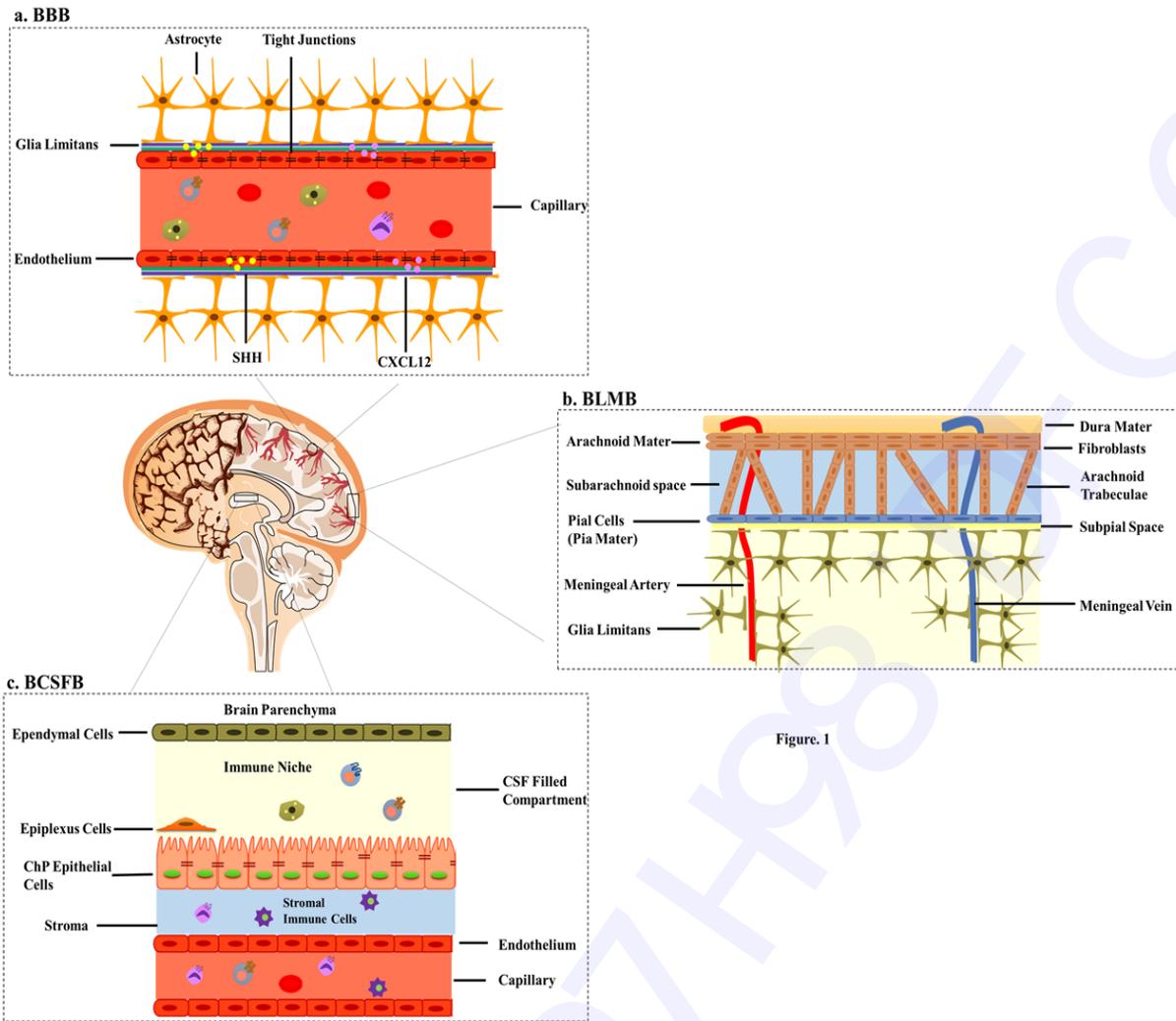


Fig. 1. Figure1

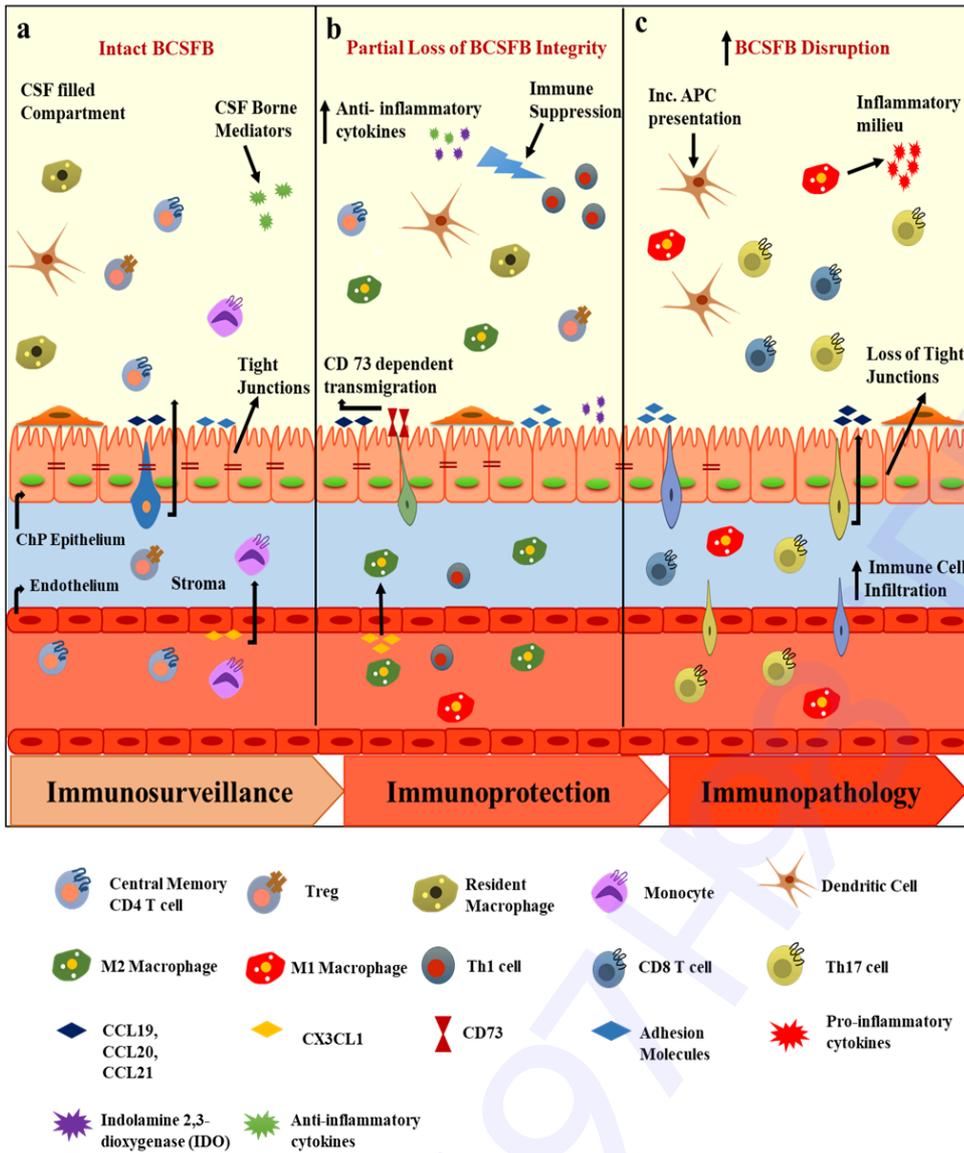


Figure. 2

Fig. 2. Figure2

Figure. 3

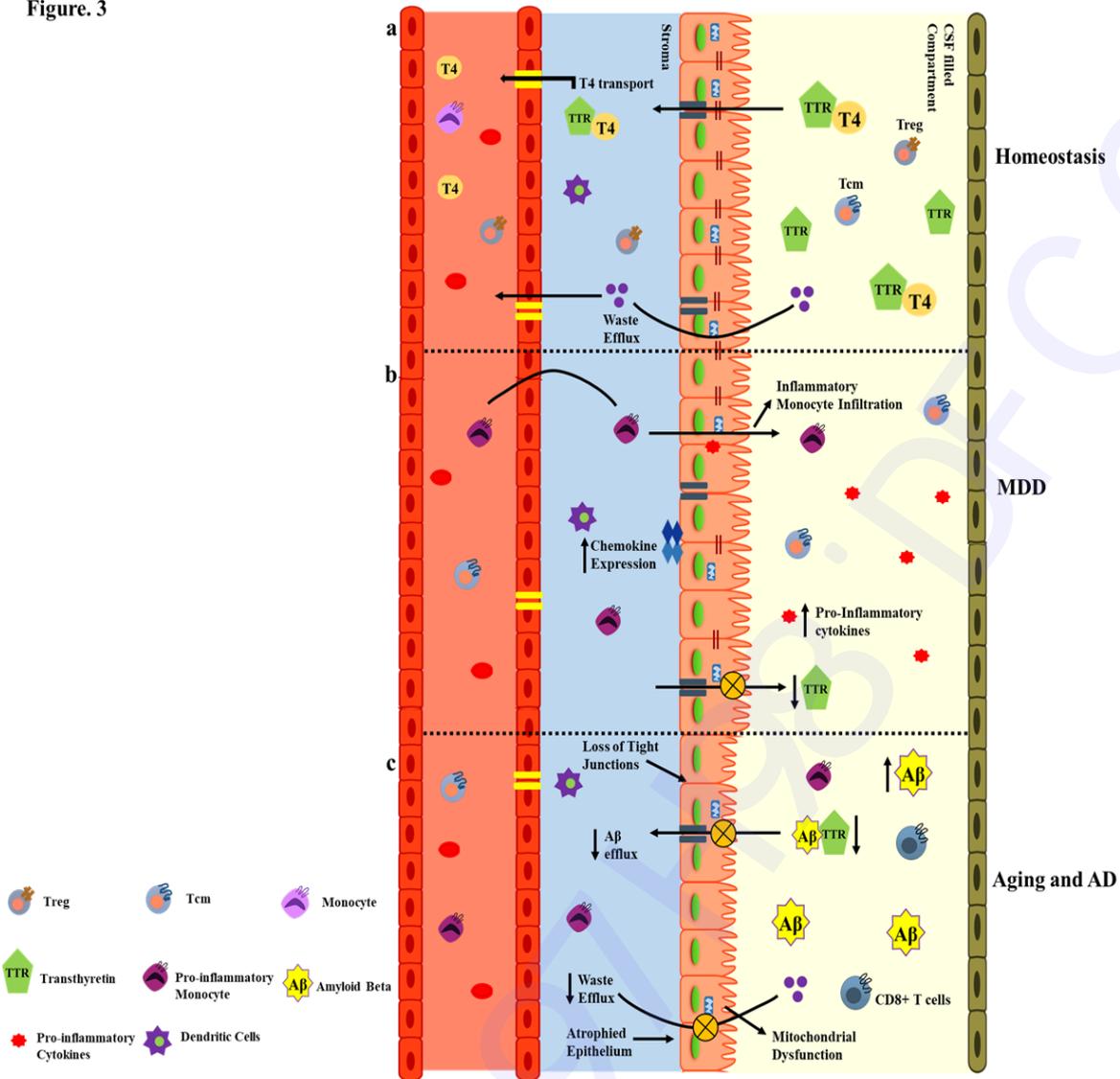


Fig. 3. Figure3