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Corresponding Author: Hye Sung Kim

Authors: Na-Hyun Lee¹, Oyunchimeg Bayaraa¹, Zhou Zechu¹, Hye Sung Kim^{1,*}

Institution: ¹Institute of Tissue Regeneration Engineering (ITREN), Dankook University, Cheonan 31116, Republic of Korea,

²Department of Nanobiomedical Science and BK21 NBM Global Research Center for Regenerative Medicine, Dankook University, Cheonan, 31116, Republic of Korea,

³Department of Regenerative Dental Medicine, College of Dentistry, Dankook University, Cheonan 31116, Republic of Korea,

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Author's name: Na-Hyun Lee^{1,2}, Oyunchimeg Bayaraa^{1,2}, Zhou Zechu^{1,2} and Hye Sung Kim

^{1,3,*}

Affiliation:

¹ Institute of Tissue Regeneration Engineering (ITREN), Dankook University, Cheonan 31116, Republic of Korea

² Department of Nanobiomedical Science and BK21 NBM Global Research Center for Regenerative Medicine, Dankook University, Cheonan, 31116, Republic of Korea

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Corresponding Author's Information: Tel: +82-41-550-3075; E-mail: hskim1213@dankook.ac.kr

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ABSTRACT

Cell-based therapy is a promising approach in the field of regenerative medicine. As cells are formed into spheroids, their survival, functions, and engraftment in the transplanted site are significantly improved compared to single cell transplantation. To improve the therapeutic effect of cell spheroids even further, various biomaterials (e.g., nano- or microparticles, fibers, and hydrogels) have been developed for spheroid engineering. These biomaterials not only can control the overall spheroid formation (e.g., size, shape, aggregation speed, and degree of compaction), but also can regulate cell-to-cell and cell-to-matrix interactions in spheroids. Therefore, cell spheroids in synergy with biomaterials have recently emerged for cell-based regenerative therapy. Biomaterials-assisted spheroid engineering has been extensively studied for regeneration of bone or/and cartilage defects, critical limb ischemia, and myocardial infarction. Furthermore, it has been expanded to pancreas islets and hair follicle transplantation. This paper comprehensively reviews biomaterials-assisted spheroid engineering for regenerative therapy.

INTRODUCTION

Cell-based therapy is a promising approach for tissue regeneration. To fill tissue loss and restore tissue functions of injured tissues, a major cell type of the tissue to be regenerated is often chosen as a cell source for transplantation. For example, chondrocytes are used for cartilage defect repair and cardiomyocytes are used for myocardial infarction repair. Among many cell sources, mesenchymal stem cells (MSCs) are the most clinically relevant and frequently used cell type for regenerative therapy due to their self-renewal ability, multipotency, low immunogenicity, and secretion of regenerative paracrine factors (1-3). MSCs-based regenerative therapy has been clinically demonstrated to have versatile therapeutic potential. However, its clinical outcome is not satisfying due to poor survival and engraftment of transplanted MSCs *in vivo* (4). To compensate the low efficiency of cell-based therapy, a large number of cells are required for transplantation to compensate the low efficiency of cell-based therapy (5-7). Cells are generally expanded in a two-dimensional (2D) monolayer culture system using a conventional culture method. However, a monolayer culture system with flattened nucleus and cytosol, a lack of cell-to-cell interaction, and a loss of cell-to-matrix interaction during the cell isolation procedure does not represent the three-dimensional (3D) cellular microenvironment in the body (8). Especially, cells require both cell-to-cell and cell-to-matrix interactions for their survival and proliferation. For example, primary articular chondrocytes and hepatocytes can rapidly lose their original phenotypes and functions when they are cultured in a monolayer system (9, 10). This can compromise therapeutic effects of cells transplanted *in vivo*. Thus, 3D cell culture systems as alternatives have been developed to overcome limitations of conventional monolayer cell culture systems (11).

In the body, cells are constantly interacting with their surrounding extracellular matrix (ECM) and adjuvant cells to maintain their homeostasis and functions according to physicochemical cues from the surroundings (12). Thus, 3D cell culture systems should provide a physicochemical microenvironment mimicking the *in vivo* cell surroundings (13). A spheroid culture method is one of 3D cell culture systems that is easily reproducible and highly reliable for generating 3D cell-to-cell interactions (13). Cells are aggregated into a spheroid and cultured in suspension. Various spheroid fabrication methods such as hanging drop, non-adherent culture plate, gel embedding, magnetic levitation, and spinner culture have been reported (11, 14-16). It has been demonstrated that cell spheroids exhibit improved cellular functions *in vitro* as compared to a monolayer culture (17). More importantly, *in vivo* therapeutic effects of cell spheroids are superior to single cell transplantation due to their greater survival and engraftment capacities in harsh conditions (18, 19). Despite these benefits, cell spheroids often face issues associated with necrotic cores due to the lack of oxygen transportation (14). Therefore, biomaterials-assisted spheroid engineering has been extensively developed for successful regenerative therapy (14). A combination of culture/implantation with biomaterials such as nano- or microparticles, fibers, and hydrogels not only can improve the survival of spheroids *in vivo*, but also can protect spheroids in harsh conditions where they are implanted, leading to improved therapeutic effects of transplanted cells (20, 21).

In this review, we mainly focus on spheroid-based tissue regenerations of bone defects, cartilage defects, critical limb ischemia, cardiac defects, pancreas and hair follicles in which spheroid formation/configuration is essential for cell transplantation. We also discuss specific strategies of spheroid engineering combined with advanced biomaterials for targeting each tissue.

SPHEROID FORMATION METHODS

Unlike a 2D monolayer culture system, 3D spheroid culture systems can create a more in vivo-like microenvironment (**Figure 1**). A 2D monolayer cell culture on tissue culture plates lacks cell-to-cell interaction and cells are mostly in contact with plastic. Cytoskeletal actin of cells cultured on tissue culture plates are highly polarized and thus nuclear flattening and epigenetic changes (8, 22). Furthermore, cells lose cell-to-cell and cell-to-matrix interaction during cell isolation, which worsens the cell survival and engraftment in vivo. On the other hand, in 3D spheroids, cell-to-cell contacts are dominant, and cells also interact with extracellular matrix with non-apical morphology. It has been demonstrated that spheroids formation enhances survival and engraftment of transplanted cells in vivo and increases growth factor secretion and target tissue integration (23, 24).

There are various methods including microwells, hanging-drop, microencapsulation, centrifugation, magnetic levitation and spinning/rotating for spheroid formation (**Figure 2**). In principle, the methods increase cell-to-cell cohesion by inducing spontaneous cellular assembly, applying physical forces (e.g. gravitational, centrifugal, or magnetic forces) or confinement (e.g. micro-structured surfaces or microcapsules) (**Table 1**). However, most of methods involve labor-intensive process, low yield, and difficulty in spheroid size control. Therefore, various spheroid formation techniques using functional biomaterials have been developed (**Figure 3**). For example, porous scaffolds can induce in situ spheroid formation in the pores and subsequently be co-transplanted to target tissues. The scaffolds not only protect spheroids from shear stress generated during injection, but also from oxidative stress at transplanted sites. On the other hand, nano-/microparticles (or fibers) incorporated in spheroids are beneficial to deliver drugs to cells

in the core region of spheroids and create gaps improving oxygen and nutrient transportation. Indeed, advanced biomaterials improve survival and engraftment of cell spheroids and increase the potential of cell spheroids in regenerative therapy by strategizing target tissue specific engineering. **Table 2** summarizes the current spheroid-based approaches according to target tissues.

BONE DEFECT REGENERATION

Bone is a mineralized connective tissue that constitutes body structure and enable mobility (25). Bone tissues are capable of sufficient self-healing for small sized damages or defects that are not over the critical size threshold (> 2 cm) (2). However, large defects, fractures, and degenerative or congenital diseases will not achieve complete healing if unaided (26, 27). Although autografts and allografts are gold standard treatments for bone repair, they have inevitable side effects such as morbidity, infection, and hemorrhage at the donor site (28). To overcome these limitations, engineered bone spheroids composed of stem cells (e.g., bone marrow-derived stem cells and adipose-derived stem cells) have been used as micro-bone tissues and explored extensively due to their great therapeutic potential for the repair of nonhealing bone defects (2, 26, 29). In comparison with dissociated MSCs, MSC spheroids can enhance survival in a harsh microenvironment and maintain their osteogenic potential (30). In addition, pre-osteogenic induction of MSC spheroids before transplantation can enhance the potential contribution of transplanted cells toward bone formation (31). Furthermore, biomaterials-assisted cell delivery therapies can significantly improve the regenerative capacity of stem cells by recapitulating the complex bone microenvironment.

Engineering cell spheroids using 3D scaffolds

For bone repair, various cell spheroids in combination with engineered 3D scaffolds such as hydrogels, microparticles, and electrospun fibers have been attempted (32, 33). Entrapment of MSC spheroids within engineered 3D scaffolds can localize cells at the implantation site, regulate cell migration from spheroids to surrounding tissues, and modulate spheroid function. The function of MSCs can be influenced by many properties of engineered 3D scaffolds including bulk mechanical properties, degradation profiles, and densities of adhesive ligands (34, 35). For example, Ho et al. (31) have reported that Arg-Gly-Asp (RGD) ligand density in alginate hydrogels can regulate cell adhesion and migration kinetics of osteoinduced MSC spheroids and subsequently affect their therapeutic potential. Upon ectopic implantation, MSC spheroids in higher RGD density gels can significantly facilitate bone formation than those in lower RGD density gels, suggesting that regulations of MSCs migration from spheroids are correlated with the osteogenic potential of spheroids. In another study, Whitehead et al. (36) have demonstrated that dynamic mechanical properties of viscoelastic alginate hydrogel can promote the osteogenic potential of entrapped MSC spheroids. RGD-modified alginate is either ionically or covalently crosslinked for preparing viscoelastic or elastic hydrogels, respectively. Calvaria bone defects treated with MSC spheroids entrapped in viscoelastic hydrogels exhibit significantly improved bone formation as compared to elastic hydrogel groups. Interestingly, regardless of bone morphogenetic protein-2 (BMP-2) treatment (via nanoparticles or bolus delivery), significant bone formation is shown in defects treated with spheroids in viscoelastic alginate hydrogels, suggesting that stress-relaxation of the hydrogel, rather than the internal

BMP-2 signal, affects more on the osteogenic potential of entrapped MSC spheroids (36).

Due to compact cell-cell interactions of spheroids, penetration of soluble osteogenic/angiogenic cues (e.g., BMP-2, adenosine, and platelet-derived growth factor (PDGF)) into spheroids is insufficient to stimulate inner cells of spheroids. For example, spheroids cultured in media containing soluble BMP-2 exhibit differentiation only at the periphery of spheroids. On the other hand, MSC spheroids incorporating BMP-2-loaded hydroxyapatite (HA) nanoparticles exhibit greater alkaline phosphatase activity and more uniform spatial expression of osteocalcin than spheroids with uncoated HA nanoparticles (37). Similarly, incorporation of adenosine-modified microfibers to MSC spheroids can stimulate adenosine 2b receptor signaling of MSCs thus significantly upregulating osteogenic markers (i.e., Runt-related transcription factor 2 (RUNX2), Osteopontin (OPN), Osteocalcin (OCN), and Osterix (OSX)) with improved mineral deposition *in vitro*. In comparison with unmodified microfibers/spheroid composite, *in vivo* calvarial bone formation of adenosine-modified microfibers/spheroid composite is greater with thick and compact bone tissues similar to that of native bone tissues (38).

3D scaffolds (e.g., microparticles and microfibers) have been incorporated within cell alone spheroids not only to deliver bioactive molecules, but also to improve viability of inner cells in spheroids (39, 40). In general, inadequate mass transport and development of a hypoxic core within spheroids limit their long-term culture and practical application. Incorporation of microparticles or microfibers generates relatively less compact spheroids of cells, thus improving cell viability. Microfibers are especially beneficial for bone tissue engineering due to their hierarchically organized architecture mimicking bone microenvironment. Ahmad et al. (41) have fabricated mineralized poly(L-lactic acid) (PLLA) microfibers architecturally and chemically

mimicking bone microenvironment. These mineralized microfibers can control the differentiation of stem cells by regulating Ca^{2+} influx and PO_4^{3-} -involved A2b adenosine receptor activation during osteogenic differentiation of MSCs. Thus, even without osteogenic supplements in the media, MSC spheroids with mineralized microfibers exhibited significantly enhanced expression of osteogenic genes including Runx2, OPN, and OCN as compared to the no mineralized microfiber group. Furthermore, mineralized microfibers incorporating PDGF can enhance both vascularization and bone regeneration capacity of MSC spheroids. At two months after transplantation of MSC spheroids with mineralized microfibers in a mouse calvarial defect model, the regenerated bone area was significantly increased and many capillaries and arterioles were observed in the presence of PDGF incorporated on microfibers (42).

CARTILAGE DEFECT REGENERATION

Cartilage healing is extremely slow due to its avascular properties (43). Once cartilage is damaged, it is often healed with fibrocartilage scar tissue which remains a challenge (44). Hyaline cartilage consists of specialized ECM including collagen type II (COL II) and proteoglycans, while fibrocartilage produces more collagen type I (COL I) but less COL II and exhibits inferior capacity to support high dynamic compressive loads (45-47). Thus, it is important to engineer cell spheroids to produce hyaline cartilage-specific ECM for successful cartilage repair.

Condensation

In vitro spheroids or aggregates formation of MSCs can trigger the commitment for the chondrogenic lineage by mimicking *in vivo* “condensation” step, a critical event that occurs

during limb development (48, 49). Conventional cell condensation techniques include centrifugation (50), micromass culture (51), hanging drop method (52), and continuous agitation of a suspension culture (53). Recently, magnetic condensation technique has drawn much attention due to its ease of shaping multicellular organization according to the size and shape of cartilage defects (54). Fayol et al. (54) have reported that magnetically driven MSC chondrogenesis can produce more cartilage matrix including collagen II and aggrecan than pellets prepared by centrifugation. Further magnetic fusion of MSC spheroids can yield millimetric scale of large tissues suitable for repairing large cartilage defects.

Similarly, in situ formation of MSC spheroids inside a 3D porous scaffold is another strategy for engineering cartilage tissue substitute. Cell repulsive scaffolds such as chitosan film can generate spontaneous cellular aggregation due to their low adhesive force between cells and scaffolds (55). The highly hydrophilic layer of scaffolds can tightly bind to water via hydrogen bonds or electrostatic interaction, thus achieving a non-fouling ability. For example, a porous scaffold made of zwitterionic poly (L-glutamic acid)-chitosan co-polymer (PLGA-CS) can generate MSC spheroids with diameter of 80-100 μ m in situ (55). MSC spheroids in PLGA-CS scaffold exhibited significantly upregulated chondrogenic genes (COL II and GAG) expression and decreased expression of a marker for fibrocartilage (COL I) as compared to MSCs attached and spread on scaffolds. Furthermore, at 12 weeks post-implantation, neo-cartilage at defects treated with the PLGA-CS scaffold carrying MSC spheroids exhibited similar level of GAGs and COL II and low level of COL I as a normal cartilage. These results suggest that in situ spheroid formation in porous scaffolds not only can promote chondrogenesis of MSCs, but also can facilitate hyaline-like cartilage regeneration with reduced fibrous tissue formation.

Substrate-mediated TGF- β delivery

Transforming growth factor beta (TGF- β) superfamily plays essential roles in all phases of chondrogenesis, mesenchymal condensation, chondrocyte proliferation, extracellular matrix deposition, and finally terminal differentiation (56). TGF- β is a key initiator of chondrogenesis. Cellular condensation is strongly stimulated by TGF- β -induced elevation of N-cadherin expression (49). In addition, TGF- β can stimulate the proliferation of chondroblasts and deposition of cartilage-specific ECMs (e.g., aggrecan and collagen type II). It has been demonstrated that exogenous TGF- β treatment could enhance chondrogenic differentiation of MSCs (57). However, as MSCs form spheroids, it is difficult to achieve efficient TGF- β delivery to cells inside spheroids due to diffusional limitation of TGF- β . To overcome this limitation, substrate-mediated TGF- β delivery systems have been developed. For example, Yoon et al. (58) have loaded TGF- β 3 to fibronectin (FN)-coated graphene oxide (GO) sheets (0.5-1 μ m size). FN and TGF- β 3 can be adsorbed onto GO sheets via hydrophobic interactions and electrostatic interactions. As FN-/TGF- β 3-adsorbed GO sheets are incorporated into MSC spheroids, they not only provide cell-ECM interaction, but also overcome the diffusional limitation of TGF- β 3, resulted in significantly enhanced chondrogenic differentiation of MSCs *in vitro*. Similarly, Kim et al. (59) have developed a TGF- β 1-delivery system based on PLGA/PLLA microfiber scaffolds. The surface of the microfiber scaffold was modified with human fibroblast-derived decellularized ECM (hFDM) to mimic *in vivo* cell-matrix interactions. Heparin was subsequently grafted onto the hFDM scaffold for achieving high loading amount of TGF- β 1. Such TGF- β 1-

loaded hFDM scaffold exhibited a continuous release of TGF- β 1 for up to 28 days. Furthermore, at 12 weeks post-implantation, MSC spheroids with TGF β 1-loaded hFDM scaffolds significantly increased cartilage regeneration of defects in a rabbit knee articular cartilage defect model.

CRITICAL LIMB ISCHEMIA REPAIR

Cell-based therapies are promising for reconstructing blood vessels and restoring blood perfusion of various injuries or diseases. MSCs have been extensively used for critical limb ischemia (CLI) repair due to their proangiogenic and immunoregulatory functions (60, 61). However, low retention and poor viability of transplanted cells at the implantation site limit their therapeutic effects. It has been known that MSC spheroids exhibit higher cell viability *in vivo* than single cell transplantation. More importantly, MSC spheroids produce more angiogenic growth factors and cytokines (e.g., angiopoietin, angiopoietin-2, FGF2, HGF, and VEGF) by activating hypoxia-induced paracrine secretion signalling (4, 62, 63). Furthermore, it has been demonstrated that *in situ* MSC spheroids encapsulation in biomaterials can physically protect spheroids during and after transplantation (64, 65). For example, Park et al. (64) have reported that when MSCs are encapsulated in hyaluronic acid (HA)/alginate (Alg) core-shell microcapsules, cells can spontaneously form spheroids in the HA core region, while the outer alginate shell provides a protection layer. MSC spheroids encapsulated in the microcapsule can significantly promote *in vivo* angiogenesis as compared to MSC alone spheroids. This is attributed to the greater retention of MSC spheroids encapsulated in the microcapsule. In another study, Hong et al. (65) have developed an all-in-one platform that supports *in situ* spheroid formation and injection at once. The platform uses poly (L-glutamic acid)-based hydrogels

crosslinked with disulfide bonds. Cells can spontaneously form spheroids in pores of the hydrogel due to non-fouling features of the hydrogel. For *in vivo* implantation, hydrogels could be turned into solution by cleaving the disulfide bonding via a reductant (e.g., glutathione) and co-injected with spheroids. This platform not only supports spheroid formation, but also improves survival and engraftment by protecting spheroids during and after the transplantation.

Recently, macrophages have been emerged as a promising cell source for CLI repair. Macrophages are primary effector cells of the immune system that dominantly provide cytokines to regulate angiogenesis and matrix remodelling during tissue repair (66, 67). Ran et al. (68) have generated macrophage spheroids with chrysin-loaded fibers. These fibers not only can serve as a scaffold, but also can release chrysin for regulating the phenotypic transformation of macrophages from pro-inflammatory M1 to anti-inflammatory M2. *In vivo* results have revealed that M2 macrophage polarization of spheroids can induce a full recovery of hind-limb ischemia to normal limbs. It has been also proven that macrophage spheroids transplantation can lead to greater limb salvage than single macrophage administration (68).

CARDIAC REPAIR

Cardiac muscle allows the heart to pump blood through circulatory system. Its dysfunction can lead to heart failure. Myocardial infarction (MI) can cause loss of gap junction-expression cardiac cells and cardiac fibrosis, thus increasing the risk of arrhythmia (69). For functional recovery of infarcted hearts, cardiac spheroids composed of MSCs or cardiomyocytes have been implanted into the ischemic myocardium (70-72). Although cardiac spheroids can restore cardiac functions of infarcted hearts, they often yield unsynchronized contraction, leading

to a potential risk of arrhythmia. To overcome this limitation, electrically conductive materials can be incorporated into cardiac spheroids. For example, incorporating silicon nanowires into cardiac spheroids can improve the formation of an electrically conductive network in spheroids, leading to significantly synchronized and enhanced contraction as compared to non-incorporated cardiac spheroids (Figure 4) (71). Furthermore, in combination with external electrical stimulation (recapitulating natural pacemaker-initiated excitation of cardiomyocyte contraction), silicon nanowire-incorporated cardiac spheroids can further improve cell-cell junction formation and the development of a contractile machinery and decrease the spontaneous beat rate of spheroids, thus reducing arrhythmogenic potential (70). Park et al. (72) have reported that implantation of reduced graphene oxide (RGO) flakes-incorporated MSC spheroids into infarcted hearts can enhance cardiac repair as compared to implantation of RGO flakes or MSC spheroids alone. The incorporation of RGO flakes into spheroids not only can provide electrically conductive cues, but also can induce tighter cell-cell compaction and more reparative paracrine factor secretion than non-incorporated spheroids.

PANCREAS REGENERATION

Type 1 diabetes is a chronic autoimmune disease. Due to T-cell mediated attack, β cells in pancreatic islets cannot produce adequate insulin, resulting in hyperglycemia (73). Although insulin uptake through intensive insulin injection or pumps can effectively decrease blood glucose levels, exogenous insulin therapy has a risk of complications such as hypoglycemia and ketoacidosis (73, 74). In addition, exogenous insulin uptake cannot ameliorate symptoms of patients with type 1 diabetes who exhibit severe hypoglycemia complicated by impaired

hypoglycemia awareness or excessive glycemic lability (74). Although pancreas transplantation is the best option, it is an invasive surgery that needs lifelong immunosuppression to relieve massive immune attack to the graft. More importantly, the scarcity of donor pancreas limits its extensive application. Transplantation of allogenic islet or stem cell-derived islets is a promising alternative that can avoid an invasive surgery by directly injecting islets to the hepatic portal vein (75). However, islet anoikis can be triggered during an *ex vivo* isolation. In addition, *in vivo* immune rejection still remains a challenge (76). Recently, various biomaterials-based approaches for islet transplantation have been explored to enhance islet survival and functions and decrease host immune rejection of allogenic islet transplants (77-83).

In the pancreas, islets of Langerhans are surrounded by a layer of ECM defined as the peri-insular basement membrane composed of collagen type IV, laminin, and fibronectin (84). During an enzymatic isolation of islets from pancreas tissues, the interaction between the islet and the ECM is disrupted. Subsequent reduction of cell adhesion can trigger β cell apoptosis and decrease insulin secretion (77). To overcome this limitation, pancreatic decellularized ECM (dECM) hydrogels have been used because they can mimic physicochemical cues of *in vivo* periniche (85). For example, Jiang et al. (83) have reported that porcine pancreas dECM hydrogel exhibits similar viscoelastic properties to original pancreas tissues. More importantly, encapsulation of human or rat islets within porcine pancreas-derived dECM hydrogels can improve the functional stability of islets as compared to the standard culture condition (freely suspended in non-adherent dishes).

Encapsulation islets in biomaterials can protect islets from a harsh microenvironment (e.g., immune attack, inflammatory conditions, etc.). Alginate microencapsulation of islets has

been extensively used due to its ease of use. Alginate can be rapidly crosslinked in the presence of divalent cations (e.g. Ca^{2+}) (86). However, the size of alginate microspheres (0.5-1.5mm) often exceeds the physiological oxygen diffusion range, resulting in reduced islet survival and function (78). Yang et al. (80) have reported that a nanocoating of islets with chondroitin sulfate (CS)-incorporated starPEG (CS-PEG) can protect islets under pro-inflammatory conditions. CS-PEG can covalently conjugate to amine groups of the islet cell membrane via pseudo-orthogonal chemistry, resulting in a CS-PEG layer with thickness of 50 nm on the islet (~100 μm). The nanocoating makes a minimal alteration of the islet volume and allows excellent oxygen diffusion. More importantly, such CS-PEG coating can significantly reduce blood coagulation and inflammation-related islet cell death and potentially alleviate instant blood-mediated inflammatory reaction during an islet transplantation.

Islet transplantation is limited not only by host immune responses, but also by toxic effects of chronic immunosuppression required to control immune rejection (87). Recently, biomaterials-assisted strategies have focused on providing a local immunosuppression microenvironment. Biomaterials presenting checkpoint proteins (e.g., programmed cell death-1 ligand (PD-L1) and Fas ligand (FasL)) can modulate local immune responses and avoid the need for systemic chronic immunosuppression. For example, Headen et al. (81) have demonstrated that co-transplantation of FasL-presenting microgels and allogenic islets in the epididymal fat pad of diabetic mice can result in graft tolerance and function without chronic immunosuppression. Free FasL can be rapidly cleared from the transplant site (60% reduction at day 1 post-implantation) while FasL-presenting microgels can last much longer at the implantation site over 11 days. Similarly, Coronel et al. (79) have reported that PD-L1-presenting

microgels can improve local retention of PD-L1 over 3 weeks *in vivo*. Therefore, PD-L1-presenting microgels can achieve local induction of allograft islet acceptance in a murine diabetic model. Liu et al. (82) have reported that TGF- β 1-releasing scaffolds can significantly decrease inflammatory cytokines (i.e., TGF- α , IL-2, and MCP-1) and subsequent leukocyte infiltration to the implantation site, resulting in a delayed immune rejection of allogenic islets.

HAIR FOLLICLE REGENERATION

Hair loss is a significant concern of human disorder regardless of age and gender. This can be attributed to aging, environmental reasons, stress, or the lack of hair follicle recovery due to poor tissue regeneration of injured tissues (88). Hair follicle (HF) is an ectodermal organ composed of two main parts, including the epithelium of keratinocytes and the mesenchyme of dermal papilla (DP) cells (89). DP cells are known as a master regulator of HF cycle. They give instructive signals to epithelial bulge to initiate follicle formation, growth, and proliferation during HF regeneration (90). Currently, DP spheroids implantation is the best option for HF regeneration. To construct efficient and functional DP spheroids, non-adhesive scaffolds made of hydrophilic polymers have been used. After cells are seeded onto non-adhesive/non-fouling scaffolds, they rather spontaneously aggregate to each other and form a spheroid (91). Huang et al. (91) have developed a scalable production platform for DP spheroid generation based on a hydrophilic polymer, polyvinyl alcohol (PVA), and demonstrated effects of DP spheroid size on hair follicle regeneration. DP cells in hanging drops (a conventional method) can form multiple small aggregates. On the other hand, DP cells seeded onto PVA-coated tubes can spontaneously aggregate into one single spheroid due to their poor cell adhesion to hydrophilic PVA. An *in vivo*

study has revealed that both human and rat DP spheroids could induce hair follicle neogenesis and larger DP spheroids exhibit higher hair follicle inductivity in dorsal hypodermis of mice (91). Similarly, Zhang et al. (92) have used a chitosan/PVA nanofibrous sponge with large open pores for generating DP spheroids. The sponge possesses interconnected pores (21-25 μm) that could capture cells, resulting in formation of spheroids in pores. DP spheroids implanted into BALB/c nude mice can induce hair follicle neogenesis at 4 weeks after implantation. Larger sizes of DP spheroids have regenerated more hair shafts (92).

Wang et al. (93) have also developed a tunable and scalable DP spheroid formation platform by aggregating nanoencapsulated single cells. DP cells were coated with type A gelatin (positively charged) and alginate (negatively charged) by layer-by-layer assembly. Gelatin/alginate-coated DP cells were ionically crosslinked in the presence of divalent cations (i.e., Ca^{2+}), generating DP spheroids in a scalable manner. More importantly, when cells were coated with gelatin/alginate, even high-passaged (P8) DP cell spheroids could maintain their ability of hair follicle induction *in vivo* while P8 DP cells without the coating could not regenerate hair follicles at all.

FUTURE DIRECTIONS

A spheroid formation is indeed a promising approach to mimic *in vivo* microenvironments. Moreover, biomaterials-assisted spheroid engineering can improve clinical therapeutic effects of spheroids even further. Biomaterials can provide both interior and exterior cues to spheroids for improving cellular functions and survival. Nano- and micro-particles incorporated to spheroids can deliver bioactive molecules to cells in spheroids. Hydrogels and fibers can facilitate spontaneous spheroid formation and protect spheroids from harsh

environment of transplanted sites. Additionally, functional moieties (e.g., cell adhesion ligands and immunomodulatory proteins) are often decorated to those biomaterials for further regulation of host cell migration and immune responses during the healing process. So far, many studies have focused on recapitulating chemical or/and structural features of *in vivo* microenvironments. Future studies should consider tailoring physical features of scaffolds (e.g., stiffness, topography, mechanical stability, and degradation profiles) that compensate dynamic mechanical alterations of injured tissues. There is emerging evidence that physical cues applied to injured tissues are critical to regulate tissue repair and even immune responses via mechanotransduction (94-97). However, their roles in 3D spheroids have not been fully investigated yet. Nano- and micro-particles based platforms can generate internal forces inside spheroids and regulate cell-to-cell and cell-to-matrix interactions. Scaffolds encapsulating spheroids would primarily crosstalk with peripheral cells of spheroids and regulate cell migration and integration with host tissues. This approach could open a new frontier in regenerative therapy.

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CONFLICTS OF INTEREST

The authors declare no conflict of interest.

FIGURE LEGENDS

Figure 1. Differences between 2D monolayer culture and 3D spheroid culture for potential clinical applications.

Figure 2. Methods of spheroid formation.

Figure 3. Principles of biomaterials-based spheroid engineering.

Figure 4. Cardiac spheroids formation with silicon nanowires and maturation with external electrical stimulation. (A) Schematic illustrations of a setup for external electrical stimulation to cardiac spheroids. (B) Timeline of *in vitro* conditioning. (C) Silicon nanowire incorporation to spheroids could improve maturation with electrical stimulation to be beneficial for cardiac repair. (D-F) Transmission electron micrograph images showing (D) structures of n-type doped silicon nanowires (scale bar, 100 nm), (E) composite spheroids formed with human induced pluripotent stem cells (hiPSCs) and silicon nanowires (scale bar, 100 μ m), and (F) silicon nanowires located in extracellular space (scale bar, 500 nm). (G) Expression of genes related to contractile machinery in hiPSC cardiac spheroids (beta myosin heavy chain, MYH7; alpha myosin heavy chain, MYH6). NC, unwired spheroid; WC, wired spheroid; NS, unwired spheroid with stimulation; WS, wired spheroid with stimulation. (H) Beat rate of hiPSC cardiac spheroids, showing that electrical stimulation significantly reduced spontaneous beat rate in spheroids incorporating silicon nanowires and electrically stimulated (WS). Taken together, tissue level functional development would be beneficial for reduced pacemaking and arrhythmic risk after transplantation. Adapted with permission from Richards et al. (70). Copyright (2016) American Chemical Society.

Table 1. Methods for spheroid formation.

Method	How to	Driving force	Advantages	Disadvantages
Hanging drop	Make droplets of cell suspensions on a lid of tissue culture plate, the lid is flipped upside-down, and culture in a humid condition	Gravitational forces and physical confinement		- A limited volume of droplets (<50 μ l) - Difficulty in changing culture medium
Microwells	Confine cells in physical compartments at a micrometer scale	Gravitational forces and physical confinement	Easy to control spheroid size	A difficulty in harvest
Centrifugation	Force cells aggregate at the bottom of a centrifuge tube	Centrifugal forces		Cellular damages by excessive external forces
Magnetic levitation	Force magnetized cells form into spheroids	Magnetic force		Cytotoxicity of magnetic materials
Microencapsulation	Confine cells into microcapsules	Physical confinement		Batch variations
Non-adherent plates	Interrupt cell adhesion to the plates, make cells rather aggregate to each other	Spontaneous aggregation	- One step spheroid formation and suspension culture	Low yield and various spheroid size
Rotating wall vessels	Create a microgravity environment	Shear force	- Less labor intensive	
Spinner flasks	Generate dynamic fluid shear force	Shear force	- Suitable for large scale spheroid formation	

Table 2. Summary of biomaterials and strategies of spheroid engineering according to the target applications.

Target	Cell type	Functional biomaterials	A method for spheroid formation	Strategy
Bone defect repair	hBMSC	RGD-modified alginate gels (31)	Microwells	Controlling MSC migration from spheroids to enhance spheroid osteogenic potential
	hBMSC	Alginate hydrogel (36)	Microwells	Applying dynamic mechanical stimulation to spheroids for enhancing osteogenic potential of MSC
	hADSC	Adenosine and polydopamine coated PLLA fragmented fibers (38)	Centrifugation	Scaffolds-mediated adenosine delivery to improve osteogenic differentiation of MSCs
	rbBMSC	Silk fibroin microfiber (39)	Centrifugation	Creating gaps in spheroids, leading to enhanced transportation of oxygen and nutrients to the core region
	hADSC	Biomineral-coated PLLA fragmented fibers (41)	Centrifugation	Accelerating osteogenic differentiation by providing bone-like mineralized environments
	hADSC	PDGF/biomineral-coated PLLA fragmented fibers (42)	Centrifugation	Providing bone-mimicking multiple factors for vascularized bone regeneration
Cartilage defect repair	rBMSC	Magnetic nanoparticles (54)	Magnetic condensation using magnetic devices	Controlling sizes and patterns of spheroids at the millimetric scale by using magnetic devices
	rbADSC	PLGA/chitosan porous scaffold (55)	In situ aggregation in pores	Forming denser mass of spheroids in the scaffold, leading to enhanced chondrogenic differentiation capacity of stem cells
	hADSC	TGF- β 3 and FN adsorbed graphene oxide sheet (58)	Hanging-drop	Providing a cell-adhesion substrate and simultaneously delivering chondrogenic growth factors for improving chondrogenic differentiation of stem cells
	UCB-MSC	hFDM and TGF- β 1-coated PLGA/PLLA microfiber (59)	Non-adherent plates	
Critical limb ischemia repair	UCB-MSC	Hyaluronic acid/alginate core-shell microcapsules (64)	Microencapsulation	Encapsulating spheroids to protect and retain the cells from harsh environments after transplantation
	hADSC	Poly(L-glutamic acid)/PEG-based porous hydrogel (65)	In situ aggregation in pores	In situ spheroid formation via gel-sol transition in vivo, protecting spheroids from shear stress during injection
	RAW 264.7	Chrysin-encapsulated fiber fragments (68)	Electrosprayed microencapsulation	Promoting vascular anastomosis via chronological shifting from M1 to M2 phenotypes, regulated by chrysin delivery

Cardiac repair	hiPSC-CM	Silicon nanowires (70, 71)	Microwells	Incorporating electrically conductive biomaterials to achieve synchronized and enhanced contraction of cardiac spheroids
				Used both exogenous and endogenous electrical stimuli for advanced structural and functional development of cardiac spheroids
	hBMSC	Reduced graphene oxide flake (72)	Hanging-drop	Incorporating electroconductive biomaterials to spheroids for enhancing paracrine factors and connexin 43 expression
Islet transplantation	Human pancreatic islets	ECM hydrogels made of porcine decellularized tissues (83)	Encapsulation	Recapitulating the in vivo peri-islet niche to enhance cell survival and functions
		Chondroitin sulfate incorporated starPEG (80)	Nanocoating	Nanocoating of islets to reduce blood coagulation, improve islet cell survival, and protect against disruption
	Mouse pancreatic islets	Fas ligand-conjugated PEG microgel (81)	Microencapsulation	Local immunomodulation to avoid acute rejection of islet allografts, avoiding the need for systemic chronic immunosuppression
		Programmed cell death-1-conjugated PEG microgel (79)	Microencapsulation	
		TGF- β 1-loaded PLG microporous scaffold (82)	In situ aggregation in pores	Localized TGF- β 1 delivery to modulate the immunological environment of transplanted sites
Hair follicle regeneration	hDPC	Polyvinyl alcohol (PVA) (91)	PVA-coated plates	Developed a controllable spheroid formation technique
	mDPC	Chitosan/PVA nanofiber sponge (92)	In situ aggregation in pores	Developed a technique for controllable and scalable spheroids formation
		Gelatin and alginate (93)	Layer-by-layer nanoencapsulation	Developed a tunable and scalable spheroid formation model by inducing aggregation of nanoencapsulated cells

Abbreviations. MSC, mesenchymal stem cell; hBMSC, human bone marrow-derived MSC; hADSC, human adipose-derived stem cell; rbBMSC, rabbit bone marrow-derived MSC; rbADSC, rabbit adipose-derived stem cell; UCB-MSC, human umbilical cord blood-derived MSC; hiPSC-CM, human induced pluripotent stem cell-derived cardiomyocytes; hDPC, human dermal papilla cells; mDPC, mouse DPC; RGD, Arg-Gly-Asp; PLLA, poly (L-lactic acid); PDGF, platelet-derived growth factor; PLGA, poly(lactic-co-glycolic acid); TGF, transforming growth factor; FN, fibronectin; hFDM, human lung fibroblast decellularized ECM; PEG, polyethylene glycol; PLG, poly(lactide-co-glycolide)

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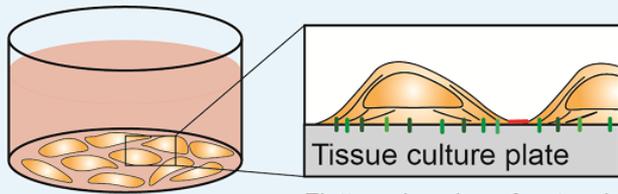
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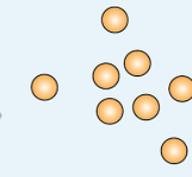
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2D monolayer culture

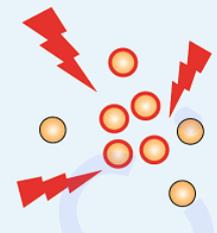


Flattened nucleus & cytosol
A lack of cell-to-cell interaction
Cells mostly in contact with plastic



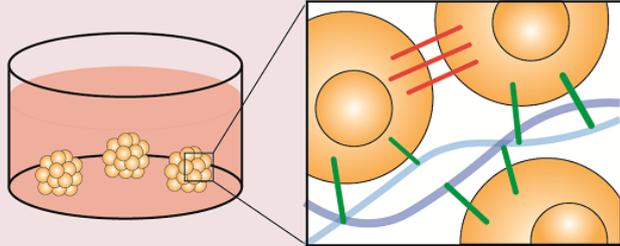
A loss of
cell-to-matrix interaction
during cell isolation

Harsh in vivo conditions



↓ Survival & graftment

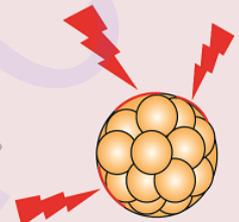
3D spheroid culture



Dominant cell-to-cell contact
Cells interacting with extracellular matrix



Further compaction



↑ Survival & graftment

Fig. 1.

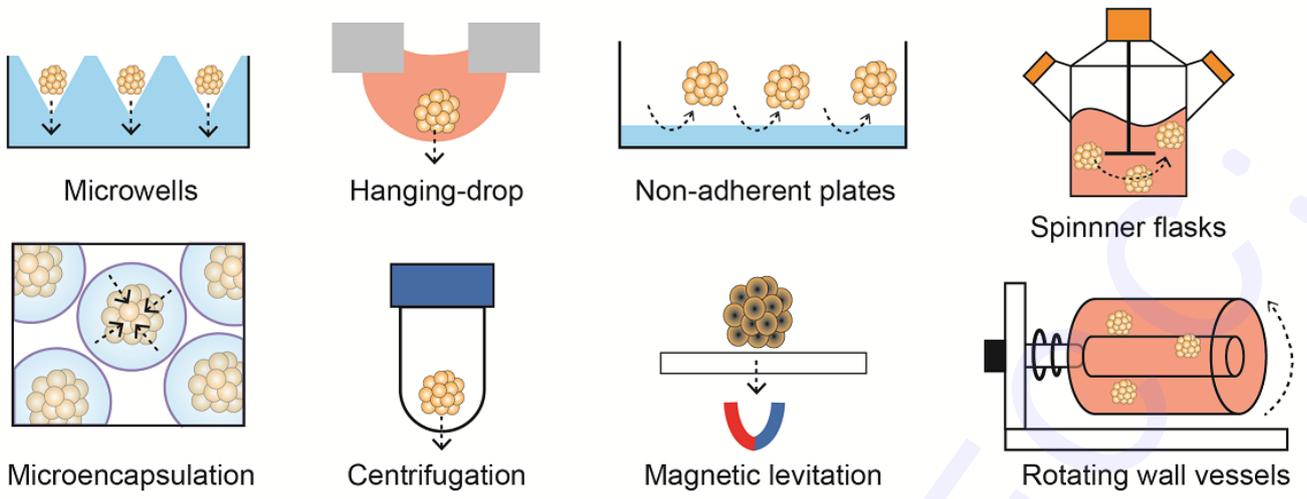


Fig. 2.

Spheroid engineering

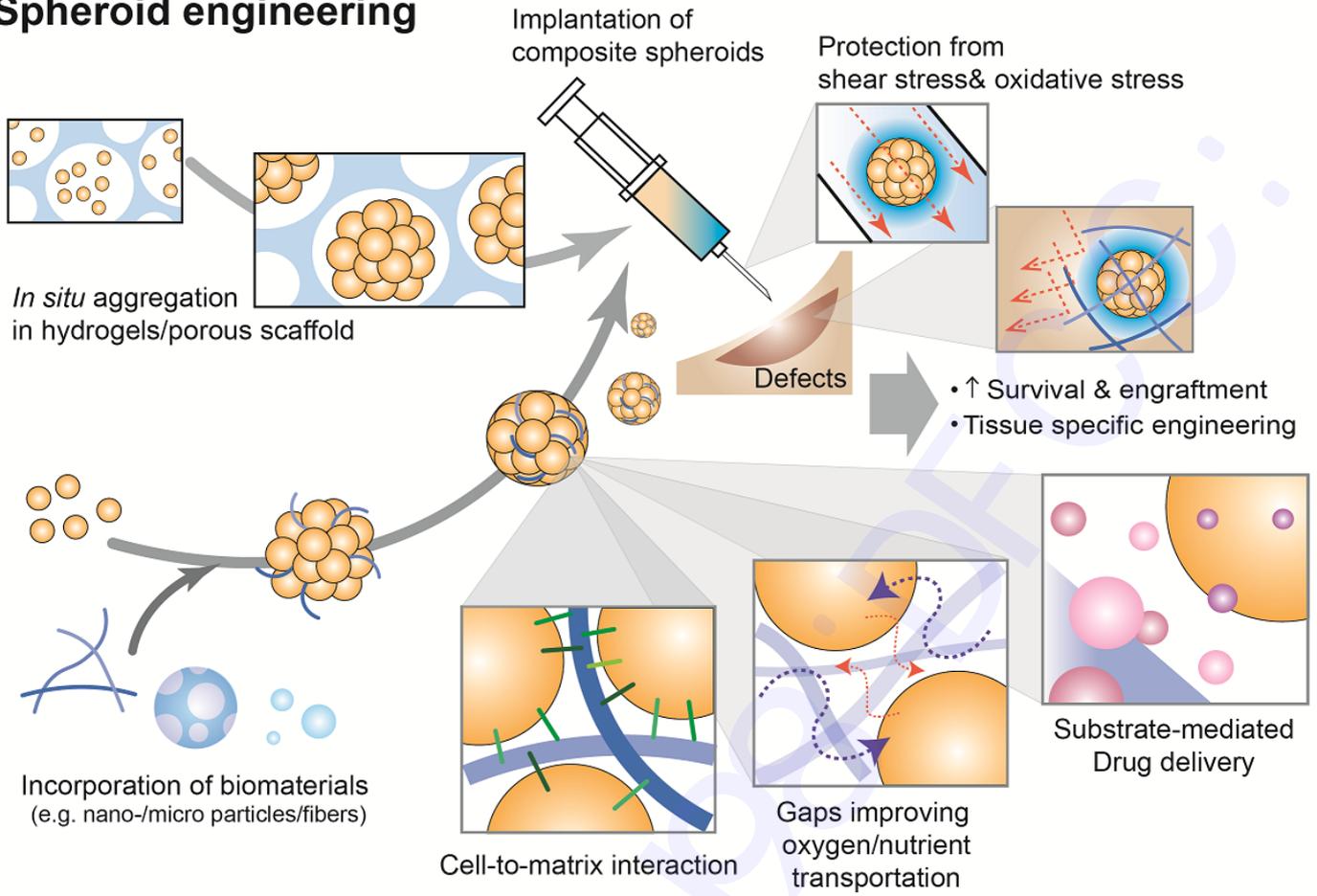


Fig. 3.

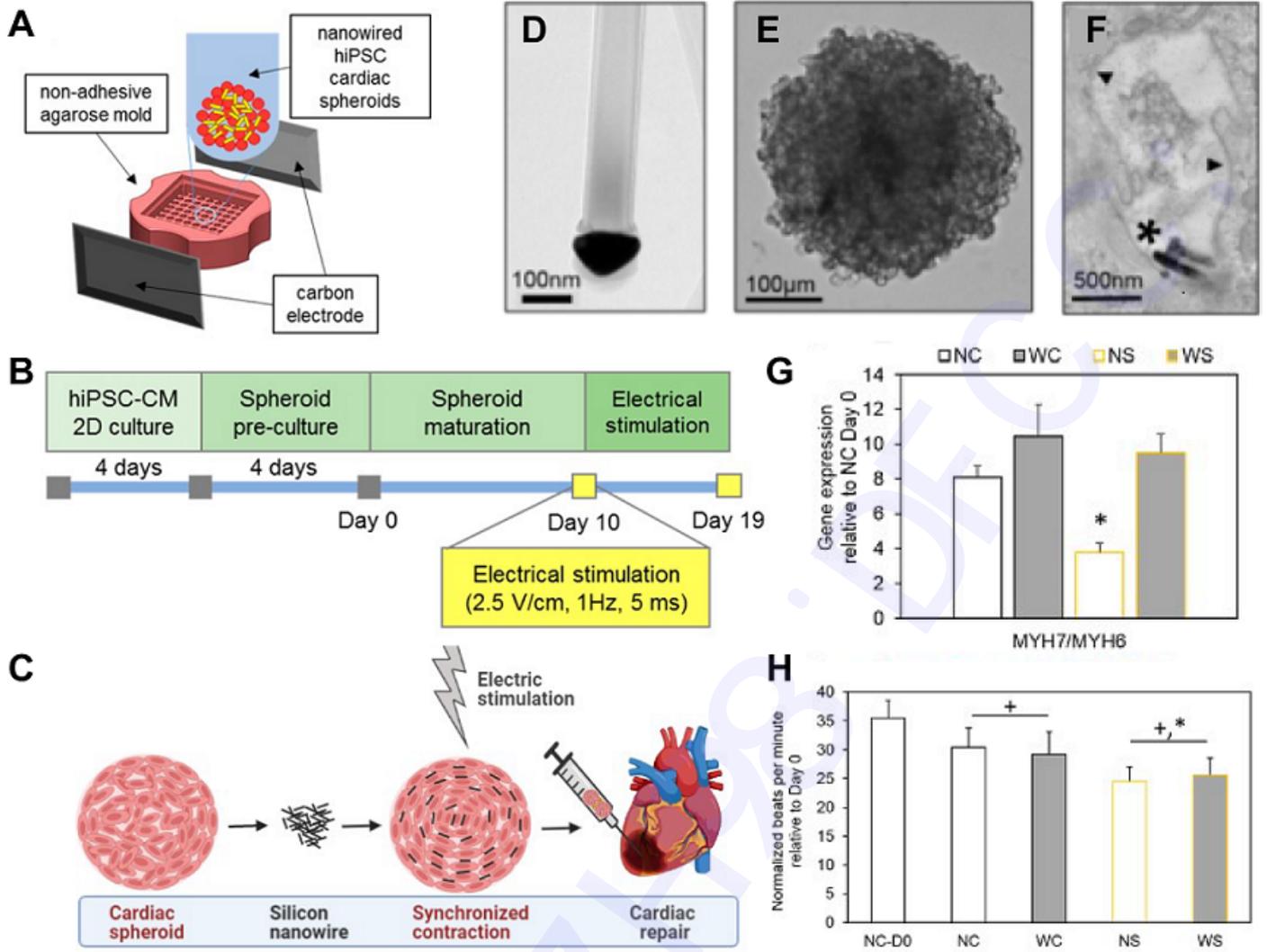


Fig. 4.