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1 **Manuscript Type:** Mini Review

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4 **Vitamin A: A key coordinator of host-microbe interactions in the intestine**

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24 **ABSTRACT**

25 The human intestine is home to a dense community of microbiota that plays a key role in human
26 health and disease. Nutrients are essential regulators of both host and microbial physiology and
27 function as key coordinators of host-microbe interactions. Therefore, understanding the
28 specific roles and underlying mechanisms of each nutrient in regulating the host-microbe
29 interactions will be essential in developing new strategies for improving human health through
30 microbiota and nutrient intervention. This review will give a basic overview of the role of
31 vitamin A, an essential micronutrient, on human health, and highlight recent findings on the
32 mechanisms by which it regulates the host-microbe interactions.

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34

35 **Introduction**

36 The human intestine is home to trillions of resident microorganisms including bacteria,
37 archaea, fungi, bacteriophages, and viruses. These microorganisms, collectively termed
38 microbiota, form a complex and dynamic community along the length of the gastrointestinal
39 tract (1). Diverse metabolic pathways of microbiota maximize the host's capacity for nutrient
40 utilization from the diet and provide key metabolites that are essential for the host's health.
41 However, when the microbiota escapes from its niche and invades the host tissue, an infection
42 occurs that could induce uncontrolled inflammation and lethal sepsis (2,3). Therefore, the host
43 is equipped with physical and immunological defense systems to prevent the invasion of
44 microorganisms. This includes the production of mucin, multiple antimicrobial proteins such
45 as defensins, lysozyme, Reg3 lectins, cathelicidins, and secretory immunoglobulin A. In
46 addition, the host should develop tolerance to the commensal microbiota to prevent
47 unnecessary inflammation, failure of which could lead to uncontrollable inflammatory diseases
48 (4–8). Therefore, the microbiota modulates the pathogenesis, progression, and treatment of
49 various diseases, and modulating host-microbiota interactions is an emerging therapeutic
50 avenue for disease control and prevention.

51 This complex ecosystem is maintained with the interplay of nutrients, microbiota, and
52 the host that is distinctive along the length of the intestine (1,2,9). The intestinal epithelial cells
53 are located at the vital interface between microbiota/nutrients and the host and play a key role
54 in the host-microbiota-nutrient interplay by functioning as a selective barrier that prevents the
55 entry of harmful molecules while absorbing necessary nutrients (4,10). One of the most
56 important tasks of intestinal epithelial cells is to monitor the intestinal environment (e.g.,
57 microbiota and nutrient availability) and regulate intestinal immunity accordingly. Multiple
58 nutrients such as vitamins, amino acids, and fatty acids, and their metabolites are known to
59 regulate epithelial and/or immune functions that are critically important for human health (11).

60 As nutrients are the essential regulators of the intestinal environment, bacteria, and host
61 cells, it is important to understand how nutrients from the diet affect and regulate microbiota
62 and different host cells. Understanding this host-microbe-nutrient interplay is central to the
63 current efforts on microbiota and/or nutrient interventions for human health and diseases. This
64 mini-review overviews an example of vitamin A, a relatively well-studied nutrient, and
65 highlights the role of vitamin A in regulating the host-microbe interactions in the intestine.

66

67 **Vitamin A**

68 ***Vitamin A in health and diseases.*** Vitamin A is a fat-soluble micronutrient that is
69 essential for human health. Vitamin A is a collective term for a group of compounds with
70 similar biological activities to retinol. Retinol is the principal form of dietary vitamin A and
71 there are multiple derivatives present in the body, including all *trans* retinoic acid (RA),
72 retinaldehyde (retinal), and retinyl esters (Fig.1) (12). Vitamin A is required for multiple
73 essential body functions, including growth, immunity, vision, and reproduction (13,14).
74 Vitamin A deficiency (VAD) in humans causes childhood blindness, stunting, and anemia, and
75 predisposes individuals to increased morbidity and mortality from various infections (15,16).

76 ***Vitamin A absorption and metabolism in the intestine.*** Multiple forms of vitamin A
77 are present in the body (Fig. 1A). Retinol and retinyl esters are found in animal-based diets,
78 and are absorbed through the epithelial cells in the small intestine. Retinyl esters cannot enter
79 the enterocyte, but rather are first hydrolyzed into retinol in the lumen. The uptake of retinol is
80 known to involve a carrier-mediated active transport at dietary concentrations, yet the specific
81 transporters are not identified (17,18). In addition, provitamin A, called carotenoids, is mainly
82 found in plants and converted to retinol within the enterocyte after absorption. Mechanisms
83 involved in the uptake of carotenoids by enterocyte is well established (reviewed in (18)).

84 After absorption, the majority of the retinol is esterified and packaged into chylomicron

85 for delivery into the lymphatics (19). Liver hepatocytes uptake retinyl esters in chylomicron
86 remnants and then transfer them into the stellate cells which are the major storage site of
87 vitamin A in the body (20,21). It is noteworthy that enterocytes also secrete a significant amount
88 of unesterified retinol unassociated with lipoproteins (19,22), and have distinct and specific
89 physiological roles within the intestine. For example, a family of retinol-binding proteins,
90 serum amyloid A (SAAs), is produced by intestinal epithelial cells and delivers retinol from
91 the epithelium to the intestinal immune cells (Fig.1B) (23).

92

93 **Molecular mechanisms of vitamin A for regulating cellular functions**

94 *Retinoic acid and RA signaling.* Different forms of vitamin A have distinct roles in the
95 body. Retinol is the primary form for transport while retinyl esters are the storage forms.
96 Retinol is the immediate precursor of retinal and RA, two forms that have biologically active
97 functions within the cell. Retinal is primarily required for the formation of rhodopsin thereby
98 essential for vision (more details on the function of retinal are reviewed in (24)). Retinal also
99 serves as an intermediate in the synthesis of RA from retinol.

100 RA has broader physiological importance as it functions as a ligand for the nuclear
101 receptors, RA receptors (RAR- α , - β and - γ). RARs bind with retinoid X receptors (RXR- α , - β
102 and - γ for) and participate in direct regulation of various gene expressions (25,26). RAR-RXR
103 heterodimer binds to DNA sequences known as retinoic acid response elements (RARE) that
104 are usually located on the promotor region of the target genes. Unliganded receptors bind to
105 co-repressor molecules and repress the transcription, and the binding of RAs to the ligand-
106 binding domain of the receptors leads to a conformational change that results in the release of
107 co-repressors and the recruitment of co-activators (27,28) (Fig. 2). Retinoids are known to
108 control most developmental processes through these canonical pathways. Alternatively, the
109 RA-receptor complex can indirectly down-regulate the expression of genes with no RAREs in

110 their promoters by competing for the required co-activator proteins (25,29,30). Under some but
111 not all circumstances, RA is known to activate peroxisome proliferator-activated receptor
112 (PPAR) β/δ when it is delivered to the nucleus via a specific intracellular lipid-binding protein,
113 FABP5, albeit its mechanism activating PPAR β/δ is not yet clear (31,32).

114 ***RA synthesis in the intestine.*** RA is a key regulator of intestinal immune homeostasis
115 through various cells of the innate and adaptive immune system (33). In the intestine, epithelial
116 cells, stromal cells, and certain immune cells including dendritic cells (DCs) and macrophages
117 are known to produce RA (34–38). RA is produced in a two-step enzymatic process involving
118 the conversion of retinol to retinal (by the action of the alcohol dehydrogenases, ADHs and
119 RDHs) and subsequent conversion to RA (by retinaldehyde dehydrogenases, RALDHs) (39).
120 A confined population of cells is equipped with the enzymes of both steps, presumably as
121 nature's strategy for regulating the production of this highly active metabolite. Many local
122 factors within the mucosal environment, including vitamin A itself, fatty acids, cholesterol
123 metabolites, GM-CSF, and Toll-like receptor (TLR) ligands, can promote RA production by
124 cells (40–46).

125 ***RA and intestinal immunity.*** RA has context- and concentration-dependent
126 immunomodulatory roles. Vitamin A is critical for the functioning of various innate immune
127 cells, including DCs, macrophages, neutrophils, and natural killer (NK) cells at the mucosal
128 site(43,47–49). RA is also essential for imprinting innate lymphoid cells (ILCs) (50) and
129 regulatory and effector T and B cells (36,51,52) with gut-homing specificity. The anti-
130 inflammatory role of RA involves the production of RA by myeloid cells which are critical for
131 promoting Foxp3 regulatory T cell differentiation (53,54) and immunoglobulin A (IgA)
132 production (52,55). Under infectious conditions, RA signaling can induce the production of
133 proinflammatory cytokines by DCs and promote the differentiation of effector T cells (56,57).
134 Therefore, RA is crucial in maintaining a balance between immunogenicity and tolerance at

135 the intestinal barrier (Fig. 3).

136

137 **Bacterial regulation of vitamin A metabolism and function**

138 ***Direct metabolism of vitamin A by gut bacteria.*** Many studies attempted to identify
139 bacteria that metabolize or biosynthesize vitamin A. Multiple bacteria including gut
140 commensals have been proposed to metabolize carotenoids to retinoids (58–61). The ability of
141 bacteria to directly metabolize retinoids was discovered relatively recently, with *Bacillus*
142 *cereus* being the first example to directly convert retinal to RA or retinol using aldehyde
143 dehydrogenase (ALDH) (62). No specific gut commensal bacteria have been identified with
144 the activity until very recently. Recent work by Woo and colleagues showed that commensal
145 gut microbes including segmented filamentous bacteria (SFB) express ALDH and produce RA.
146 RA produced by bacteria promotes the host's defense against intestinal infection by activating
147 epithelial RA signaling (63) (Fig. 3A). It remains to be determined how bacterial vitamin A
148 metabolism is regulated and whether vitamin A metabolism *per se* regulates bacterial
149 physiology and function in the gut.

150 ***Regulation of host vitamin A metabolism by gut bacteria.*** Recent studies characterized
151 how intestinal bacteria regulate host vitamin A metabolism. Grizotte-Lake and colleagues
152 identified that gut commensal bacteria belonging to class Clostridia repress intestinal epithelial
153 cell expression of retinol dehydrogenase 7 (Rdh7) and suppress epithelial RA synthesis. This
154 suppression of epithelial-intrinsic RA signaling by gut commensals prevents the expansion of
155 pathogenic bacteria by specifically reducing IL-22-dependent antimicrobial responses (64).
156 More recently, a specific bacterium, *Faecalibaculum rodentium*, has been shown to suppress
157 epithelial RA signaling at the proximal small intestine, which results in the loss of a certain
158 eosinophil population. This, in turn, promotes the proliferation and turnover of intestinal
159 epithelium via intraepithelial lymphocyte (IEL) interferon (IFN)- γ production (65) (Fig. 3C).

160 In addition, it has been reported that colonization of *Bifidobacterium infantis* increases the
161 expression of RALDH by intestinal DCs, which then imposes anti-inflammatory effects by
162 suppressing T_H1 and T_H17 responses (66). Therefore, gut microbiota contributes to immune
163 homeostasis by regulating host RA production in multiple ways (64–66).

164 ***Bacterial regulation of host vitamin A mobilization into immune cells.*** Mobilization
165 of retinol, the primary transport form of retinoids, is a critical regulator of the function of
166 vitamin A in the body. Being hydrophobic, retinol does not readily diffuse across aqueous
167 environments between cellular compartments, but it rather relies on the binding proteins for
168 mobilization. I and colleagues have recently discovered how gut microbiota regulates retinol
169 mobilization in the intestine (23). Gut microbiota and dietary vitamin A induce the expression
170 of a family of retinol-binding proteins, serum amyloid A (SAA) (67), by intestinal epithelial
171 cells. Epithelial RA signaling mediated by RAR β induces epithelial cell expression of *Saa*
172 genes in the presence of dietary vitamin A (68). Retinol is mobilized from epithelium by
173 binding to SAAs and delivered to myeloid cells through the interaction of SAA with its receptor,
174 LDL receptor-related protein 1 (LRP1). The SAA-mediated retinol delivery is important for
175 some vitamin A-dependent adaptive immune responses including the intestinal homing of
176 lymphocytes and their effector functions (23,68,69). It remains to be elucidated how different
177 intestinal immune cells acquire retinol and/or retinoic acid through other sources and pathways
178 (Fig. 3B).

179 ***Role of vitamin A on bacterial infection.*** Vitamin A is essential for the defense against
180 bacterial infection at the mucosal site. The production of an antimicrobial protein, Resistin-like
181 molecule α (RELM α), is induced by vitamin A in the skin, which promotes vitamin A-
182 dependent resistance to skin infection (70). Vitamin A induces intestinal epithelial cell-intrinsic
183 RA signaling and increases the production of interleukin (IL)-18 by epithelial cells. IL-18
184 induces the production of IFN- γ and promotes the shedding of infected epithelial cells, which

185 limits the pathogen invasion early in infection (71) (Fig. 3D). Vitamin A may also directly
186 regulate enteric pathogens. A study showed that retinol and RA inhibit the growth of
187 *Mycobacterium tuberculosis* in a dose-dependent manner (72). A vitamin A-deficient diet in
188 mice has been shown to significantly change the composition of gut microbiota, partly by
189 vitamin A directly regulating bacterial growth in the gut (73). Whether dietary vitamin A
190 directly affects the growth of enteric pathogens remains to be elucidated.

191

192 **Concluding remarks**

193 The human intestine has a complex ecosystem consisting of nutrients, microbiota, and host
194 cells. Gut microbiota constantly interacts with host cells and plays critical roles in the health
195 and disease of humans. Microbiota-based interventions have great therapeutic potential as well
196 as challenges. Nutrients are the essential regulator of both host and bacterial physiology and
197 function as key coordinators of host-microbe interactions. Understanding the roles and
198 underlying mechanisms of each nutrient in regulating the host-microbe interactions will be
199 essential and require further work. Such efforts should yield deeper insight into how host cells,
200 microbes, and nutrients interplay in the intestine and should provide new strategies for
201 improving human health.

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208

209 **CONFLICTS OF INTEREST**

210 The author declares no conflict of interest.

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231 **FIGURE LEGENDS**

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233 **Figure 1. Vitamin A absorption and metabolism.** (A) Structure of vitamin A derivatives in
234 the body. (B) Uptake, metabolism, and delivery of vitamin A. Retinol is absorbed through the
235 intestinal epithelial cells and then converted to retinyl esters for storage. Retinyl esters are
236 packaged into chylomicron, travels through the lymphatics, and stored in the liver. Serum
237 retinol binding protein 4 (RBP4) delivers stored retinol to peripheral tissues and cells from the
238 liver. Serum amyloid A (SAA) binds with retinol and delivers it from the intestinal epithelial
239 cells to intestinal immune cells.

240

241 **Figure 2. Mechanisms of gene regulation by *all trans* retinoic acid.** (A) RARs complex with
242 their RXR heterodimer partner bind to retinoic acid response elements (RARE) DNA
243 sequences. In the absence of *atRA*, they recruit corepressors that link RAR-RXR complex to
244 histone deacetylases (HDACs), which leads to chromatin condensation and repression of gene
245 expression. When *atRA* binds to RARs, a conformational change occurs which releases
246 corepressors and recruits coactivators. The coactivators interact with histone acetylases (HATs)
247 which lead to chromatin decompaction and allow access to DNA by transcriptional machinery
248 (27,28). (B) Example of genes that are regulated by RA (26). The genes shown have conserved
249 RAREs (or predicted RAREs) in their promoters and the expression is regulated in a RA-
250 dependent manner. RAR, retinoic acid receptor; RXR, rexinoid receptor; *atRA*, *all trans*
251 retinoic acid.

252

253 **Figure 3. Vitamin A is a central modulator of bacteria and immune crosstalk in the**
254 **intestine.** (A) SFB produce RA and promote defense against intestinal infection through
255 epithelial RA signaling (63). (B) SFB induce expression of a retinol binding protein, SAA.

256 Retinol is mobilized from epithelium by binding to SAAs and delivered to myeloid cells
257 through LRP1-mediated endocytosis. Myeloid cells may also acquire retinol and/or retinoic
258 acid by unknown pathways. RA produced by myeloid cells is a central regulator of immune
259 homeostasis by regulating differentiation and intestinal homing of T and B cells (23). (C)
260 Commensal bacteria regulate RA pathways in multiple ways. *Bifidobacterium infantis*
261 increases RALDH expression by DCs to promote anti-inflammatory responses. Clostridia
262 species and *Faecalibaculum* suppress epithelial RA synthesis, which then reduces IL-22-
263 dependent antimicrobial responses (64) and promotes epithelial turnover through IEL
264 production of IFN- γ (65). (D) Epithelial RA signaling increases IL-18 production and induce
265 cell shedding and IFN- γ production, which promotes the clearance of pathogen (71).

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Figure 1

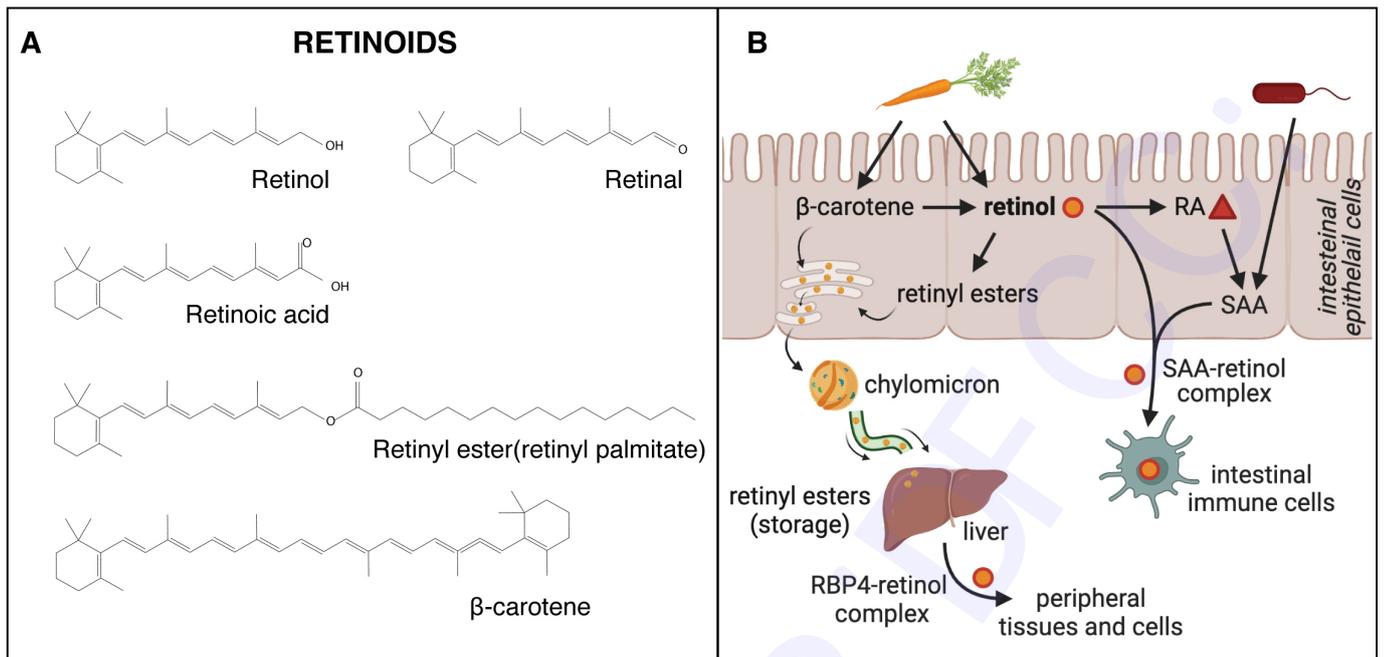


Fig. 1.

Figure 2

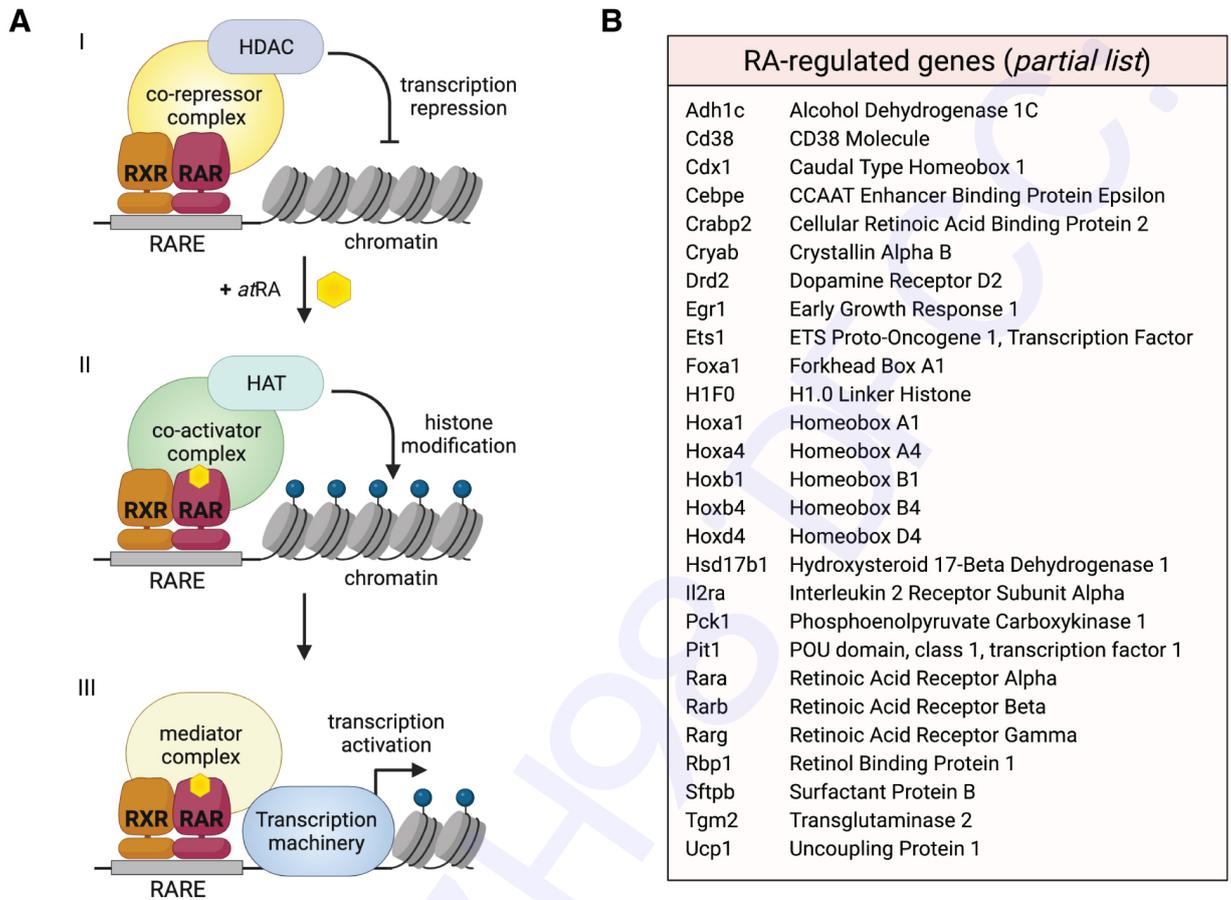


Fig. 2.

Figure 3

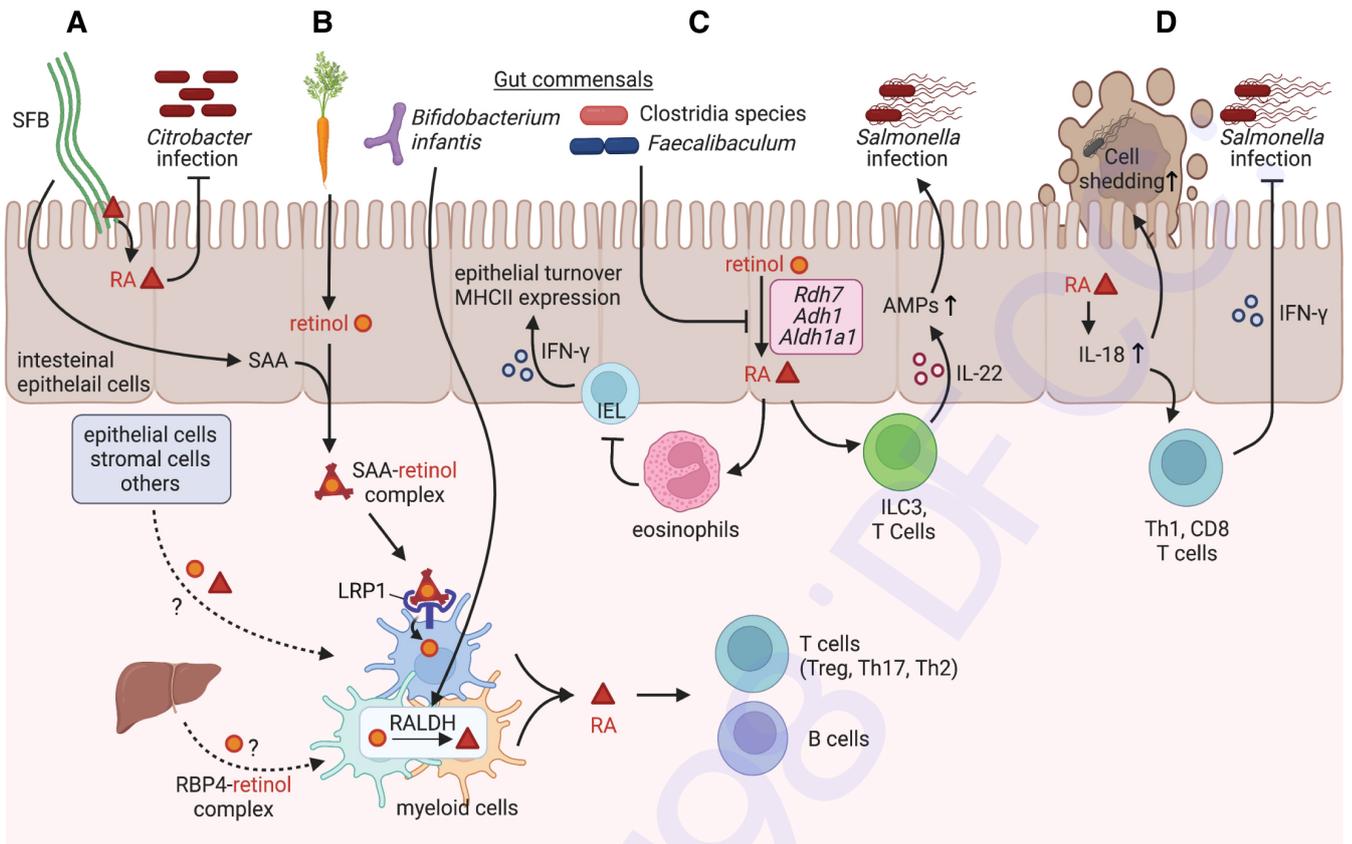


Fig. 3.